05-21-2004



102750420

U.S. Department of Commerce Patent and Trademark Office

PATENT

CONTRACTOR

RECORDATION FORM COVER SHEET PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).			
Submission Type	Conveyance Type		
⊠ New	☑ Assignment	☐ Security Agreement	
☐ Resubmission (Non-Recordation) Document ID #	☐ License	☐ Change of Name	
Correction of PTO Error Reel # Frame #	☐ Merger	☐ Other	
Corrective Document Reel # Frame #			
Attorney Docket No. IRIS.P0002			
Conveying Party (ies)	names of conveying parties attached	Execution Date MMDDYYYY	
Name (1st party) Michael Albert Helmbrecht		04-30-04	
Name (2 nd party)			
Name (3 rd party) Name (4 th party)			
•	al names of receiving parties attached		
Name Iris AO, Inc. Name A Delaware Corporation		☐ If document to be recorded is an assignment and the receiving	
Address 2680 Bancroft Way		party is not domiciled in the U.S., an appointment of a domestic	
Address 2000 Bancion Way		representative is attached.	
Address Berkeley, CA 94704		 (Designation must be a separate document from Assignment) 	
City State/Countr	ry Zip Code		
Domestic Representative (Complete only if rec	ceiving party is not domiciled in the United	d States)	
Name		1 0.0	
Address			
Address			
Address			
For Office Use Only			

Mail documents to be recorded with required cover sheet(s) information to:

Mail Stop Assignment Recordation Services Director of the U.S. Patent and Trademark Office, P.O. Box 1450,

Alexandria, VA 22313-1450

05/20/2004 LHUELLER 00000032 10703391

01 FC:8021

40.00 DP

5.1907

PATENT REEL: 015340 FRAME: 0953

Form PTO-1619B (Modified)	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT	
Correspondent Na	ame and Address		
Name: Address: Telephone Number: Fax Number:	John Stattler Stattler Johansen & Adeli LLP P.O. Box 51860 Palo Alto, California 94303-0728 (650) 752-0990, ext. 100 (650) 752-0995		
	the total number of pages of the attached conveyance nent including any attachments.	2	
Enter either the Pa	per(s) or Patent Number(s) atent Application Number or the Patent Number (DO NOT ENTER BOTH numbers function Number(s) Patent Number (DO NOT ENTER BOTH numbers function Number(s)		
10/703,391			
If this document is being filed together with a new Patent Application, enter the date the patent Application was signed by the first named executing inventor. MMDDYYYY			
Patent Cooperation Treaty (PCT)			
	n number(s) only if a U.S. nas not been assigned.		
Number of Properties Enter the total number of properties involved			
Fee Amount Fee Amount for Propetries Listed (37CFR3.41) \$ 40.00			
Method of Payment: Credit Card (See CC form) ☑ Enclosed ☐ Deposit Account ☐ Deposit Account ☐ (Enter for payment by deposit account or if additional fees can be charged to the account.)			
	Deposit Account Number:	# 50 1128	
0.1.1.1.0.1	Authorization to charge additional fee	s: Yes 🗵 No 🗆	
	gnature owledge and belief, the foregoing information is true and corre ginal document. Charges to deposit account are authorized, a		
John Stattler Name of Person Signir	ng Signature	May 17, 2004 Date	

PATENT

REEL: 015340 FRAME: 0954

SOLE INVENTOR TO CORPORATION ASSIGNMENT

THIS ASSIGNMENT, by Michael Albert Helmbrecht (hereinafter referred to as the assignor), a resident of 1142 Brown Avenue Lafayette, CA 94549, witnesseth:

WHEREAS, said assignor has invented certain new and useful improvements in DEFORMABLE MIRROR METHOD AND APPARATUS INCLUDING BIMORPH FLEXURES AND INTEGRATED DRIVE, set forth in an application for Letters Patent of the United States, having an oath or declaration executed on even date herewith; bearing Serial No. 10/703,391 and filed on 11/7/2003; and

WHEREAS, Iris AO, Inc., a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 2680 Bancroft Way, Berkeley, CA 94704 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to he held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, said assignor hereby covenants and agrees to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignor is the sole and lawful owner of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignor hereby covenants and agrees to and with said assignee, its successors, legal representatives and assigns, that said assignor will, whenever counsel of said assignee, or the counsel of its successor, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents,

1

Attorney Docket No. IRIS.P0002

take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

H/30/04 Michael Albert Helmbracht

Michael Albert Helmbracht

RECORDED: 05/19/2004

REEL: 015340 FRAME: 0956