Substitute Form PTO-1595 Attorney Docket No.: 08688-054001 Client's Ref. No.: UML 01-14; 01-15

RECORDATION FORM COVER SHEET **PATENTS ONLY**

Commissioner for Patents: Please record the attached original document(s) or copy(les).	
Name of conveying party(ies):	Name and address of receiving party(ies):
Thomas B. Shea, Robert J. Nicolosi and Eugene	University of Massachusetts
Rogers	One Beacon Street, 26th Floor Boston, Massachusetts 02108
Additional name(\$) attached? ☐ Yes ⑤ No	United States of America
3. Nature of conveyance:	Almaha manam ari mimira.
 ⚠ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other: 	
Execution Date: 10/22/04 (Shea); 11/3/04 (Nicolosi); and 11/3/04 (Rogers)	Additional names/addresses attached? ☐ Yes No
4. Application number(s) or patent number(s):	
If this document is being filed with a new application, the execution	
A. Patent Application No(s).:	B: Patent No(s).:
10/898,393	
Additional numbers attached? ☐ Yes 图 No	
Name/address of party to whom correspondence concerning document should be mailed:	6. Total number of applications/patents involved: 1
	7. Total fee (37 CFR §3.41): \$40
Fish & Richardson P.C.	□ Enclosed
225 Franklin Street	Authorized to charge Deposit Account,
Boston, MA 02110-2804	8. Deposit Account No.: 06-1050
	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050, referencing Attorney Docket No. 08688-054001.
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J. Peter Fasse Reg. No. 32,983	
Name of Person Signing Signature	Date /
Total number of pages including coversheet, attachments and document: 5	

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CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date

REEL: 015346 FRAME: 0986

ASSIGNMENT

For valuable consideration, we, THOMAS B. SHEA, of Billerica, MA; ROBERT J. NICOLOSI, of Tyngsborough, MA; and EUGENE ROGERS, of Tyngsboro, MA; hereby assign to UNIVERSITY OF MASSACHUSETTS, a Massachusetts corporation, having a place of business at One Beacon Street, 26th Floor, Boston, Massachusetts 02108, United States of America, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled FORMULATIONS FOR REDUCING NEURONAL DEGENERATION, filed July 23, 2004, and assigned U.S. Serial Number 10/898,393; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Assignment 1 of 4

PATENT REEL: 015346 FRAME: 0987

DATE: October 22, 2004

THOMAS'B. SHEA

STATE OF <u>Massachusetts</u>) SS COUNTY OF <u>Middlesex</u>)

On October 22, 2004, before me, the undersigned, a notary public for the State of MA personally appeared THOMAS B. SHEA appeared personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within Assignment, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the Assignment the person or the entity upon behalf of which the person acted executed the Assignment.

WITNESS my hand and official seal.

No.

Marie C. Wagner

My Commission expires Jan. 28, 2011.

DATE: November 3, 2004

ROBERT J. NICOLOSI

STATE OF <u>Massachusetts</u>) SS COUNTY OF <u>Middlesex</u>)

On <u>November 3, 2004</u>, before me, the undersigned, a notary public for the State of <u>MA</u> personally appeared ROBERT J. NICOLOSI appeared personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within Assignment, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the Assignment the person or the entity upon behalf of which the person acted executed the Assignment.

WITNESS my hand and official seal.

Notary Public

Marie C./Wagner

My Commission expires Jan. 28, 2011.

DATE: <u>November 3, 2004</u>

EUGENE RØØEKS

STATE OF ____Massachusetts___) SS COUNTY OF ___Middlesex___)

On November 3, 2004, before me, the undersigned, a notary public for the State of MA personally appeared EUGENE ROGERS appeared personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within Assignment, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the Assignment the person or the entity upon behalf of which the person acted executed the Assignment.

WITNESS my hand and official seal.

Notary Public

Marie C. Wagner

My Commission expires Jan. 28. 2011.

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Assignment 4 of 4

PATENT REEL: 015346 FRAME: 0990