

05-24-2004

Form **PTO-1595**  
(rev 3/1)

102751265

**COVER SHEET**  
**LY**U. S. Department of Commerce  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

**New DD, LLC**

☐ Individual(s)      ☐ Association  
☐ General Partnership      ☐ Limited Partnership  
☐ Corporation  
☒ Other: **Delaware Limited Liability Company**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and Address of receiving party(ies)

**Douglas Dynamics, L.L.C.**  
**7777 North 73<sup>rd</sup> Street**  
**P.O. Box 245038**  
**Milwaukee, WI 53224**

☐ Individual(s) citizenship  
☐ Association  
☐ General Partnership  
☐ Limited Partnership  
☐ Corporation  
☒ Other: **Delaware Limited Liability Company**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☐ Assignment      ☐ Merger  
☐ Security Agreement      ☒ Change of Name  
☐ Other:

Execution Date: **March 31, 2004**

## 4. Application number(s) or patent number(s):

A. Patent Application No(s).

**See Attached**

B. Patent No(s).

**See Attached**Additional numbers attached? ☒ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

**Elaine D. Ziff, Esq.**  
**SKADDEN, ARPS, SLATE, MEAGHER**  
**& FLOM LLP**  
**Four Times Square**  
**New York, New York 10036**

## 6. Total number of applications/patents involved:

**20**7. Total fee (37 CFR 3.41) **\$800**

☒ All fees and any deficiencies are authorized to be charged to Deposit Account  
**(Our Ref. 217730/1809)**

8. Deposit Account No. **19-2385**

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Elaine D. Ziff

Name

Elaine D. Ziff  
SignatureMay 19, 2004

Date

Total number of pages including cover sheet, attachments, and document: 3

2004 MAY 19 AM 8:49  
 OPR/FINANCE

## CONTINUATION OF ITEM 4. Patent Applications and Patent Numbers

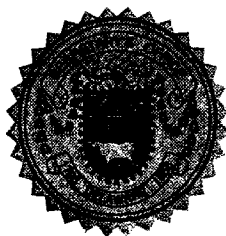
A. Patent Application No(s).	B. Patent No(s).
<b>10339116</b>	<b>4999935</b>
<b>60277713</b>	<b>5353530</b>
<b>10102782</b>	<b>5375773</b>
<b>09878744</b>	<b>5420480</b>
<b>10282630</b>	<b>5501405</b>
	<b>5727835</b>
	<b>5806213</b>
	<b>5806214</b>
	<b>RE35700</b>
	<b>6050008</b>
	<b>6253470</b>
	<b>6526677</b>
	<b>6012240</b>
	<b>5125174</b>
	<b>6000150</b>

# Delaware

PAGE 1

*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "NEW DD, LLC", CHANGING ITS NAME FROM "NEW DD, LLC" TO "DOUGLAS DYNAMICS, L.L.C.", FILED IN THIS OFFICE ON THE FIRST DAY OF APRIL, A.D. 2004, AT 1:15 O'CLOCK P.M.



3781861 8100

040241320

*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State  
AUTHENTICATION: 3028459

DATE: 04-01-04

PATENT  
REEL: 015348 FRAME: 0372

**CERTIFICATE OF AMENDMENT  
OF  
CERTIFICATE OF FORMATION  
OF  
NEW DD, LLC**

James L. Janik hereby certifies that:

**FIRST:** He is an authorized person of New DD, LLC, a Delaware limited liability company (the "LLC").

**SECOND:** The date of filing of the LLC's original Certificate of Formation with the Secretary of State of Delaware was March 25, 2004.

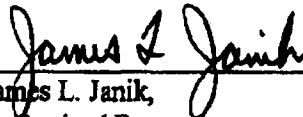
**THIRD:** Article 1 of the Certificate of Formation of this LLC is hereby amended to read in its entirety as follows:

"The name of the limited liability company is:

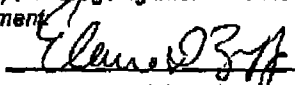
Douglas Dynamics, L.L.C."

**FOURTH:** This action is taken pursuant to Section 18-202 of the Delaware Limited Liability Company Act.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Amendment of Certificate of Formation on the 31st day of March, 2004.

  
James L. Janik,  
Authorized Person

04/09/2004  
700077723

<b>RECORDATION FORM COVER SHEET</b> <b>PATENTS ONLY</b>		U. S. Department of Commerce Patent and Trademark Office
Form PTO-1595 (rev 3/1)		
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.		
<b>1. Name of conveying party(ies):</b>  <b>New DD, LLC</b>  <input type="checkbox"/> Individual(s) <input type="checkbox"/> Association <input type="checkbox"/> General Partnership <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Corporation <input checked="" type="checkbox"/> Other: <b>Delaware Limited Liability Company</b>  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>2. Name and Address of receiving party(ies)</b>  <b>Douglas Dynamics, L.L.C.</b> <b>7777 North 73<sup>rd</sup> Street</b> <b>P.O. Box 245038</b> <b>Milwaukee, WI 53224</b>  <input type="checkbox"/> Individual(s) citizenship <input type="checkbox"/> Association <input type="checkbox"/> General Partnership <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Corporation <input checked="" type="checkbox"/> Other: <b>Delaware Limited Liability Company</b>  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
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DO NOT USE THIS SPACE		
<b>9. Statement and signature.</b> <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>  <div style="display: flex; justify-content: space-between;"> <div style="text-align: center;"> <u>Elaine D. Ziff</u>              Name           </div> <div style="text-align: center;">               Signature           </div> <div style="text-align: center;"> <u>April 9, 2004</u>              Date           </div> </div>		
Total number of pages including cover sheet, attachments, and document: 3		

# Delaware

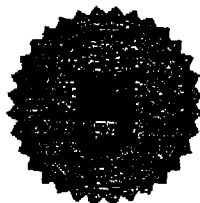
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*Harriet Smith Windsor*  
Harriet Smith Windsor, Secretary of State  
AUTHENTICATION: 3028459

DATE: 04-01-04

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 01:15 PM 04/01/2004  
FILED 01:15 PM 04/01/2004  
SRV 040240682 - 3781861 FILE

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