

05-25-2004

FORM PTO-1595  
(Rev. 10/02)  
OMD No. 0651-0011 (exp. 6/30/2)



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

102752159

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Keith Son

S.21.04

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 5/12/2004

## 2. Name and address of receiving party(ies):

Name: Network Appliance, Inc.

Internal Address:

Street Address: 495 East Java Drive

City: Sunnyvale State: CA Zip: 94089

Country: USA

Additional name(s) & address(es) attached? ☒ No ☐ Yes

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/423,728

B. Patent No.(s)

Additional numbers attached? ☒ No ☐ Yes

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Blakely, Sokoloff, Taylor &amp; Zafman LLP

Internal Address:

Street Address: 12400 Wilshire Boulevard  
7th Floor

City: Los Angeles State: CA ZIP: 90025

## 6. Total number of applications and patents involved:

1

## 7. Total Fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit Account Number:

02-2666

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Jordan M. Becker

Name of Person Signing

Signature

May 17, 2004

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services  
Director of the US Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

05/24/2004 EDOOPER 00000073 10423728  
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40.00 DP

Docket No. 5693P021

PATENT  
REEL: 015351 FRAME: 0162

## **ASSIGNMENT**

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned:

**Keith Son**

hereby sell, assign, and transfer to:

**Network Appliance, Inc.**

a Corporation of Delaware, having a principal place of business at 495 East Java Drive, Sunnyvale, California 94089 USA ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all inventions and improvements that are disclosed in the application for the United States patent filed on April 25, 2003, and assigned Application No. 10/423,728  
Herewith and is entitled:

### **METHOD AND APPARATUS FOR WRITING DATA TO A STORAGE DEVICE**

and in and to said application and all design, utility, divisional, continuing, continuation-in-part, substitute, renewal, reissue, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said inventions and improvements; and in and to all original and reissued patents that have been or shall be issued in the United States and all foreign countries on said inventions and improvements; and in and to all rights of priority from the filing of said United States applications;

agree that said Assignee may apply for and receive a patent or patents for said inventions and improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all design, utility, divisional, continuing, continuation-in-part, substitute, renewal, reissue, and all other patent applications on any and all said inventions and improvements; execute all rightful oaths, assignments, power of attorney, and other papers; communicate to said Assignee, its successors, assigns, and legal representatives all facts known to the undersigned relating to said inventions and improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or legal representatives in securing and maintaining proper patent protection for said inventions and improvements and for vesting title to said inventions and improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

**Each Inventor: Please Sign and Date Below:**

May 12 / 2004  
Date

Keith Son  
Name: **Keith Son**

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**Assignment Document Return Address:**

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (408) 720-8300

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

Santa Clara

} ss.

On May 11, 2004

Date

before me, Angela Arzate Notary Public

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

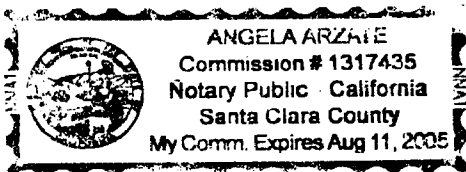
personally appeared

Kyguang Son

Name(s) of Signer(s)

☒ personally known to me

☐ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Angela Arzate

Signature of Notary Public

Place Notary Seal Above

## OPTIONAL

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

### Description of Attached Document

Title or Type of Document: \_\_\_\_\_

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Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer

Signer's Name: \_\_\_\_\_

☐ Individual

☐ Corporate Officer — Title(s): \_\_\_\_\_

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER

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