

Commissioner for Patents

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Form PTO-1595

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

(Rev. 03/01)

U.S. PATENT AND TRADEMARK OFFICE

To the honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof:

1. Name of Conveying Party(ies):

SMITH, Robin

MURPHY, Michael Patrick

2. Name and Address of Receiving Party(ies):

Name: Antipodean Biotechnology Limited

Street Address: 16 Viaduct Harbour Avenue

Street Address:

City: Auckland

State/Country: New Zealand Postal Code:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) and addresses attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☐ Assignment☐ Change of Name☐ Security Agreement☒ Other: Re-submission of Assignment (Non-Recordation Document ID No.: 102745046)☐ Merger

Execution Date: 05052004

4. Application Number(s) or Patent Number(s):

☐ Assignment is being filed together with new application and the first execution date of application is :☒ Application has been filed already and the application filing date is: 10012004

A. Patent Application Number(s):

10486797

B. Issued Patent Number(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this matter should be mailed:

CUSTOMER NUMBER 00136 -or-

JACOBSON HOLMAN PLLC
400 Seventh Street, N.W.
Washington, D.C. 20004-2218
Tel. 202-638-6666

Attorney Docket Number: P69464US0

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): \$ 0

☒ Fee previously paid.☐ Any deficiencies in enclosed fees are authorized to be charged to Deposit Account No. 06-1358.

DO NOT USE THIS SPACE

8. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John C. Holman

22,769

Name of Person Signing, Reg. No.

Signature

November 9, 2004

Date

Total number of pages including cover sheet, attachments, and documents:

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Commissioner of Patents & Trademarks

05-14-2004

Washington, D.C. 20231

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RECC

(Rev. 03/01)



U.S. DEPARTMENT OF COMMERCE

U.S. PATENT AND TRADEMARK OFFICE

102745046

To the honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof:

1. Name of Conveying Party(ies):

Robin SMITH

Michael Patrick MURPHY



2. Name and Address of Receiving Party(ies):

Name: ANTIPODEAN BIOTECHNOLOGY LIMITED

Street Address: 16 Viaduct Harbour Avenue

Street Address:

City: Auckland

State/Country: New Zealand Postal Code:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) and addresses attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Security Agreement☐ Merger☐ Change of Name☐ Other:

Execution Date: 05-05-2004

4. Application Number(s) or Patent Number(s):

☐ Assignment is being filed together with new application and the first execution date of application is :☒ Application has been filed already and the application filing date is: February 13, 2004

A. Patent Application Number(s):

10486797

B. Issued Patent Number(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this matter should be mailed:

CUSTOMER NUMBER 00136 -or-

JACOBSON HOLMAN PLLC
400 Seventh Street, N.W.
Washington, D.C. 20004-2218
Tel. 202-638-8666

Attorney Docket Number: P69464US0

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☒ Any deficiencies in enclosed fees are authorized to be charged to Deposit Account No. 06-1358.

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8. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John C. Holman

22,769

May 11, 2004

Name of Person Signing, Reg. No.

Signature

Date

Total number of pages including cover sheet, attachments, and documents:

2

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PATENT
REEL: 015356 FRAME: 0524

UNITED STATES OF AMERICA - ASSIGNMENT

(1-6) Inventors of Invention (1) Robin Smith
 (2) Michael Patrick Murphy
 (3) _____
 (4) _____
 (5) _____
 In consideration of the sum of one dollar (\$1.00), and other good and valuable considerations paid to each of the undersigned, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assigns, transfers and sets over to
 (6) Antipodean Biotechnology Limited
 (7) of 15 Vaguet Harbour Avenue, Auckland, New Zealand
 (8) of New Zealand
 (hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention known as
 (9) SYNTHESIS OF TRIPHENYLPHOSPHONIUM QUINOLS AND QUINONES
 for which the undersigned has (have) executed an application for patent in the United States of America having been executed/ filed on February 13, 2004 (and assigned
 Serial No. 10/428,797)

1) The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing or divisional applications and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or advisable.
 2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this or any continuing or divisional applications thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
 3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims provisions of the International Union for Protection of Industrial Property or similar agreements.
 4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent in the United States resulting from this application or any continuing or divisional applications thereof to the said Assignee, at expense of the entire interest, and hereby covenants that he (she) has (they have) full right to convey the entire interest herein assigned, and that he (they have) not assigned, and will not execute any agreement in conflict herewith.
 5) Assignee hereby further assigns to Assignee all claims and causes of action for infringement of the patent rights assigned herein, including right to sue for, and collect damages for, any and all acts of past and future infringement.
 6) The undersigned hereby grants the law firm of Jacobson Holman PLLC, 400 Seventh Street, N.W., Washington, D.C. 20004, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recording of this document.

In witness whereof, executed by the undersigned on the date(s) appears the undersigned name(s)
 Date May 5, 2004 Name of Inventor Robin Smith _____
 Date May 5, 2004 Name of Inventor Michael Patrick Murphy _____
 Date _____ Name of Inventor _____
 Date _____ Name of Inventor _____
 Date _____ Name of Inventor _____
 Date _____ Name of Inventor _____

This assignment should preferably be signed before a United States Consul if signed abroad, or a Notary Public if domestically signed. If then the execution by the inventor(s) should be witnessed by at least two witnesses who sign here:
☐ Additional inventor's names and on a separate sheet.

Witness (Robin Smith) _____
 Witness (Robin Smith) _____

LAW OFFICES OF
JACOBSON HOLMAN
 PROFESSIONAL LIMITED LIABILITY COMPANY
 THE JENNIFER BUILDING
 400 SEVENTH STREET, N.W.
 WASHINGTON, D.C. 20004

Witness (Michael Murphy) _____
 Witness (Michael Murphy) _____

IN 501 (Copying without deletions permitted)

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P.04

TOTAL P.04

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 02, 2004

PTAS



102745046A

JACOBSON HOLMAN PLLC
JOHN C HOLMAN
400 SEVENTH STREET, N.W.
WASHINGTON, DC 20004-2218

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 102745046

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. AN EXECUTION DATE MUST BE INDICATED FOR EACH CONVEYING PARTY.

DOROTHY RILEY, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

PATENT

RECORDED: 05/11/2004

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