

Form PTO-1595  
(Rev. 10/02)  
OMB No. 0651-0027 (exp. 6/04)

05-26-2004



COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

ONLY

Tab settings ⇨ ⇨ ⇨

102753428

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Eduardo Chi SING  
Luis URQUIDI  
Mark ASHBY  
Andrew CRAGG

5.24-04

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: Sub-Q, Inc.

Internal Address: \_\_\_\_\_

Street Address: 1062-D Calle Negocio

City: San Clemente State: CA Zip: 92673

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 4/26/04; 4/24/04; 4/26/04; 5/10/04

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/732,441

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Robert E. Krebs

Internal Address: Thelen Reid &amp; Priest LLP

05/25/2004 LUNELLER 00000066 10732441

01 FC:0021

40.00 OP

Street Address: P.O. Box 640640

City: San Jose State: CA Zip: 95164-0640

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41) . . . . . \$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert E. Krebs, reg. no. 25,885

Name of Person Signing

Signature

5/17/04

Date

Total number of pages including cover sheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to:

OFFICE OF PUBLIC RECORDS  
MAY 24 PM 4:04  
FINANCE SECTION

**ASSIGNMENT**

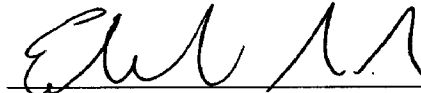
WHEREAS, we, **Eduardo Chi Sing**, a citizen of the United States of America, residing at 5 Terraza Del Mar, Dana Point CA 92629; **Luis Urquidi**, a citizen of the United States of America, residing at 22146 Caminito Laures, Laguna Hills, CA 92653; **Mark Ashby**, a citizen of the United States of America, residing at 23962 Frigate Dr., Laguna Niguel CA 92677; and **Andrew Cragg**, a citizen of the United States of America, residing at 5024 Bruce Place, Edina MN 55424, have invented a **“Pledget-Handling System and Method for Delivering Heomstasis Promoting Material to a Blood Vessel Puncture Site by Fluid Pressure”** for which we have executed application papers for a U.S. patent thereon, which was filed on December 9, 2003, serial no. 10/732,441; and

WHEREAS, Sub-Q, Inc., a California corporation, having a place of business located at 1062-D Calle Negocio, San Clemente CA 92673, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, we, Eduardo Chi Sing, Luis Urquidi, Mark Ashby and Andrew Cragg, do sell, assign, transfer and set over unto the said Sub-Q, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Sub-Q, Inc., its successors and assigns, in accordance with this Assignment.

[ASSIGNMENT CONTINUATION]

Re: U.S. Patent Application entitled: **Pledget-Handling System and Method for Delivering  
Heomstasis Promoting Material to a Blood Vessel Puncture Site by Fluid Pressure**  
Inventor: Eduardo Chi Sing

 4-26-04  
Eduardo Chi Sing Date

STATE OF CALIFORNIA )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me,  
\_\_\_\_\_, a Notary Public, personally appeared Eduardo Chi Sing,  
personally known to me (or proved to me on the basis of satisfactory evidence) to be the person/s  
whose name is/are subscribed to the within instrument and acknowledged to me that he/she/they  
executed the same in his/her/their authorized capacities, and that by his/her/their signature/s on  
the instrument the person/s or the entity upon behalf of which the person/s acted, executed the  
instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
NOTARY PUBLIC



[ASSIGNMENT CONTINUATION]

Re: U.S. Patent Application entitled: **Pledget-Handling System and Method for Delivering Hemostasis Promoting Material to a Blood Vessel Puncture Site by Fluid Pressure**  
Inventor: Mark Ashby

Mark Ashby 4-26-04  
Mark Ashby Date

STATE OF CALIFORNIA )  
 ) ss.  
COUNTY OF \_\_\_\_\_)

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me, \_\_\_\_\_, a Notary Public, personally appeared Mark Ashby, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person/s whose name is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacities, and that by his/her/their signature/s on the instrument the person/s or the entity upon behalf of which the person/s acted, executed the instrument.

WITNESS my hand and official seal.

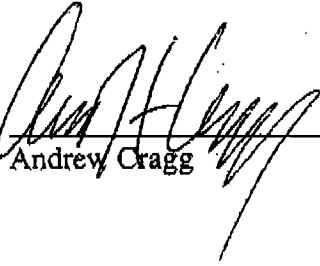
\_\_\_\_\_  
NOTARY PUBLIC

Docket No. 034298-187

## [ASSIGNMENT CONTINUATION]

Re: U.S. Patent Application entitled: **Pledget-Handling System and Method for Delivering Hemostasis Promoting Material to a Blood Vessel Puncture Site by Fluid Pressure**

Inventor: Andrew Cragg

  
Andrew Cragg

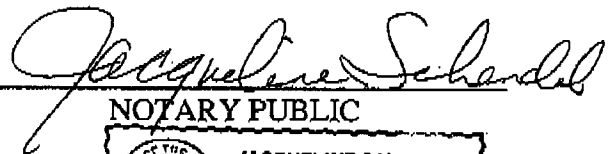
5/10/04  
Date

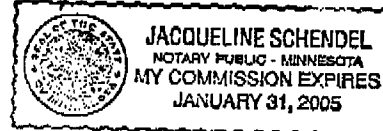
STATE OF CALIFORNIA )

COUNTY OF ) ss.  
Hennepin

On this 10<sup>th</sup> day of May, 2004, before me,  
Jacqueline Schendel, a Notary Public, personally appeared Andrew Cragg,  
personally known to me (or proved to me on the basis of satisfactory evidence) to be the person/s  
whose name is are subscribed to the within instrument and acknowledged to me that he she they  
executed the same in his her their authorized capacities, and that by his her their signature/s on  
the instrument the person/s or the entity upon behalf of which the person/s acted, executed the  
instrument.

WITNESS my hand and official seal.

  
NOTARY PUBLIC



SV #162667 v1

RECORDED: 05/24/2004

PATENT  
REEL: 015358 FRAME: 0310