

05-26-2004

FORM PTO-1595  
1-31-92



SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Docket No. 02737.0004.NPUS01

102753664

S-2404

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): <b>Bertram Ludaescher and Amarnath Gupta</b> Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party: Name: <u>Regents of the University of California</u> Internal Address: <u>1111 Franklin Street, 12th Floor</u>
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other _____  Execution Date: <u>11/24/03 and 11/14/03</u>	City: <u>Oakland</u> State: <u>CA</u> Zip: <u>94607-5200</u>  Street Address: <u>Same as above</u>  City: _____ State: _____ Zip: _____  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

4. Application number(s) or patent number(s):  
 If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s): <u>09/815,447</u>	B. Patent No(s): _____
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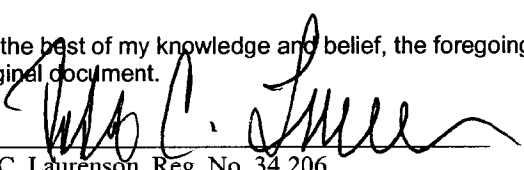
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Robert C. Laurenson</u>  Internal Address: <b>HOWREY SIMON ARNOLD &amp; WHITE, LLP</b> Box No. 34 1299 Pennsylvania Avenue, N.W. Washington, D.C. 20004-2402	6. Total number of applications and patents involved: <u>1</u>
	7. Total fee (37 CFR 3.41): \$ 40.00 <input checked="" type="checkbox"/> The Commissioner is authorized to charge our Deposit Account No. 08-3038, Referencing Docket No. 02737.0004.NPUS01  <input checked="" type="checkbox"/> Charge this Deposit Account if any additional fee is required
	8. Deposit Account Number: <b>08-3038</b>

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

 Date: May 20, 2004

Robert C. Laurenson, Reg. No. 34,206

Total number of pages including cover sheet: 3

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:  
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MAY 24 AM 8:08

**ASSIGNMENT**

U.C. Case No. SD2001-086-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. **Bertram Ludaescher**
- 2. **Amarnath Gupta**

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**PERSISTENT ARCHIVES**

and which is found in (select appropriate item)

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors;
- (b) \_\_\_\_\_ Patent application filed herewith and listing the above named persons as inventors;
- (c)  X  U.S. application serial no.  09/815,447 , filed  March 21, 2001 ;
- (d) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_;

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

24<sup>th</sup>  day of  Nov. , 2003

\_\_\_\_\_ day of \_\_\_\_\_, 2003

Signature of Inventor(s)



**Bertram Ludaescher**

\_\_\_\_\_  
**Amarnath Gupta**

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and which is found in (select appropriate item)

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors;
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executed this

Signature of Inventor(s)

\_\_\_\_\_ day of \_\_\_\_\_, 2003

\_\_\_\_\_  
**Bertram Ludaescher**

14th day of November, 2003

\_\_\_\_\_  
  
**Amarnath Gupta**