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BMS PATENT DEPT

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RECORDATION FORM COVER SHEET

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OMB No. 0651-0011 (exp. 4/94)

PATENTS ONLY

LD0324 (NP)

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Frank S. Gibson, Joydeep Kant,
Rajendra P. Deshpande, Karen L. TenHuisen,
Jing Liang, Jun Li, Susan D. Boettger,
Edward J. Gublo, Ulhas P. Dhokte

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 11/8/04, 10/28/04, 10/29/04, 10/26/04,
11/1/04, 10/29/04, 10/21/04, 10/21/04, 10/21/04.

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

Street Address: Lawrenceville-Princeton RoadCity: Princeton State: NJ ZIP: 08543-4000Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/909,899

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen B. DavisInternal Address: Bristol-Myers Squibb CompanyPatent DepartmentStreet Address: P.O. Box 4000City: Princeton State: NJ ZIP: 08543-40006. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Burton RodneyName of Person Signing
Reg. No. 22,076
SignatureNovember 15, 2004

Date

☐ Certificate of mailing on reverse sideTotal number of pages including cover sheet, attachments, and document: 8Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

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PATENT
REEL: 015376 FRAME: 0485

CH \$40.00 193880 10909899

ASSIGNMENT

We,

Frank S. Gibson	residing at	24 Shara Lane Pennington, New Jersey 08534 United States of America
Joydeep Kant	residing at	221 Hadleigh Drive Cherry Hill, New Jersey 08003 United States of America
Rajendra P. Deshpande	residing at	51 Chamberlain Drive Hillsborough, New Jersey 08844 United States of America
Karen L. TenHuisen	residing at	14 Drake Court Randolph, New Jersey 07869 United States of America
Jing Liang	residing at	57 Sayre Drive Princeton, New Jersey 08540 United States of America
Jun Li	residing at	56 Egerton Road Langhorne, Pennsylvania 19047 United States of America
Susan D. Boettger	residing at	7474 James Street Fayetteville, New York 13066 United States of America
Edward J. Gublo	residing at	4176 Pisces Circle Liverpool, New York 13090 United States of America
Ulhas P. Dhokte	residing at	5116 Hardstone Road Jamesville, New York 13078 United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any

international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

**PROCESS FOR PREPARING 4-ALKYL- OR 4-ARYL-OXYCARBONYL PACLITAXEL ANALOGS
AND NOVEL INTERMEDIATES**

invented by us and described in the non-provisional United States patent application

Application No. 10/909,899, filed August 2, 2004,

including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 8th day of November, 2004 by Frank S. Gibson
Frank S. Gibson

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On this day 8 of November, 2004, before me came Frank S. Gibson, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]

Kathleen A. Bodine
Notary Public

KATHLEEN A. BODINE
Notary Public of New Jersey
My Commission Expires April 12, 2009

Signed this 28 day of October, 2004 by Joydeep Kant
Joydeep Kant

STATE OF NEW JERSEY)
) ss.
COUNTY OF MIDDLESEX)

On this day 28th of October, 2004, before me came Joydeep Kant, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]

Laura H. Derbin
Notary Public

LAURA H. DERBIN
NOTARY PUBLIC, STATE OF NEW JERSEY
ID # 7272073
Commission Expires April 12, 2009

Signed this 29th day of October, 2004 by *Rajendra P. Deshpande*
Rajendra P. Deshpande

STATE OF NEW JERSEY)
) ss.
COUNTY OF MIDDLESEX)

On this day 29th of October, 2004, before me came Rajendra P. Deshpande, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]

Laura H. Derbin
Notary Public

LAURA H. DERBIN
NOTARY PUBLIC, STATE OF NEW JERSEY
ID # 2278973
Commission Expires Sept. 7, 2006

Signed this 26 day of October, 2004 by *Karen L. TenHuisen*
Karen L. TenHuisen

STATE OF NEW JERSEY)
) ss.
COUNTY OF MIDDLESEX)

On this day 26th of October, 2004, before me came Karen L. TenHuisen, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]

Laura H. Derbin
Notary Public

LAURA H. DERBIN
NOTARY PUBLIC, STATE OF NEW JERSEY
ID # 2278973
Commission Expires Sept. 7, 2006

Signed this 1st day of November, 2004 by Jing Liang

STATE OF NEW JERSEY)
) ss.
COUNTY OF MIDDLESEX)

On this day 1st of November, 2004, before me came Jing Liang, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]

Laura H. Derbin
Notary Public

LAURA H. DERBIN
NOTARY PUBLIC, STATE OF NEW JERSEY
ID # 2278973
Commission Expires Sept. 7, 2007

Signed this 29 day of October, 2004 by Jun Li

STATE OF NEW JERSEY)
) ss.
COUNTY OF MIDDLESEX)

On this day 29th of October, 2004, before me came Jun Li, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]

Laura H. Derbin
Notary Public

LAURA H. DERBIN
NOTARY PUBLIC, STATE OF NEW JERSEY
ID # 2278973
Commission Expires Sept. 7, 2007

Signed this 21 day of October, 2004 by Susan D. Boettger
Susan D. Boettger

STATE OF NEW YORK)
COUNTY OF ONONDAGA) ss.

On this day 21st of OCTOBER, 2004, before me came Susan D. Boettger, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]

Carol P. Bullock
Notary Public
CAROL P. BULLOCK
Notary Public, State of New York
No. 4764801
Qualified in Onondaga County
My Commission Expires 6/30/2006

Signed this 21 day of October, 2004 by Edward J. Gublo
Edward J. Gublo

STATE OF NEW YORK)
COUNTY OF ONONDAGA) ss.

On this day 21st of OCTOBER, 2004, before me came Edward J. Gublo, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]

Carol P. Bullock
Notary Public
CAROL P. BULLOCK
Notary Public, State of New York
No. 4764801
Qualified in Onondaga County
My Commission Expires 6/30/2006

Signed this 21 day of October, 2004 by Ulhas P. Dhokte
Ulhas P. Dhokte

STATE OF NEW YORK)
COUNTY OF ONONDAGA) ss.

On this day 21st of OCTOBER, 2004, before me came Ulhas P. Dhokte, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]

Carol P. Bullock
Notary Public
CAROL P. BULLOCK
Notary Public, State of New York
No. 4764801
Qualified in Onondaga County
My Commission Expires 6/30/2006