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Tab settings ⇨ ⇨ ⇨



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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Quintessence Photonics Corporation

## 2. Name and address of receiving party(ies)

Name: M.U.S.A. Inc., as collateral agent

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☐

Assignment

☐

Merger

☒

Security Agreement

☐

Change of Name

☐

Other \_\_\_\_\_

Street Address: 11157 West Washington Blvd.

City: Culver City State: CA Zip: 90232

Execution Date: 5/21/2004

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) \_\_\_\_\_

See Attachment A

B. Patent No.(s) \_\_\_\_\_

See Attachment A

Additional numbers attached? ☒ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Hillel Cohn, Esq.

Internal Address: \_\_\_\_\_

Squire, Sanders &amp; Dempsey L.L.P.

Street Address: 801 South Figueroa Street

14th Floor

City: Los Angeles State: CA Zip: 90017

## 6. Total number of applications and patents involved: 19

7. Total fee (37 CFR 3.41).....\$ 760.00

☒

Enclosed

☐

Authorized to be charged to deposit account

## 8. Deposit account number:

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## 9. Signature.

JEFFREY UNGAR

Name of Person Signing

Jeffrey Ungar

Signature

Date

05/28/2004 00000039 6711199 Total number of pages including cover sheet, attachments, and documents: 5

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Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231PATENT  
REEL: 015377 FRAME: 0539

**ATTACHMENT A TO RECORDATION FORM COVER SHEET**

CONVEYING PARTY: Quintessence Photonics Corporation

RECEIVING PARTY: M.U.S.A. Inc., as collateral agent

Continuation of Item 4: (Application Number(s) or Patent Number(s))

Patent Application Nos.	Patent Nos.
	6,711,199
	6,668,003
60/296,630	
60/361,792	
10/377,040	
60/361,750	
10/379,027	
60/361,785	
10/378,723	
60/357,011	
60/374,913	
10/417,920	
10/264,534	
60/449,667	
10/783,756	
60/449,766	
10/783,269	
60/538,538	
60/537,444	

**EXHIBIT A TO SHORT-FORM SECURITY AGREEMENT REGARDING PATENTS**

<b>Title</b>	<b>Serial No.</b>	<b>Patent No.</b>	<b>Filing Date</b>	<b>Status</b>
Method and Apparatus for High Optical Quality Laser Diode Light Source	60/296,630		Jun-06-2001	Provisional
A Laser Diode with an Internal Mirror	10/163,859	6,711,199	Jun-05-2002	Issued
De-Tuned Distributed Feedback Laser Diode	60/361,792		Mar-04-2002	Provisional
De-Tuned Distributed Feedback Laser Diode	10/377,040		Feb-28-2003	Pending
Laser Diode with an Amplification Section that has a Varying Index of Refraction	60/361,750		Mar-04-2002	Provisional
Laser Diode with an Amplification Section that has a Varying Index of Refraction	10/379,027		Mar-03-2003	Pending
A Laser Diode with a Low Absorption Diode Junction	60/361,785		Mar-04-2002	Provisional
A Laser Diode with a Low Absorption Diode Junction	10/378,723		Mar-03-2003	Pending
A Laser Diode with an In-Phase Output	60/357,011		Feb-12-2002	Provisional
A Laser Diode with an In-Phase Output	10/264,593	6,668,003	Oct-03-2002	Issued
Compact, High Power Pulsed Laser Source	60/374,913		Apr-22-2002	Provisional
Compact, High Power Pulsed Laser Source	10/417,920		Apr-16-2003	Pending
High Performance Vertically Emitting Lasers	10/264,534		Oct-03-2002	Pending

<b>Title</b>	<b>Serial No.</b>	<b>Patent No.</b>	<b>Filing Date</b>	<b>Status</b>
Mid-Infrared Laser Diode	60/449,667		Feb-24-2003	Provisional
Mid-Infrared Laser Diode	10/783,756		Feb-20-2004	Pending
Mid-Infrared Laser Diode	60/449,766		Feb-24-2003	Provisional
Mid-Infrared Laser Diode	10/783,269		Feb-20-2004	Pending
Very Low Cost Surface Emitting Laser Diode Arrays	60/538,538		Jan-23-2004	Provisional
Laser Diode with Monolithic Intra-Cavity Difference Frequency Generator	60/537,444		Jan-16-2004	Provisional

# SHORT-FORM SECURITY AGREEMENT REGARDING PATENTS

THIS SHORT-FORM SECURITY AGREEMENT REGARDING PATENTS is made as of May 24, 2004, by and between QUINTESSENCE PHOTONICS CORPORATION, a Delaware corporation, and M.U.S.A. Inc., DBA Money USA, in its capacity as collateral agent ("Assignee").

WHEREAS, pursuant to that certain Security Agreement, dated as of May 21, 2004 (the "Security Agreement"), among Assignor, Assignee and the Secured Parties (as defined in the Security Agreement) parties thereto, from time to time, Assignor has granted a security interest in its intellectual property to Assignee, including, but not limited to, the patents and patent applications described in Exhibit A attached hereto (collectively, the "Patents").

NOW, THEREFORE, pursuant to the Security Agreement, Assignor has granted unto Assignee a security interest in and to all said inventions disclosed in the Patents; and in and to the Patents, including all priority rights for other countries arising from the Patents; and in and to all substitutions, divisions, and continuations thereof; and in and to all Letters Patent, United States and foreign, that may be granted for said inventions; and in and to all extensions, renewals, and reissues thereof and all income, royalties, damages, claims and payments, if any, now or hereafter due or payable with respect thereto pursuant to contracts or obligations arising prior to the date hereof; and all causes of action (either in law or equity) based on the Patents and any related Letters Patent, including the right to sue, counterclaim and recover for past, present and future infringement of the same.

IN WITNESS WHEREOF, the parties hereto have caused this Short-Form Security Agreement Regarding Patents to be executed as of the date first written above.

QUINTESSENCE PHOTONICS CORPORATION,  
a California corporation

By: Jeffrey Ungar  
Name: JEFFREY UNGAR  
Title: CEO

M.U.S.A. Inc., DBA Money USA,  
in its capacity as collateral agent

By: [Signature]  
Name: Avi D. Breuer  
Title: President