	FORM PTO-1595 RECORDATION FORM COV 1-31-92 PATENTS ONLY		R SHEET U.S. Department of Commerce Patent and Trademark Office Attorney Docket No. 08201.0027-0100 Attorney Customer Number: 22,852						
To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof. Mail Stop Assignment Recordation Services									
Name of conveying parties: Jurg Tschopp Pascal Schneider					Name and address of receiving party: Name: Apoxis SA				
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No					Internal Address:				
3. Nature of conveyance:				Street	Street Address: 22, chemin des Croisettes				
⊠ Assi	gnment		Merger	City:	E	palinges			
Secu Agre	irity ement		Change of Name	States		Switzerland	Zîp Code:	1066	
☐ Othe				Additional name(s) & Address(es) attached?					
Execution Date: 10/14/2002				☐ Yes					
4. Applica	tion number	Γ:							
A. Patent Application Number(s):				В.	Р	atent Number(s):		
10/077	,438								
			Additional numbers attached?	☐ Yes	š	⊠ No			
Name and address of party to whom correspondence concerning document should be mailed:				6.	Total number of applications and registrations involved: ONE (1)				
Name: Leslie A. McDonell				7. Total fee (37 CFR 3.41): \$40					
				[]	Enclosed (Pi account)	ease charge def	iciency to deposit	
			<u> </u>] [3	Authorized t	o be charged to	deposit account	
Internal Address	8 DUNI	NER, L.L.P							
Street Address:		Street, N.W	·						
City:	Washington	n, D.C.							
State:		Zip:	20005-3315	8.	D	eposit Account	No.: <u>06-0916</u>	·	
9. Statem	ent and sign	nature.			•				
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.									
			Lisi No	(4)	-Da 🗸	16 ³	11/9/	7 <i>0</i> 20 U	
Leslie A. McDonell Signature Date Reg. No. 34,872 Total number of pages including cover sheet, attachments and documents: 5									

PATENT REEL: 015381 FRAME: 0174

Docket No. A080 US- C) P

<u>ASSIGNMENT</u>

WHEREAS, We, Jurg Tschopp and Pascal Schneider have invented "BAFF Receptor (BCMA), an Immunoregulatory Agent" described in an application for Letters Patent of the United States, the specification of which:

- [] is being executed on even date herewith and is about to be filed in the United States Patent Office;
- [X] was filed on February 15, 2002 as Application No. 10/077,438; a continuation of PCT/US00/25507 filed on August 16, 2000 claiming priority from U.S. provisional applications 60/149,378 filed on August 16, 2000; 60/181,684 filed February 11, 2000 and 60/183,536 filed February 18, 2000.
- [] was patented under U.S. Patent No. [] on [].

WHEREAS, Apoxis SA ("ASSIGNEE"), a corporation organized and existing under the laws of the Switzerland, and having a usual place of business at 22, chemin des Croisettes 1066 Epalinges, Switzerland desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application including any divisions, continuations and continuations-in-part thereof, and such Letters Patent of the United States, and of foreign countries, as may issue thereon; and in and to any and all renewals. reissues, reexaminations and extensions thereof; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent including, without limitation, any and all priority rights, convention rights and order benefits accruing or to accrue to assignee with respect to the filing of applications for patents in the United States and countries foreign thereto. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries

> PATENT REEL: 015381 FRAME: 0175



Inventor's	\wedge	
Signature:	1. 1 colops	
	Jurg Tschopp	
State/Commonwealth	ı	
Of Switzerland		
County of Bâle	-Campagne	
that he executed the f	ared before me the above-named Jurg oregoing instrument as his/her free ac	
day ofOctober		
Légalisation numbe		
(SEAL)		_ Notary Public _ (print name)
#11554 #11554	Mall not	

PATENT REEL: 015381 FRAME: 0176



with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation and continuation-in-part applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

·	<u> </u>
Inventor's D	
" "	
Signature: [] Chruich	<u> </u>
Pascal Schneider	
State/Commonwealth	
Of <u>Switzerland</u>	
County of Berne	
Dernie	
Then no co-all-and the second	
Then personally appeared before me the above-named Pasca	d Schneider and
acknowledged that he executed the foregoing instrument as	his/her free act and deed this
	and the fiel and deed (ins
Légalisation number 1'717	
SEAL) Jean-François Wahlen	
// /* (#2.050) _a()	Notary Public
in Echallens, Switzerland	(print name)
My Commission expires//_	_
Work 182	
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RECORDED: 11/16/2004

PATENT

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