

Docket No.: END920040100US1

FORM PTO-1595 (Modified)  
(Rev. 03-01)  
OMB No. 0651-0027 (exp.5/31/2002)  
P08A/REV03

RECORDATION FORM COVER SHEET

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): <b>Katherine C. Hegmann</b> <b>Steven M. Kagan</b> <b>John A. Ricketts</b></p> <p>Additional names(s) of conveying party(ies) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>International Business Machines Corporation</u></p> <p>Address: <u>New Orchard Road</u></p>  <p>City: <u>Armonk</u> State/Prov.: <u>NY</u></p> <p>Country: <u>US</u> ZIP: <u>10504</u></p> <p>Additional name(s) &amp; address(es) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Other _____</p> <p>Execution Date: <u>09/15/04, 09/18/04, 09/14/04</u></p>	

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

<p>A. Patent Application No.      Filing date</p> <p>10/964,529                              10/12/2004</p>	<p>B. Patent No.(s)</p>
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Additional numbers  Yes  No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Ronald A. D'Alessandro</u></p> <p>Registration No. <u>42,456</u></p> <p>Address: <u>Hoffman, Warnick &amp; D'Alessandro LLC</u></p> <p style="padding-left: 40px;"><u>Three E-Comm Square</u></p> <p>City: <u>Albany</u> State/Prov.: <u>NY</u></p> <p>Country: <u>US</u> ZIP: <u>12207</u></p>	<p>6. Total number of applications and patents involved: <span style="border: 1px solid black; padding: 2px;">1</span></p> <p>7. Total fee (37 CFR 3.41):.....\$ <u>40.00</u></p> <p><input type="checkbox"/> Enclosed - Any excess or insufficiency should be credited or debited to deposit account</p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number:</p> <p><u>09-0457 (IBM)</u></p> <p>(Attach duplicate copy of this page if paying by deposit account)</p>
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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

<u>John R. Pivnichny - Reg. No. 43,001</u>		<u>11/14/04</u>
Name of Person Signing	Signature	Date

Total number of pages including cover sheet, attachments, and 5

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PATENT  
DOCKET NO.: END920040100US1

### PATENT ASSIGNMENT FORM

For and in consideration of good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor acknowledges his/her prior and ongoing obligation to sell, assign, and transfer, and agrees to sell, assign, and transfer the entire world wide right, title and interest for the United States and all foreign countries, in and to any and all inventions and improvements conceived during and within the scope of his/her employment, and further acknowledges that he/she has sold, assigned, and transferred, and hereby sells, assigns, and transfers, unto:

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**Armonk, New York 10504**

a corporation of New York, and IBM desires to acquire all right, title, and interest, in and to the certain inventions (identified below), applications, and any United States and foreign patents to be obtained therefor relating to:

Title of Invention for **METHOD, SYSTEM AND PROGRAM PRODUCT FOR FUNDING AN  
OUTSOURCING PROJECT**

United States Patent

as set forth in United States Patent Application executed by each undersigned inventor on the date indicated by each inventor signature below, and further identified by Attorney Docket Number **END920040100US1**;  
(select one):  executed concurrently herewith or  Serial No. 10/964,529 Filed on 10/12/2004

for and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States and foreign patents and all rights of priority to be obtained therefor which may issue on any such application or for said invention therein disclosed, including any and all reissues or extensions thereof, to be held and enjoyed by, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each undersigned inventor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to IBM, its successors and assigns, in accordance herewith;

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Each undersigned inventor further covenants and agrees that he/she, upon the request and at the expense of the IBM, will execute and deliver any papers, make all rightful oaths, testify in any legal proceeding relating to said inventions and improvements, communicate to the IBM all facts known to the undersigned relating to such inventions and improvements and the history thereof; and perform all other lawful acts deemed necessary or desirable by IBM, and its legal representatives, to secure, maintain, and enforce patent protection for such inventions and improvements and for vesting title to such inventions and improvements in the IBM, and in particular to perfect title to said above-identified certain inventions, and applications including divisions and continuations thereof, and any and all Letters Patent which may be granted therefor or thereon, including reissues, extensions, or counterparts;

Each undersigned inventor hereby grants the IBM and the firm of the power to insert in this Assignment any further identification which may be necessary or desirable for recordation of this Assignment.  
This assignment is governed by the substantive laws of the state of New York.

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DOCKET NO.: END920040100US1

**Executed by Inventor 1 of 3**

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Signature: *Katherine C. Hegmann* Date: 9/15/04  
(Katherine C. Hegmann)

PATENT  
DOCKET NO.: END920040100US1

Executed by Inventor 2 of 3

Signature:

  
(Steven M. Kagan)

Date:

9/18/04

**PATENT**  
DOCKET NO.: END920040100US1

**Executed by Inventor 3 of 3**

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Signature: *John A. Ricketts*  
**(John A. Ricketts)**

Date: September 14, 2004

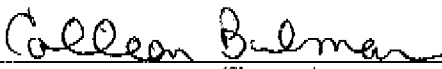
<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>		Docket No.	
Applicant(s): <b>Katherine C. Hegmann et al</b>		<b>END920040100US1</b>	

Serial No. <b>10/964,529</b>	Filing Date <b>10/12/2004</b>	Examiner <b>N/A</b>	Group Art Unit <b>N/A</b>
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Invention: **METHOD, SYSTEM AND PROGRAM PRODUCT FOR FUNDING AN OUTSOURCING PROJECT**

I hereby certify that this Recordation Form Cover Sheet, Assignment, Cert. of Facsimile  
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