

Form PTO-1595 (Rev. 06/04)  
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### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**

Samuel P. McManus  
Antoni Kozlowski  
J. Milton Harris

Execution Date(s) 10/27/2004, 10/26/2004, 10/21/2004

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: Nektar Therapeutics AL, Corporation

Internal Address: \_\_\_\_\_

Street Address: 490 Discovery Drive

City: Huntsville

State: AL

Country: \_\_\_\_\_ Zip: 35806

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance:**

- Assignment  Merger
- Security Agreement  Change of Name
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)  
10/897,386

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Mark A. Wilson

Internal Address: Nektar Therapeutics

Street Address: 150 Industrial Road

City: San Carlos

State: CA Zip: 94070

Phone Number: (650) 631-3100

Fax Number: (650) 631-3125

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:**

1

**7. Total fee (37 CFR 1.21 (h) & 3.41) \$ 40.00**

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

Credit Card Last 4 Numbers \_\_\_\_\_  
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Deposit Account Number 500348

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**9. Signature:**

Mark A. Wilson  
Signature

November 17, 2004  
Date

Mark A. Wilson  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A.

**PATENT**

CH \$40.00 500348 10697386

Practitioner's Docket No. SHE0084.00

**PATENT**

For: U.S. Rights and Foreign Rights  
For: U.S. Application  
By: Inventors

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

#### ASSIGNORS:

Samuel P. McManus  
8867 Tacoma Trail  
Huntsville, AL 35802  
Nationality: USA

Antoni Kozlowski  
2209 Fairfax Drive  
Huntsville, AL 35811  
Nationality: Poland

J. Milton Harris  
3309 Lookout Drive  
Huntsville, AL 35801  
Nationality: USA

hereby sell, assign and transfer to

#### ASSIGNEE:

Nektar Therapeutics AL, Corporation  
490 Discovery Drive  
Huntsville, AL 35806

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

#### METHOD FOR PREPARING FUNCTIONALIZED POLYMERS FROM POLYMERIC ALCOHOLS

and which is found in (37 C.F.R. section 3.21) U.S. application no. 10/897,386 filed on July 22, 2004 and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as

(Assignment of Invention--Multiple Inventors--page 1 of 6)

**PATENT**  
**REEL: 015390 FRAME: 0666**

litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date: Oct 27, 2004

Samuel P. McManus  
Signature of Samuel P. McManus

State of Alabama

County of Madison

On Oct 27, 2004 before me Ginger M Johnson  
personally appeared Sam McManus, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

Ginger M Johnson  
comm exp 8-6-2007

Date: Oct 26, 2004

Antoni Kozlowski  
Signature of Antoni Kozlowski

State of Alabama

County of Madison

On Oct 26, 2004 before me Ginger M Johnson  
personally appeared Antoni Kozlowski, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

Ginger M Johnson  
comm exp 8-6-2007

Date: Oct 21, 2004

J. Milton Harris  
Signature of J. Milton Harris

State of Alabama

County of Madison

On Oct 21, 2004 before me Evamarie Green  
personally appeared J. Milton Harris, personally known to me/or proved to me on the  
basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged  
to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or  
the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

E Green