

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**S. Christopher Davis - Execution Date: 11/03/2004  
Gjalt W. Hulsman - Execution Date: 11/10/2004  
Stephane J. Jenne - Execution Date: 11/08/2004  
Anke Kriebber - Execution Date: 11/03/2004  
Lisa Marie Newman - Execution Date: 11/09/2004Additional name of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance:**

- ☐ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Government Interest Assignment
- ☐ Executive Order 9424, Confirmatory License
- ☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**Name: Codexis, Inc., a Delaware corporation

Internal Address: \_\_\_\_\_

Street Address: 200 Penobscot DriveCity: Redwood CityState: CaliforniaCountry: United StatesZip: 94603Additional Name(s) & address(es) attached? ☐ Yes ☒ No**4. Application number(s) or patent number(s):**A. Patent Application No.(s)  
10/916,311☐ This document is being filed together with a new application.

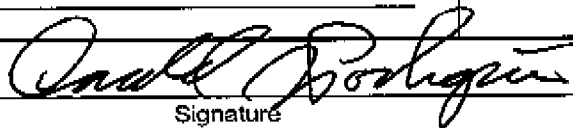
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address of party to whom correspondence concerning this document should be mailed:**Name: Donald J. PochopienInternal Address: McAndrews, Held & Malloy, Ltd.34th FloorStreet Address: 500 West Madison StreetCity: ChicagoState: Illinois Zip: 60661Phone Number: (312) 775-8000Fax Number: (312) 775-8100

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card
- ☐ Authorized to be charged to deposit account
- ☒ Enclosed
- ☐ None required (government interest not affecting title)

**8. Payment Information**a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_b. Deposit Account Number 13-0017Authorized User Name McAndrews Held & Malloy, Ltd.**9. Signature :**

Signature

Donald J. Pochopien, Reg. No. 32,167

November 19, 2004

Date

Total number of pages including cover sheet, attachments, and documents

4

Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5899, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Attorney Docket No: 0190.310US/15077US03

**ASSIGNMENT OF PATENT APPLICATION****JOINT**

WHEREAS, S. Christopher Davis of 104 Winfield Street, San Francisco, CA 94110, Gjal W. Huisman of 2211 Howard Avenue, San Carlos, CA 94070, Stephane J. Jenne of 821 El Camino Real, #202, Burlingame, CA 94010, Anke Krebber of 3500 Louis Road, Palo Alto, CA 94303, and Lisa Marie Newman of 1002 King Street, Redwood City, CA 94061, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: Improved Ketoreductase Polypeptides and  
Related Polynucleotides

Filing Date: August 11, 2004

Application No.: 10/916,311; and

WHEREAS, Codexis, Inc., a Delaware corporation, located at 200 Penobscot Drive, Redwood City, California 94603, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment  
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IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

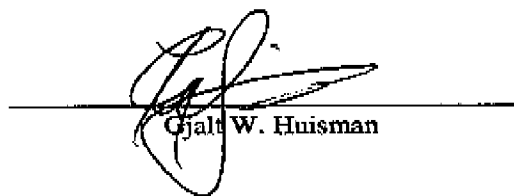
Dated: 3<sup>rd</sup> November 04   
S. Christopher Davis

SIGNATURE WITNESSED BY:

(1) Date: 11-3-04

  
Signature of Witness 1

Dated: November 10, 2004

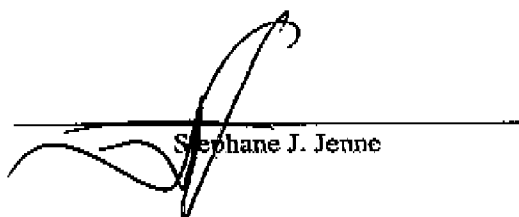
  
Gjalb W. Huisman

SIGNATURE WITNESSED BY:

(1) Date: November 10, 2004

  
Signature of Witness 1

Dated: 11.08.04

  
Stephane J. Jenne

SIGNATURE WITNESSED BY:

(1) Date: 11/08/04

  
Signature of Witness 1

Assignment  
Application No.: 10/916,311  
Page 3

Dated: 11-3-04

A. Krebber  
Anke Krebber

SIGNATURE WITNESSED BY:

(1) Date: 11-3-04

Murder. Fick  
Signature of Witness 1

Dated: 11/9/04

Lisa Marie Newman  
Lisa Marie Newman

SIGNATURE WITNESSED BY:

(1) Date: 11/9/04

Drane Deane  
Signature of Witness 1