

06-03-2004

Form PTO-1595

(Rev. 10/02)

(exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼



102757393

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Donald J. Phillips

## 2. Name and address of receiving party(ies)

Name: GKN Sinter Metals - Germantown, Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

Street Address: N112 W1870 Mequon Road

P.O. Box 1009

City: Germantown State: WI Zip: 53022Execution Date: May 24, 2004Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

60,287,904; and PCT/US02/13487

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Terri S. FlynnInternal Address: Quarles & Brady LLP6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 17-0055Street Address: 411 East Wisconsin AvenueCity: Milwaukee State: WI Zip: 53202

DO NOT USE THIS SPACE

## 9. Statement and signature.

Terri S. Flynn

Name of Person Signing

Signature

May 26, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

990029.90183

5583542\_1.PDF

PATENT  
REEL: 015395 FRAME: 0718

# ASSIGNMENT

WHEREAS, I, **Donald J. Phillips** of Menomonee Falls, Wisconsin, have made certain inventions which are described in PCT Application PCT/US02/13487, filed May 1, 2002 and U.S. Provisional Patent Application No. 60/287,904 filed on May 1, 2001 for Letters Patent of the United States entitled SURFACE DENSIFICATION OF POWDER METAL BEARING CAPS and

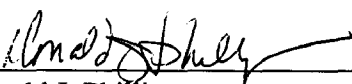
WHEREAS, GKN Sinter Metals - Germantown, Inc., a Wisconsin corporation having a place of business at Germantown, Wisconsin, (hereinafter called Company), is desirous of acquiring the entire interest in said inventions throughout the United States of America and the territories thereof and for all foreign countries.

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, receipt whereof is hereby acknowledged, I hereby sell, assign, and transfer unto said Company, its successors and assigns, effective retroactively from the date of making said inventions, the entire right, title and interest throughout the United States of America and the territories thereof and for all foreign countries, in and to said inventions, the aforesaid application, and all other applications hereafter filed in the United States or any other country based in whole or in part on said inventions, and all Letters Patent granted upon said application by the United States or any other country, and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Company. I further grant to said Company, its successors and assigns, the right to claim for any of said applications the full benefits and priority rights of any international agreement between the United States and any foreign country or countries.

I hereby warrant that I have the full right to make the conveyance herein, and I hereby covenant that I, my heirs, legal representatives and assigns, will, when requested, communicate to said Company, its representatives, successors and assigns, all facts known respecting said inventions, execute all applications including regular, divisional, continuing, reissue, reexamination and foreign applications together with individual assignments therefor, make all rightful oaths, sign all lawful papers, testify in any legal proceeding and generally do everything possible to aid said Company, its successors and assigns, in the obtaining of Letters Patent.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal on the date indicated below my signature.

WITNESSES:

 (SEAL)  
Donald J. Phillips

Date: 5/24/04

STATE OF WISCONSIN                    )  
  ) ss.  
COUNTY OF                                )

Before me on this 24<sup>th</sup> day of May, 2004, came Donald J. Phillips to  
me known to be the person named in the foregoing Assignment and acknowledged the  
execution thereof to be his free act and deed.

(SEAL)

Lori M McKinnon  
Notary Public, State of Wisconsin

My Commission: 5/15/05