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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

François LACOTE and Philippe MICHAUT

Additional name(s) of conveying party(ies) attached?

☐ yes☒ no

2. Name and address of receiving party(ies)?

ALSTOM
25 avenue Kléber
75116 Paris
FRANCE22387 U.S. PTO
10/8555647

052804

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Names☐ Other

Additional name(s) & address(es) attached?

☐ yes☒ no

Execution Date: April 9, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 9, 2004

A. Patent Application No.(s)

101855647

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

John H. Mion, Esquire
SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Suite 800
Washington, D.C. 20037-32136. Total number of applications and registration involved:
one (1)

7. Total Fee (37 CFR 3.41): \$40.00

☒ Enclosed. Please charge any underpayment in connection with this Assignment to Deposit Account No. 19-4880.☐ Authorized to be charged to Deposit Account

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John H. Mion

Reg. No. 18,879

May 28, 2004

Date

TOTAL NUMBER OF PAGES COMPRISING COVER SHEET, ATTACHMENTS AND DOCUMENT: 3

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PATENT
REEL: 015401 FRAME: 0525

ASSIGNMENT

In consideration of the sum of One dollar (US\$ 1.00) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned,

1) **LACOTE François** a French citizen, residing at:
48, rue Albert Dhalenne - 93482 ST OUEN - FRANCE

2) **MICHAUT Philippe** a French citizen, residing at:
33, rue des Bateliers - 93482 ST OUEN - FRANCE

hereby sell, assign and transfer to:

ALSTOM
25 avenue Kléber - 75116 PARIS - FRANCE

(hereinafter called the Assignee) the entire right, title and interest in and to any and all improvements which are disclosed in the application for United States Letters Patent entitled

A METHOD AND APPARATUS FOR CONTROLLING TRAINS, IN PARTICULAR A METHOD
AND APPARATUS OF THE ERTMS TYPE

a) which application was executed by the undersigned on the day of APRIL 9, 2004

b) filed on the day of *May 28, 2004*
Serial No.

including any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals or continuations thereof, and the right to all benefits under the International Convention for the Protection of Industrial property.

It is hereby authorized and requested that the Commissioner of Patents and Trademarks issue any and all said Letters Patent, when granted, to said Assignee.

Further, it is agreed that, when requested, without charge to but at the expense of said Assignee, the undersigned will execute all divisional, continuing, substitute, renewal, and reissue patent applications; execute all rightful other papers, and generally do everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection.

Signed at: ST OUEN

This day of: 09.04.04

Inventor(s):


LACOTE François


MICHAUT Philippe