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Attorney Docket #: 26409.00

Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings

06-07-2004



U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

To the Honorable Commissione

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tached original documents or copy thereof.

1. Name of conveying party(ies): Neptec Optical Solutions, Inc. Additional name(s) of conveying party(ies) attached? [] Yes [X] No

10-23-03

2. Name and address of receiving party(ies) Name: John CARBERRY Internal Address: City: Talbott State: TN Zip: 37877 Additional name(s) & address(es) attached? [] Yes [X] No

3. Nature of conveyance: [X] Assignment [] Security Agreement [] Other [] Merger [] Change of Name Execution Date: October 17, 2003

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 09/960,010 B. Patent No.(s) Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed: Name: Jack K. Greer, Jr. Internal Address: Street Address: Pitts & Brittan, P.C. P.O. Box 51295 City: Knoxville State: TN Zip: 37950-1295

6. Total number of applications and patents involved: [1] 7. Total fee (37 CFR 3.41) Enclosed Authorized to be charged to deposit account 8. Deposit account number: JUN 2004 16-1910 (Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Jack K. Greer, Jr. Signature Date 06-01-2004

Total number of pages including cover sheet, attachments, and documents [2]

10-27-2003



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Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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REC

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Neptec Optical Solutions, Inc.

10-22-03

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: John CARBERRY

Internal Address:

Street Address: 2814 Lake Forest Circle

City: Talbott State: TN Zip: 37877

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

Assignment

Merger

Security Agreement

Change of Name

Other

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Internal Address:

Street Address: Pitts & Brittan, P.C.

P.O. Box 51295

City: Knoxville State: TN Zip: 37950-1295

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

16-1910

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jack K. Greer, Jr.

Name of Person Signing

Jack Kenneth Greer Jr
Signature

10-22-2003

Date

Total number of pages including cover sheet, attachments, and documents 2

Mail documents to be recorded with required cover sheet information
to: Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

Neptec Optical Solutions, Inc., a Delaware corporation, domiciled in the County of Jefferson,
TN

for good and valuable consideration, receipt of which is hereby acknowledged, has assigned and
does hereby assign to

John CARBERRY, a individual of Tennessee, domiciled in Hamblen County, TN

its successors, assigns, and legal representatives the entire right, title and interest throughout
the world in and to U.S. Serial No. 09/960,010 , filed on 9/21/2001 , entitled:

Method of Producing Silicon Metal Particulates of Reduced Average Particle Size

and in and to all patent and all convention and treaty rights of all kinds, in all countries
throughout the world, for all such subject matter or improvements therein. I agree to sign all
papers necessary to secure all said patent rights, and request issuance of all said patents to
Assignee in accordance with this assignment.

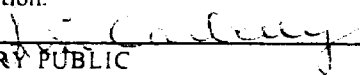
Dated: 10/17/03

Neptec Optical Solutions, Inc.

Signature: 

Name: Michael L. Smith

Title: Vice President of Engineering

IN THE COUNTY OF <u>Jefferson</u>)	
STATE OF <u>Tennessee</u>)	ss.:
I hereby certify that before me personally appeared <u>Michael L. Smith</u> , who acknowledged that he is the <u>Vice President of Engineering</u> of <u>Neptec Optical Solutions, Inc.</u> , and that he/she has full authority to act on behalf of <u>Neptec Optical Solutions, Inc.</u> in the disposition of the intellectual property herein described, personally known by me, who then and there was duly sworn by me, and under oath acknowledged that the foregoing instrument was duly signed, sealed and delivered by him on the date appearing at the foot thereof, all of which took place within my jurisdiction.	
<u></u> NOTARY PUBLIC	<u>Oct 17</u> , <u>2003</u> My Commission Expires: <u>1-13-2007</u>