

In place of Form PTO-1595

Docket No. AUS920040707US1

RECORDATION FORM COVER SHEET PATENTS

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): (1) Susann Marie Keohane (2) Gerald Francis McBrearty (3) Shawn Patrick Mullen (4) Jessica Murillo (5) Johnny Meng-Han Shieh Additional name(s) of conveying party(ies) attached? Yes <input checked="" type="checkbox"/> No	Execution Date: <u>10-1-2004</u> <u>10-1-2004</u> <u>10-5-2004</u> <u>10-1-2004</u> <u>10-1-2004</u>
2. Name and address of receiving party(ies): International Business Machines Corporation New Orchard Road Armonk, New York 10504	3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

(1) _____ (2) _____ (3) _____ (4) _____ (5) _____

A. Patent Application No.(s) 10/960,560 B. Patent No.(s) _____

5. Name and address of party to whom correspondence concerning document should be mailed:

Marilyn S. Dawkins
 IBM Corporation
 Intellectual Property Law
 11400 Burnet Road
 Austin, TX 78758
 (512) 823-0094

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0447

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Beverly Winfield

Beverly Winfield
 Signature

11-30-04
 Date

Total number of pages including cover sheet: 3

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PATENT
REEL: 015413 FRAME: 0349

CH \$40.00 090447 10960560

IBM DOCKET NO. AUS920040707US1

ASSIGNMENT

Whereas, we,

- (1) Susann Marie Keohane of Austin,
County of Travis and State of Texas;
- (2) Gerald Francis McBrearty of Austin,
County of Travis and State of Texas;
- (3) Shawn Patrick Mullen of Buda,
County of Hays and State of Texas;
- (4) Jessica Murillo of Hutto,
County of Williamson and State of Texas;

and

- (5) Johnny Meng-Han Shieh of Austin,
County of Travis and State of Texas;

have invented certain improvement in

CONTROLLING ELECTRONIC WITHDRAWALS BY A TRANSACTION PROCESSOR

and executed a United States patent application therefore on

(Date Inventor Signed Declaration) (1) 10/1, 2004; (2) 10-1, 2004;
(3) 10/5, 2004; (4) 10-1, 2004;
(5) 10/01, 2004;

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby


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agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.


(1) Signed at Austin on 10-1, 2004.


Susann Marie Keohane

(2) Signed at Austin on 10-1, 2004.


Gerald Francis McBrearty

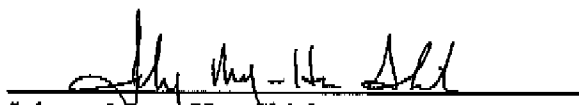
(3) Signed at Austin on 10/5, 2004.


Shawn Patrick Mullen

(4) Signed at Austin on 10-1, 2004.


Jessica Murillo

(5) Signed at Austin on 10/01, 2004.


Johnny Meng-Han Shieh