

Form PTO-1595 (Rev. 10/02)		RECORDATION FORM COVER SHEET		U.S. DEPARTMENT OF COMMERCE	
OMB No. 0651-0027 (exp. 6/30/2005)		PATENTS ONLY		U.S. Patent and Trademark Office	
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): Ron Smith		2. Name and address of receiving party(ies) Name: <u>Deep Down, Inc</u> Internal Address: _____ _____ Street Address: <u>15473 East Freeway</u> _____ City: <u>Channelview</u> State: <u>TX</u> Zip: <u>77530</u> Additional name(s) & address(es) attached? ☐ Yes ☒ No			
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No					
3. Nature of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other _____					
Execution Date: <u>September 9, 2004</u>					
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____					
A. Patent Application No.(s) <u>10/889,413</u>			B. Patent No.(s) _____		
Additional numbers attached? ☐ Yes ☒ No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Todd Mattingly</u> Internal Address: <u>Haynes and Boone, LLP</u> _____ _____ Street Address: <u>901 Main Street, Suite 3100</u> _____ City: <u>Dallas</u> State: <u>TX</u> Zip: <u>75202</u>			6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41).....\$ <u>40.00</u> ☐ Enclosed ☒ Authorized to be charged to deposit account 8. Deposit account number: <u>08-1394, Order #30383.4</u>		
DO NOT USE THIS SPACE					
9. Signature. Todd Mattingly Name of Person Signing  Signature December 1, 2004 Date					
Total number of pages including cover sheet, attachments, and documents: ☐					

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment, Commissioner of Patent, P.O. Box 1450
Alexandria, VA 22313-1450

CH \$40.00 081394 10889413

Patent / Docket No. 30383.4
Customer No. 000027683

ASSIGNMENT

WHEREAS, I,

(1) Ron Smith of Seabrook
State of Texas, USA
have invented certain improvements in

METHOD AND APPARATUS FOR INSTALLING AN UNDERSEA UMBILICAL

for which I have executed an application for Letters Patent of the United States of America, of even date herewith; and

WHEREAS, Deep Down, Inc. (Assignee), a corporation organized and existing under the laws of the State of Texas, having its principal office and place of business in the City of Channelview, State of Texas, is desirous of obtaining the entire right, title, and interest in, to and under the said invention and the said application in the United States of America and in any and all countries foreign thereto;

NOW, THEREFORE, for One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and other good and valuable consideration, I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Assignee, its successors, legal representatives, and assigns, the entire right, title, and interest in, to and under the said invention, and the said application, and all divisional, renewal, substitutional, and continuing applications thereof, and all Letters Patent of the United States of America which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may be filed for said invention in any country or countries foreign to the United States of America, and all extensions, renewals, and reissues thereof, and all prior patents and patent applications from which a filing priority of the above-described patent application may be obtained, including the right to collect past damages; and [I / we] hereby authorize and request the Commissioner of Patents of the United States of America, and any official of any country or countries foreign to the United States of America, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to the said Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to said Assignee, its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, renewal, substitutional, continuing, and reissue applications, make all rightful declarations and/or oaths and generally do everything possible to aid the said Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention in all countries.

First Inventor Name: Ron Smith
Residence Address: 3010 South Island Drive, Seabrook, Texas 77586, USA

Dated: 9/9/04

Dated: 9/9/04

Inventor Signature

Witness Signature

Witness Name: Michelle Richard

11-494634-1.DOC

PATENT

RECORDED: 12/01/2004

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