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| 4. Application number(s) or patent number(s): | | |
| If this document is being filed together with a new application No.(s) 10/678,534 | B. Patent No.(s) | |
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REEL: 015424 FRAME: 0737

Date

License to the United States Government

Invention Title: Ultra High-Q Planar Microresonator and Method of Fabrication

Inventors: Kerry Vahala; Deniz K. Armani; Sean Michael Spillane; Tobias Kippenberg

Patent Application Serial No: 10/678,534

US Filing/Issue Date: 10/3/2003

Grant/Contract Identification No: N00014-00-1-0650

Foreign Applications filed/intended in (countries):

The invention identified above is a Subject Invention under 35 U.S.C. 200, et seq., and the Standard Patent Rights clause at 37 CFR 401.14 or FAR 52.227-11, which are included among the terms of the above-identified grant/contract award from the Office of Naval Research. This document is confirmatory of:

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The Government is here by granted an irrevocable power to inspect and make copies of the above-identified patent application.

California Institute of Technology

1200 E. California Boulevard Pasadena, California 91125

Dated:March 11, 2004

Adam Cochran

The Intellectual Property Counsel

CIT File No: 3777

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