

06-09-2004

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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102761822

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Herbert A. Chin
William P. Odgen
David A. Haluck

6-204

2. Name and address of receiving party(ies)

Name: UNITED TECHNOLOGIES CORPORATION

Internal Address: United Technologies Building

Street Address: _____

City: Hartford State: CT Zip: 06101

Additional name(s) & address(es) attached? Yes No

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

3/30/2004, 3/29/2004,
5/27/2004, respectively

Execution Date: _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10859371

B. Patent No.(s) _____

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Barry L. Kelmacher

Internal Address: BACHMAN & LaPOINTE, P.C.

Street Address: 900 Chapel Street, Suite 1201

City: New Haven State: CT Zip: 06510

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

21-0279

DO NOT USE THIS SPACE

9. Signature.

Barry L. Kelmacher
Name of Person Signing

Barry L. Kelmacher
Signature

June 2, 2004
Date

Total number of pages including cover sheet, attachments, and documents: 5

06/08/2004 ECDOPER 00000130 210279 10859371

01 FC:8021

40.00 BA

Documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

17510 U.S. PTO
10/8/03 371



060204

ASSIGNMENT

WHEREAS, We, Herbert A. Chin, of 23 Carousel Drive, Portland, CT 06480,

William P. Ogden, of 168 Fern Street, Gladstone, CT 06033, and
Residence Address

David A. Haluck, of 1788 SW Buckskin Trail, Stuart, FL 34997, have invented certain improvements in CARBO-NITRIDED CASE HARDENED MARTENSITIC STAINLESS STEELS, identified as Attorney Docket No. EH-10872(03-381), and described in a patent application executed by the undersigned on the dates set after the signatures hereto, being owners of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

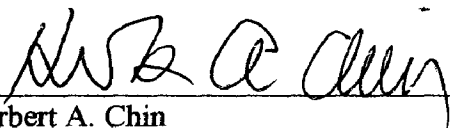
WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby

the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agrees, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have-hereunto set their hand and seal on the date after their signature.

(L.S.) 
Herbert A. Chin

Dated: 30 March 2004

(L.S.) _____
William P. Ogden

Dated: _____

(L.S.) _____
David A. Haluck

Dated: _____

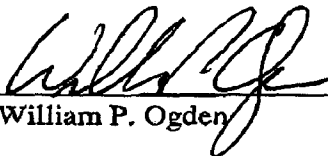
authorizes and requests the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agrees, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have-hereunto set their hand and seal on the date after their signature.

(L.S.) _____
Herbert A. Chin

Dated: _____

(L.S.)  _____
William P. Ogden

Dated: 3/22/04

(L.S.) _____
David A. Haluck

Dated: _____

authorizes and requests the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agrees, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have hereunto set their hand and seal on the date after their signature.

(L.S.) _____
Herbert A. Chin

Dated: _____

(L.S.) _____
William P. Ogden

Dated: _____

(L.S.) David A. Haluck
David A. Haluck

Dated: 5/27/2004