

Docket No.: 2100/6

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1. Name of conveying party(ies):
Paul Francis Wysocki

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: **06/17/2004**

2. Name and address of receiving party(ies):

Name: **Inplane Photonics, Inc.**

Internal Address:

Street Address: **600 Corporate Court**City: **South Plainfield** State: **NJ** ZIP: **07080**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/280,641filed **10/25/2002**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Stuart H. Mayer Reg. No. 35,277**Internal Address: **Mayer Fortkort & Williams PC**Street Address: **251 North Avenue West, 2nd Floor**City: **Westfield** State: **NJ** ZIP: **07090**

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ **40.00**☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joanne Ryan

Name of Person Signing

Signature

12/6/04

Date

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ASSIGNMENT FOR UNITED STATES PATENT APPLICATION
(PATENT APPLICATION PENDING)
(SOLE OR JOINT)

Docket: 2100/6

WHEREAS, Paul Francis Wysocki, a United States citizen, residing at 15 Pleasant View Way, Flemington, NJ 08822, Sergey Frolov, a Russian citizen, residing at 15 Berkeley Square, Berkeley Heights, New Jersey 07922, Joseph Shmulovich, a United States citizen, residing at 82 Sagamore Drive, New Providence, New Jersey 07974, Allan James Bruce, a Great Britain citizen, residing at 2351 Seneca Road, Scotch Plains, New Jersey 07076, and Tek-Ming Shen, a United States citizen, residing at 15 Westbrook Road, Westfield, New Jersey 07090 (hereinafter collectively and severally referred to as "assignor") have invented a certain invention entitled:

**MULTISTAGE OPTICAL AMPLIFIER HAVING A FIBER-BASED AMPLIFIER STAGE
AND A PLANAR WAVEGUIDE-BASED AMPLIFIER STAGE**

for which application for Letters Patent of the United States was filed with the United States Patent and Trademark Office on October 25, 2002 and has been accorded serial number 10/280,641, and

WHEREAS, Inplane Photonics, Inc., a Delaware corporation having an office at 600 Corporate Court, South Plainfield, New Jersey 07080 (hereinafter referred to as "assignee", which term includes the successors and assigns of assignee), is desirous of acquiring the entire right, title and interest, in and to said invention and in and to said letters patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW THEREFORE, be it known that in consideration of the payment by assignee to assignor of the sum of one dollar (\$1.00) and for other good and valuable consideration, the receipt of which is hereby acknowledged, assignor hereby sells, assigns and transfers to assignee his full and complete right, title, and interest in and to said invention and said patent application (including the rights of priority thereto) in the United States, its possessions, and in all foreign countries, and to all Letters Patent or similar legal protection in the United States, its possessions, and any and all foreign countries to be obtained on said invention or issuing from said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment, sale, and transfer.

ASSIGNOR further covenants that assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention, application, and Letters Patent and legal equivalents thereto in such foreign countries as may be known to and within the control of assignor and assignor will in good faith testify as to the same in any interference, proceeding, or litigation related thereto and will promptly execute and deliver to assignee or its legal representative any and all papers, instruments, affidavits, or the like required or useful to apply for, obtain, maintain, issue and enforce said application or Letters Patent or equivalent thereof in any aforesaid country of the world which may be necessary or desirable therefor.

IN WITNESS WHEREOF, I have hereunto set my signature representing my hand and seal on the date indicated.

Paul J Wysocki
Paul Francis Wysocki

Date 6/17/04

Sergey Frolov

Date _____

Joseph Shmulovich

Date _____

Allan James Bruce

Date _____

Tek-Ming Shen

Date _____