



Form PTO-1595

(Rev. 10-02)

OMB No. 0651-0027 (exp. 5/31/2002)

Recordation Form  
PATENTS

06-14-2004

Department of Commerce  
and Trademark Office

Tab settings ⇨⇨⇨ ▼

To the Honorable Commissioner of Patents and Trademarks, P

102764917

Copy thereof ▼

1. Name of conveying party(ies):

NIEL F. STARKSEN

6:404

2. Name and address of conveying party(ies):

Name: Guided Delivery Systems, Inc.

Internal Address:

Street Address: 12119 Edgecliff Place

City: Los Altos

State: CA

ZIP: 94022

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No.

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:Additional name(s) and address(es) attached? ☐ Yes ☒ No

Execution Date: June 1, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 10/741,130

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Scott M. Smith  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

## DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Scott M. Smith

Name of Person Signing

Atty. Reg. No. 48,268

Signature

June 2, 2004

Date

06/10/2004 DBYRNE

00000096 201430

10741130

Number of pages including cover sheet, attachments and documents: 3

01 FC:8021

40.00 DA

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services  
Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

JOHN TO

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

3. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

Attorney Docket No.: 016886-001320US

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, NIEL F. STARKSEN of 12119 Edgecliff Place, Los Altos, CA 94022; JOHN TO of 36514 Dijon Drive, Newark, CA 94560 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: DEVICES AND METHODS FOR HEART VALVE REPAIR

Date(s) of execution of Declaration:

Filing Date: December 19, 2003

Application No.: 10/741,130; and

WHEREAS, Guided Delivery Systems, Inc., a corporation of the state of Delaware, located at 12119 Edgecliff Place, , Los Altos, CA 94022, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, Eighth Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 6-1-04

NIEL F. STARKSEN

Dated: 6/1/04

JOHN TO

60217410 v1