

**ASSIGNMENT RECORDATION COVER SHEET
-PATENTS ONLY-**

Commissioner of Patents:

Please record the attached document.

1. Name of conveying party:

- a) Ronald E. Pelrine
- b) Roy D. Kornbluh
- c) Harsha Prahlad

2. Name and address of receiving party:

- a) Name: SRI International
- Address: 333 Ravenswood Avenue
Menlo Park, CA 94025

3. Nature of conveyance:

- | | | | |
|-------------------------------------|--------------------|--------------------------|-------------------|
| <input checked="" type="checkbox"/> | Assignment | <input type="checkbox"/> | Merger |
| <input type="checkbox"/> | Security Agreement | <input type="checkbox"/> | Change of Name |
| <input type="checkbox"/> | Other _____ | <input type="checkbox"/> | License Agreement |

Execution Date: 11/27/04 (Pelrine); 11/12/04 (Kornbluh); 11/12/04 (Prahlad)

4. Application Number or Patent Number: 10/933,113

The title of the application is: **SURFACE DEFORMATION ELECTROACTIVE POLYMER
TRANSDUCERS**

5. Please send all correspondence concerning this document to:

Beyer Weaver & Thomas, LLP
P.O. Box 778
Berkeley, CA 94704-0778

Ph: (650) 961-8300
Fax: (650) 961-8301
Customer Number: 022434

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed.
- ☒ Charge to Deposit Account No. 50-0388 (Order No. SRI1P053).
- ☒ Any additional fees are authorized to be charged to Deposit Account No. 50-0388 (Order No. SRI1P053).

Date: December 8, 2004



William J. Plut
Limited Recognition under 37 C.F.R. § 10.9(b)

Total number of pages including this cover sheet, attachments and documents: 3

Attorney Docket No. SRI1P053/US4951-2

(Revised 9/03)

PATENT**700137058****REEL: 015437 FRAME: 0782**

CH \$40.00 500388 10933113

ASSIGNMENT OF PATENT APPLICATION

Whereas I, an undersigned inventor, have invented certain new and useful improvements as set forth in the patent application entitled:

SURFACE DEFORMATION ELECTROACTIVE POLYMER TRANSDUCERS

(Atty. Docket No. SRI1P053/US-4951-2), (check one)

- ☐ for which I have executed a U.S. patent application on even date herewith. (Accompanying)
☒ which bears U.S. application No. 10/933,113. (Not accompanying)
☐ which is a U.S. provisional application. (Accompanying)

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, an undersigned inventor, hereby:

- 1) Sell, assign and transfer to SRI International, a California corporation having a place of business at 333 Ravenswood Avenue, Menlo Park, California 94025 ("ASSIGNEE"), the entire right, title and interest in any and all improvements and inventions disclosed in, applications based upon, and patents granted upon (including foreign patents and the right to claim priority), the above-referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all United States Patents resulting from said application or any division, continuation, substitute, renewal, re-examination or reissue thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon the inventor, as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I have not entered and will not enter into any assignment, contract, or understanding that conflicts with this assignment.
- 6) Authorize and request my attorney/agent to insert above the application No. in order to assist with recordal of this assignment.

Signed on the date indicated beside my signature.

- | | | | |
|----|-------------------------------------|--------------------------------------|-----------------------|
| 1) | Signature: <u>Ronald E. Pelrine</u> | Typed Name: <u>Ronald E. Pelrine</u> | Date: <u>11/27/04</u> |
| 2) | Signature: _____ | Typed Name: <u>Roy D. Kornbluh</u> | Date: _____ |
| 3) | Signature: _____ | Typed Name: <u>Harsba Prahlad</u> | Date: _____ |

ASSIGNMENT OF PATENT APPLICATION

Whereas I, an undersigned inventor, have invented certain new and useful improvements as set forth in the patent application entitled:

SURFACE DEFORMATION ELECTROACTIVE POLYMER TRANSDUCERS

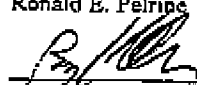
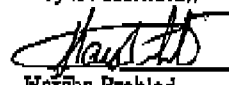
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Signed on the date indicated beside my signature.

- | | | |
|----|---|-----------------|
| 1) | Signature: _____
Typed Name: Ronald E. Pelrine | Date: _____ |
| 2) | Signature: 
Typed Name: Roy D. Kornbluh | Date: 12 Nov 04 |
| 3) | Signature: 
Typed Name: Harsha Prahlad | Date: 11/12/04 |

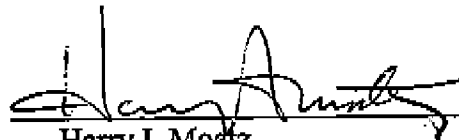
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATES PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

William Plut is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Beyer Weaver & Thomas, LLP law firm to prepare and prosecute patent applications wherein the patent applicant is the client of the Beyer Weaver & Thomas, LLP law firm, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Beyer Weaver & Thomas, LLP law firm. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) William Plut ceases to lawfully reside in the United States, (ii) William Plut's employment with the Beyer Weaver & Thomas, LLP law firm ceases or is terminated, or (iii) William Plut ceases to remain or reside in the United States on an TN visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

Expires: April 21, 2005



Harry I. Moatz

Director of Enrollment and Discipline