

FORM PTO-1593

1-31-92

RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Assistant Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Names of conveying parties:

Morten Schanke, Hans Rygh, Marius Hansen & Mathias Hoddevik

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party:

Name: Sun Microsystems, Inc.
Street Address: 4150 Network Circle
City: State: Zip: Santa Clara, CA 95054

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Additional name(s) & address(es) attached?

☐ Yes ☒ No

Execution Dates: August 16, 2004, August 18, 2004 & August 25, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the filing date of the application is:

A. Patent Application No.(s): 10/863,373

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel Vaughan
Park, Vaughan & Fleming LLP
702 Marshall Street, Suite 310
Redwood City, CA 94063

Attorney Docket No.: SUN04-0719

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41)\$40.00
☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Daniel E. Vaughan

Name of Person Signing


Signature
December 1, 2004

Date

Total number of pages including cover sheet, attachments and document: [17]

OP \$40.00 10863373

This Agreement is made between:

HANS RYGH, residing at Norderhovgt 31, 0654, Oslo, Norway
(Hereinafter referred to as "Undersigned Inventor"); and

Sun Microsystems AS, a company organised under the laws of Norway, having a
place of business at Olau Helsets Vei 6, PB. 150 Oppsal, 0619 Oslo, Norway
(Hereinafter referred to as SUN AS); and

Sun Microsystems Inc., a corporation of Delaware, United States of America, having a
place of business at 4150 Network Circle, Santa Clara, California 95054, United
States of America, (Hereinafter referred to as SUN Inc).

WHEREAS:

- A The or each Undersigned Inventor has been employed by Sun AS; and
- B In the course of this employment, the or each Undersigned Inventor has made an invention (The Invention) as described in United States Patent Application Number 10/863,373 filed 8 June 2004, "CREDIT ANNOUNCEMENT" (Sun Ref: 040719) either alone or jointly with other person(s) as listed in the attached Schedule; and
- C The or each Undersigned Inventor believes that he/she made or contributed to the Invention and that the Invention, as between him/her and Sun AS, belongs to Sun AS; and
- D SUN AS and SUN Inc desire that all intellectual property relating to the Invention shall be owned by SUN Inc;

NOW THIS AGREEMENT WITNESSETH as follows:-

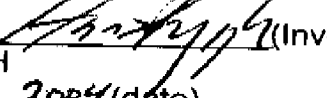
1. Insofar as any rights in the Invention vest with the Undersigned Inventor(s), in consideration of the sum of £1 (one pound Sterling) paid by SUN Inc to the or each Undersigned Inventor, the receipt of which is hereby acknowledged, the or each Undersigned Inventor hereby assigns with full title guarantee any rights, title and interest he/she may have in and to the Invention to SUN Inc to hold absolutely for the benefit of SUN Inc, its successors or assigns including the right to apply for and be granted patents or any other form of protection in respect of any country or territory in the world and including the right to claim priority from any such application.
2. Insofar as any rights in the Invention vest with SUN AS, in consideration of the sum of £1 (one pound Sterling) paid by SUN Inc to SUN AS, the receipt of which is hereby acknowledged, SUN AS hereby assigns with full title guarantee all rights, title and interest in and to the Invention to SUN Inc to hold absolutely for the benefit of SUN Inc, its successors to assigns including the right to apply for and be granted patents or any other form of protection in respect of any country or territory in the world and including the right to claim priority from any such application.
3. The or each Undersigned Inventor hereby undertakes that he/she shall, without


further consideration, but at the expense of SUN Inc or SUN AS execute all documents and do all such acts and things as SUN Inc or SUN AS may in their absolute discretion consider necessary or desirable to enable Letters Patent or any other form of protection to be issued in respect of the Invention in any part of the world and to vest the same in the name of SUN Inc free from all encumbrances and to enable or to assist SUN Inc to defend oppositions thereto, to maintain the same when granted and to prosecute for the infringement thereof.

4. The or each Undersigned Inventor hereby warrants to SUN Inc:


- (a) That he/she has not assigned or agreed to assign to any person firm or company not a Party hereto or otherwise encumbered the Invention or any other part of the rights therein and thereto;
- (b) That he/she has not disclosed and will not disclose the Invention to any person firm or company other than SUN AS or SUN Inc except as directed by SUN AS or SUN Inc;
- (c) That he/she will give to SUN AS or SUN Inc all information in his/her possession or in their power relating to the Invention and the method of employing or using the same as SUN AS or SUN Inc should require;
- (d) That he/she has not taken any action which would prevent a valid patent or other form of protection relating to the Invention being granted to SUN Inc or that would cause any such patent or other form of protection to be invalid.
- (e) That he/she has made SUN Inc or SUN AS aware of any action taken by any third party of which they are aware that would prevent a valid patent or other form of protection relating to the Invention being granted to SUN Inc or that would cause any such patent or other form of protection to be invalid.

IN WITNESS WHEREOF the parties have duly executed this document to have effect the _____ day of _____ 2004.

SIGNED by  (Inventor)
HANS RYGH
on 18 Aug 2004 (date)

 (Witness)
Solveig Rook (Print name)

SIGNED for and on behalf of
Sun Microsystems AS


by 
EIVIND RONGVED
Company Secretary
Sun Microsystems AS

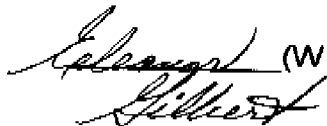
on 16/02/04 (date)

Solveig Røok (Witness)

Solveig Røok (Print name)

SIGNED for and on behalf of
Sun Microsystems, Inc

by 
ANDREW C. CHEN
Senior Counsel
Sun Microsystems, Inc.
on 11/11/04 (date)

 (Witness)

Eleanor Gilbert (Print name)

SCHEDULE

Invention TITLE: CREDIT ANNOUNCEMENT
Sun Microsystems Reference: SUN 040719
US Serial No: 10/863,373

INVENTORS:

HANS RYGH
Norderhovgt 31
0654
Oslo
Norway

MATHIAS HODDEVIK
Jotunveien 3B
N-1405
Langus
Norway

MARIUS HANSEN
Olaf Bulls vei 8 A
NO-0765
Oslo
Norway

MORTEN SCHANKE
Solveien 32B
Oslo, 1177
Norway

This Agreement is made between:

MORTEN SCHANKE, residing at Solveien 32B, Oslo, 1177, Norway
(Hereinafter referred to as "Undersigned Inventor"); and

Sun Microsystems AS, a company organised under the laws of Norway, having a
place of business at Olau Helsets Vei 6, PB. 150 Oppsal, 0619 Oslo, Norway
(Hereinafter referred to as SUN AS); and

Sun Microsystems Inc., a corporation of Delaware, United States of America, having a
place of business at 4150 Network Circle, Santa Clara, California 95054, United
States of America, (Hereinafter referred to as SUN Inc).

WHEREAS:

- A The or each Undersigned Inventor has been employed by Sun AS; and
- B In the course of this employment, the or each Undersigned Inventor has made an invention (The Invention) as described in United States Patent Application Number 10/863,373 filed 8 June 2004, "CREDIT ANNOUNCEMENT" (Sun Ref: 040719) either alone or jointly with other person(s) as listed in the attached Schedule; and
- C The or each Undersigned Inventor believes that he/she made or contributed to the Invention and that the Invention, as between him/her and Sun AS, belongs to Sun AS; and
- D SUN AS and SUN Inc desire that all intellectual property relating to the Invention shall be owned by SUN Inc;

NOW THIS AGREEMENT WITNESSETH as follows:-

1. Insofar as any rights in the Invention vest with the Undersigned Inventor(s), in consideration of the sum of £1 (one pound Sterling) paid by SUN Inc to the or each Undersigned Inventor, the receipt of which is hereby acknowledged, the or each Undersigned Inventor hereby assigns with full title guarantee any rights, title and interest he/she may have in and to the Invention to SUN Inc to hold absolutely for the benefit of SUN Inc, its successors or assigns including the right to apply for and be granted patents or any other form of protection in respect of any country or territory in the world and including the right to claim priority from any such application.
2. Insofar as any rights in the Invention vest with SUN AS, in consideration of the sum of £1 (one pound Sterling) paid by SUN Inc to SUN AS, the receipt of which is hereby acknowledged, SUN AS hereby assigns with full title guarantee all rights, title and interest in and to the Invention to SUN Inc to hold absolutely for the benefit of SUN Inc, its successors to assigns including the right to apply for and be granted patents or any other form of protection in respect of any country or territory in the world and including the right to claim priority from any such application.
3. The or each Undersigned Inventor hereby undertakes that he/she shall, without

further consideration, but at the expense of SUN Inc or SUN AS execute all documents and do all such acts and things as SUN Inc or SUN AS may in their absolute discretion consider necessary or desirable to enable Letters Patent or any other form of protection to be issued in respect of the Invention in any part of the world and to vest the same in the name of SUN Inc free from all encumbrances and to enable or to assist SUN Inc to defend oppositions thereto, to maintain the same when granted and to prosecute for the infringement thereof.

4. The or each Undersigned Inventor hereby warrants to SUN Inc:

(a) That he/she has not assigned or agreed to assign to any person firm or company not a Party hereto or otherwise encumbered the Invention or any other part of the rights therein and thereto;

(b) That he/she has not disclosed and will not disclose the Invention to any person firm or company other than SUN AS or SUN Inc except as directed by SUN AS or SUN Inc;

(c) That he/she will give to SUN AS or SUN Inc all information in his/her possession or in their power relating to the Invention and the method of employing or using the same as SUN AS or SUN Inc should require;

(d) That he/she has not taken any action which would prevent a valid patent or other form of protection relating to the Invention being granted to SUN Inc or that would cause any such patent or other form of protection to be invalid.

(e) That he/she has made SUN Inc or SUN AS aware of any action taken by any third party of which they are aware that would prevent a valid patent or other form of protection relating to the Invention being granted to SUN Inc or that would cause any such patent or other form of protection to be invalid.

IN WITNESS WHEREOF the parties have duly executed this document to have effect the ____ day of ____ 2004.

SIGNED by Morten Schanke (Inventor)
MORTEN SCHANKE
on 16/08/04 (date)

Solveig Rødk (Witness)
Solveig Rødk (Print name)

SIGNED for and on behalf of
Sun Microsystems AS


by 
EIVIND RONGVED
Company Secretary
Sun Microsystems AS

on 16/02/04 (date)

 (Witness)

Solveig Røkk (Print name)

SIGNED for and on behalf of
Sun Microsystems, Inc

by 
ANDREW C. CHEN
Senior Counsel
Sun Microsystems, Inc.

on 11/11/04 (date)

 (Witness)
Gilbert

____ (Print name)
Eleanor Gilbert

SCHEDULE

Invention TITLE: CREDIT ANNOUNCEMENT
Sun Microsystems Reference: SUN 040719
US Serial No: 10/863,373

INVENTORS:

HANS RYGH
Norderhovgt 31
0654
Oslo
Norway

MATHIAS HODDEVIK
Jotunveien 3B
N-1405
Langus
Norway

MARIUS HANSEN
Olaf Bulls vei 8 A
NO-0765
Oslo
Norway

MORTEN SCHANKE
Solveien 32B
Oslo, 1177
Norway

This Agreement is made between:

MATHIAS HODDEVIK, residing at Jotunveien 3B, N-1405, Langus, Norway
(Hereinafter referred to as "Undersigned Inventor"); and

Sun Microsystems AS, a company organised under the laws of Norway, having a
place of business at Olau Helsets Vei 6, PB. 150 Oppsal, 0619 Oslo, Norway
(Hereinafter referred to as SUN AS); and

Sun Microsystems Inc., a corporation of Delaware, United States of America, having a
place of business at 4150 Network Circle, Santa Clara, California 95054, United
States of America, (Hereinafter referred to as SUN Inc).

WHEREAS:

- A The or each Undersigned Inventor has been employed by Sun AS; and
- B In the course of this employment, the or each Undersigned Inventor has made an invention (The Invention) as described in United States Patent Application Number 10/863,373 filed 8 June 2004, "CREDIT ANNOUNCEMENT" (Sun Ref: 040719) either alone or jointly with other person(s) as listed in the attached Schedule; and
- C The or each Undersigned Inventor believes that he/she made or contributed to the Invention and that the Invention, as between him/her and Sun AS, belongs to Sun AS; and
- D SUN AS and SUN Inc desire that all intellectual property relating to the Invention shall be owned by SUN Inc;

NOW THIS AGREEMENT WITNESSETH as follows:-

1. Insofar as any rights in the Invention vest with the Undersigned Inventor(s), in consideration of the sum of £1 (one pound Sterling) paid by SUN Inc to the or each Undersigned Inventor, the receipt of which is hereby acknowledged, the or each Undersigned Inventor hereby assigns with full title guarantee any rights, title and interest he/she may have in and to the Invention to SUN Inc to hold absolutely for the benefit of SUN Inc, its successors or assigns including the right to apply for and be granted patents or any other form of protection in respect of any country or territory in the world and including the right to claim priority from any such application.
2. Insofar as any rights in the Invention vest with SUN AS, in consideration of the sum of £1 (one pound Sterling) paid by SUN Inc to SUN AS, the receipt of which is hereby acknowledged, SUN AS hereby assigns with full title guarantee all rights, title and interest in and to the Invention to SUN Inc to hold absolutely for the benefit of SUN Inc, its successors to assigns including the right to apply for and be granted patents or any other form of protection in respect of any country or territory in the world and including the right to claim priority from any such application.
3. The or each Undersigned Inventor hereby undertakes that he/she shall, without

further consideration, but at the expense of SUN Inc or SUN AS execute all documents and do all such acts and things as SUN Inc or SUN AS may in their absolute discretion consider necessary or desirable to enable Letters Patent or any other form of protection to be issued in respect of the Invention in any part of the world and to vest the same in the name of SUN Inc free from all encumbrances and to enable or to assist SUN Inc to defend oppositions thereto, to maintain the same when granted and to prosecute for the infringement thereof.

4. The or each Undersigned Inventor hereby warrants to SUN Inc:

(a) That he/she has not assigned or agreed to assign to any person firm or company not a Party hereto or otherwise encumbered the Invention or any other part of the rights therein and thereto;

(b) That he/she has not disclosed and will not disclose the Invention to any person firm or company other than SUN AS or SUN Inc except as directed by SUN AS or SUN Inc;

(c) That he/she will give to SUN AS or SUN Inc all information in his/her possession or in their power relating to the Invention and the method of employing or using the same as SUN AS or SUN Inc should require;

(d) That he/she has not taken any action which would prevent a valid patent or other form of protection relating to the Invention being granted to SUN Inc or that would cause any such patent or other form of protection to be invalid.

(e) That he/she has made SUN Inc or SUN AS aware of any action taken by any third party of which they are aware that would prevent a valid patent or other form of protection relating to the Invention being granted to SUN Inc or that would cause any such patent or other form of protection to be invalid.

IN WITNESS WHEREOF the parties have duly executed this document to have effect the 23 day of august 2004.

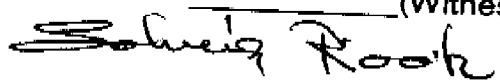
SIGNED by M. O. Hoddevik (Inventor)
MATHIAS HODDEVIK
on 23. aug. 2004 (date)

Solveig Rask (Witness)
Solveig Rask (Print name)

SIGNED for and on behalf of
Sun Microsystems AS


by 
EIVIND RONGVED
Company Secretary
Sun Microsystems AS

on 16/08/04 (date)

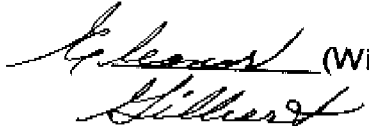
 (Witness)

Solveig Rook (Print name)

SIGNED for and on behalf of
Sun Microsystems, Inc

by 
ANDREW C. CHEN
Senior Counsel
Sun Microsystems, Inc.

on 11/11/04 (date)

 (Witness)

Eleanor Gilbert (Print name)

SCHEDULE

Invention TITLE:

CREDIT ANNOUNCEMENT

Sun Microsystems Reference:

SUN 040719

US Serial No:

10/863,373

INVENTORS:

HANS RYGH
Norderhovgt 31
0654
Oslo
Norway

MATHIAS HODDEVIK
Jotunveien 3B
N-1405
Langus
Norway

MARIUS HANSEN
Olaf Bulls vei 8 A
NO-0765
Oslo
Norway

MORTEN SCHANKE
Solveien 32B
Oslo, 1177
Norway

This Agreement is made between:

MARIUS HANSEN, residing at Olaf Bulls vei 8 A, NO-0765, Oslo, Norway
(Hereinafter referred to as "Undersigned Inventor"); and

Sun Microsystems AS, a company organised under the laws of Norway, having a
place of business at Olau Helsets Vei 6, PB. 150 Oppsal, 0619 Oslo, Norway
(Hereinafter referred to as SUN AS); and

Sun Microsystems Inc., a corporation of Delaware, United States of America, having a
place of business at 4150 Network Circle, Santa Clara, California 95054, United
States of America, (Hereinafter referred to as SUN Inc).

WHEREAS:

- A The or each Undersigned Inventor has been employed by Sun AS; and
- B In the course of this employment, the or each Undersigned Inventor has made an invention (The Invention) as described in United States Patent Application Number 10/863,373 filed 8 June 2004, "CREDIT ANNOUNCEMENT" (Sun Ref: 040719) either alone or jointly with other person(s) as listed in the attached Schedule; and
- C The or each Undersigned Inventor believes that he/she made or contributed to the Invention and that the Invention, as between him/her and Sun AS, belongs to Sun AS; and
- D SUN AS and SUN Inc desire that all intellectual property relating to the Invention shall be owned by SUN Inc;

NOW THIS AGREEMENT WITNESSETH as follows:-

1. Insofar as any rights in the Invention vest with the Undersigned Inventor(s), in consideration of the sum of £1 (one pound Sterling) paid by SUN Inc to the or each Undersigned Inventor, the receipt of which is hereby acknowledged, the or each Undersigned Inventor hereby assigns with full title guarantee any rights, title and interest he/she may have in and to the Invention to SUN Inc to hold absolutely for the benefit of SUN Inc, its successors or assigns including the right to apply for and be granted patents or any other form of protection in respect of any country or territory in the world and including the right to claim priority from any such application.
2. Insofar as any rights in the Invention vest with SUN AS, in consideration of the sum of £1 (one pound Sterling) paid by SUN Inc to SUN AS, the receipt of which is hereby acknowledged, SUN AS hereby assigns with full title guarantee all rights, title and interest in and to the Invention to SUN Inc to hold absolutely for the benefit of SUN Inc, its successors to assigns including the right to apply for and be granted patents or any other form of protection in respect of any country or territory in the world and including the right to claim priority from any such application.
3. The or each Undersigned Inventor hereby undertakes that he/she shall, without

further consideration, but at the expense of SUN Inc or SUN AS execute all documents and do all such acts and things as SUN Inc or SUN AS may in their absolute discretion consider necessary or desirable to enable Letters Patent or any other form of protection to be issued in respect of the Invention in any part of the world and to vest the same in the name of SUN Inc free from all encumbrances and to enable or to assist SUN Inc to defend oppositions thereto, to maintain the same when granted and to prosecute for the infringement thereof.

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(a) That he/she has not assigned or agreed to assign to any person firm or company not a Party hereto or otherwise encumbered the Invention or any other part of the rights therein and thereto;

(b) That he/she has not disclosed and will not disclose the Invention to any person firm or company other than SUN AS or SUN Inc except as directed by SUN AS or SUN Inc;

(c) That he/she will give to SUN AS or SUN Inc all information in his/her possession or in their power relating to the Invention and the method of employing or using the same as SUN AS or SUN Inc should require;

(d) That he/she has not taken any action which would prevent a valid patent or other form of protection relating to the Invention being granted to SUN Inc or that would cause any such patent or other form of protection to be invalid.

(e) That he/she has made SUN Inc or SUN AS aware of any action taken by any third party of which they are aware that would prevent a valid patent or other form of protection relating to the Invention being granted to SUN Inc or that would cause any such patent or other form of protection to be invalid.

IN WITNESS WHEREOF the parties have duly executed this document to have effect the _____ day of _____ 2004.


SIGNED by Marius Hansen (Inventor)
MARIUS HANSEN
on 18 aug. 2004 (date)

Solveig Røch (Witness)
Solveig Røch (Print name)

SIGNED for and on behalf of
Sun Microsystems AS


by 
EIVIND RONGVED
Company Secretary
Sun Microsystems AS

on 10/02/04 (date)

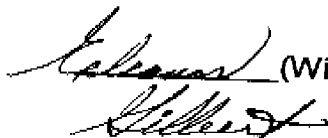
 (Witness)

Solveig Rook (Print name)

SIGNED for and on behalf of
Sun Microsystems, Inc

by 
ANDREW C. CHEN
Senior Counsel
Sun Microsystems, Inc.

on 11/11/04 (date)

 (Witness)

Eleanor Gilbert (Print name)

SCHEDULE

Invention TITLE:

CREDIT ANNOUNCEMENT

Sun Microsystems Reference:

SUN 040719

US Serial No:

10/863,373

INVENTORS:

HANS RYGH
Norderhovgt 31
0654
Oslo
Norway

MATHIAS HODDEVIK
Jotunveien 3B
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