

06-15-2004

FORM PTO-1595
(Rev. 6-93)

JET

U.S. Department of Commerce

OMB No. 0651-0011 (exp. 4/94)

102766065

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies): 6-4-04
Kenneth A. Gustke, Richard E. Jones III and Donald W. Dye, Jr.Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: May 28, 2004; May 24, 2004; and May 24, 2004;
respectively

2. Name and address of receiving party(ies):

Name: Zimmer Technology, Inc.

Internal Address: Suite 1200

Street Address: 150 North Wacker Drive

City: Chicago State: IL Zip: 60606

Additional name(s) & address(es) attached? ☐ Yes ☒ No22386 U.S. PTO
10/861549

4. Application number(s) or registration number(s):

NEW APPLICATION

If this document is being filed together with a new application, the execution date of the application is: May 27, 2004; May 24, 2004; and May 24, 2004; respectively

A. Patent Application No.(s):

B. Patent No.(s):

10861549Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Mike Amerson
Williams, Morgan & Amerson, P.C.
10333 Richmond, Suite 1100

City: Houston State: Texas Zip: 77042

6. Total number of applications and patents involved: 17. Total fee (37 C.F.R. 3.41)----- \$ 40.00

- ☒ Enclosed
☒ Authorized to be charged to deposit account if check is missing or insufficient

8. Deposit account number: 50-0786/2108.003800
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Mike Amerson

06/04/04

Name of Person Signing, Reg. No. 35,426

Signature

Date

Total number of pages including cover sheet, attachments and documents: 7

Mail documents to be recorded with required cover sheet information to:
 Mail Stop Assignment Recordation Services, Director of the U.S. Patent and Trademark Office,
 P.O. Box 1450, Alexandria, VA 22313-1450

CUSTOMER NO. 23720

EXPRESS MAIL RECEIPT

NUMBER: EV 405387888 US

DATE OF DEPOSIT: JUNE 4, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

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04 FC-8021

PATENT
REEL: 015444 FRAME: 0639

Signature: _____
 RICHARD E. JONES III

Date: _____

STATE OF TEXAS)
) ss.
 COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2004, personally appeared RICHARD E. JONES III, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

 Notary Public in and for the State of Texas

[SEAL]

Signature: _____
 DONALD W. DYE, JR.

Date: _____

STATE OF TEXAS)
) ss.
 COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2004, personally appeared DONALD W. DYE, JR., known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

 Notary Public in and for the State of Texas

[SEAL]

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to ZIMMER TECHNOLOGY, INC.. (the "Assignee"), a corporation of Delaware, having a place of business at 150 North Wacker Drive, Suite 1200, Chicago, IL 60606, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements, which has been executed by the undersigned concurrently herewith, entitled "**RETRACTOR INSTRUMENTATION FOR TOTAL HIP ARTHROPLASTY, AND METHODS OF USING SAME,**" such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: _____
KENNETH A. GUSTKE

Date: _____

STATE OF FLORIDA)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2004, personally appeared KENNETH A. GUSTKE, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary Public in and for the State of Florida

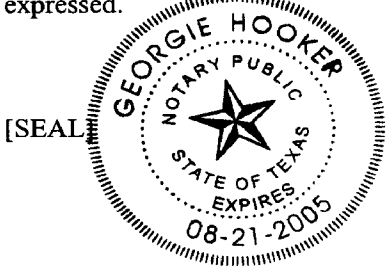
[SEAL]

Signature: *Richard E. Jones III*
RICHARD E. JONES III

Date: 5-24-04

STATE OF TEXAS)
) ss.
COUNTY OF Dallas)

BEFORE ME, the undersigned authority, on this 24th day of May, 2004, personally appeared RICHARD E. JONES III, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



Georgie Hooker
Notary Public in and for the State of Texas

Signature: _____
DONALD W. DYE, JR.

Date: _____

STATE OF TEXAS)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2004, personally appeared DONALD W. DYE, JR., known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]

Notary Public in and for the State of Texas

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to ZIMMER TECHNOLOGY, INC.. (the "Assignee"), a corporation of Delaware, having a place of business at 150 North Wacker Drive, Suite 1200, Chicago, IL 60606, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements, which has been executed by the undersigned concurrently herewith, entitled "**RETRACTOR INSTRUMENTATION FOR TOTAL HIP ARTHROPLASTY, AND METHODS OF USING SAME,**" such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: _____
KENNETH A. GUSTKE

Date: _____

STATE OF FLORIDA)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2004, personally appeared KENNETH A. GUSTKE, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary Public in and for the State of Florida

[SEAL]

Signature: _____

RICHARD E. JONES III

Date: _____

STATE OF TEXAS)
) ss.
 COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2004, personally appeared RICHARD E. JONES III, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

 Notary Public in and for the State of Texas

[SEAL]

Signature: *Donald W. Dye, Jr.*
 DONALD W. DYE, JR.

Date: 5/24/04

STATE OF TEXAS)
) ss.
 COUNTY OF _____)

BEFORE ME, the undersigned authority, on this 24th day of May, 2004, personally appeared DONALD W. DYE, JR., known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Karen Gray
 Notary Public in and for the State of Texas

[SEAL]

