Comparable to Form PTO-1619A-B Expires 06/03/99 OMB0651-0027

RE



EET

U.S. Department of Commerce Patent and Trademark Office PATENT

TO: Mail Stop Assignments, Director, U.S. Patent & Trade Please record the attached original document(s) or	mark Office, P.O. Box 1450, Alexandria, VA 22313-1450 copy(ies).
SUBMISSION TYPE 6. 14.04	CONVEYANCE TYPE
Resubmission (Non-Recordation) Document ID#	■ Assignment □ Security Agreement □ License □ Change of Name □ Merger □ Other
☐ Correction of PTO Error Reel # Frame#	□ Merger □ Other U.S. Government
Corrective Document Constant	(For Use ONLY by U.S. Government Agencies)
Reel # 014561 Frame# 0869	□ Department File □ Secret File
CONVEYING PARTY(IES): (Last name first)	RECEIVING PARTY: Name: BASF AG
Grandel, Roland Execution Date 07/31/03	Address:
Lubisch, Wilfried 07/31/03	City: 67056 Ludwigshafen
Kock, Michael 08/05/03	State: Germany
Hoger, Thomas 07/17/03	Zip Code:
Muller, Reinhold 07/31/03	•
Schult, Sabine 09/05/03	Mark if additional names of receiving parties attached
Holzenkamp, Uta 08/08/03	
Mark if additional names of conveying parties attached \Box	
APPLICATION NUMBER(S) OR PATENT NUMBER(S) Enter either the Patent Application Number or the Patent Numb If this document is being filed together with a new Patent Appli first named inventor: 00/00/00	cation, enter the date the patent application was signed by the
Patent Application Number(s):	Patent Number(s):
10/275,574	NCE
TOTAL NUMBER OF PROPERTIES: Enter the total numb	
PATENT COOPERATION TREATY (PCT): Enter PCT application number only if a U.S. Application Number has not been assigned:	NUMBER OF PAGES: Enter the total number of pages contained in the conveyance document including any attachment(s). DO NOT include the Recordation Form Cover Sheet pages in this total.
	3
CORRESPONDENT NAME AND ADDRESS: Wood, Phillips, Katz, Clark & Mortimer Citicorp Center, Suite 3800	FEE AMOUNT: Total Fee (37 CFR 3.41)\$ 40.00 ☐ Enclosed ☐ Charge to Deposit Account 23-0785
500 West Madison Street	1 · · · · · · · · · · · · · · · · ·
Chicago, Illinois 60661-2511	The Commissioner is hereby authorized to charge any additional fees which may be required, or credi
(312) 876-1800	any overpayment, to Deposit Account 23-0785.
STATEMENT AND SIGNATURE	
To the best of my knowledge and belief, the foregoing information of the original document. Charges to deposit account 2004 ECOUPER 00000036 10275574	mation is true and correct and any attached copy is a true are authorized, as herein indicated.
2 8021 40.00 OP	V v 1
1/V/ Time	6/8/04
TOTAL THE PARTY OF	0/8/04
Martin L. Katz Name of Person Signing	Signature Date

. PA 90393 US-009/HA

Assignment

Serial No.:	10/275,574	Filed:	11/7/02
	The second secon	•	

In Consideration of One Dollar and other good and valuable considerations, the receipt of which is hereby acknowledged, the entire right, title and interest in the invention or improvements of the undersigned in <u>Substituted Indoles as PARP Inhibitors</u> and in the application for Letters Patent of the United States therefor, executed by the undersigned concurrently herewith, and in any reissue or extension of any Letters Patent that may be granted upon said application are hereby assigned by the undersigned to <u>BASF AG</u> a <u>Germany</u> corporation, having offices at <u>67056 Ludwigshafen</u>. Germany and the successors, legal representatives and assigns of <u>BASF AG</u> (hereinafter collectively called said Assignee), and the Commissioner of Patents and Trademarks is hereby authorized and requested by the undersigned to issue said Letters Patent to said Assignee.

For said considerations it is hereby agreed by the undersigned, upon the request of said Assignee, to execute any necessary and proper oaths or affidavits relating to said application or required for the filing or prosecution of any divisional or continuing application thereof or for the filing or prosecution of any application for the reissue or extension of any Letters Patent that may be granted on said invention or improvements that said Assignee may deem necessary or expedient, and for the said considerations it is further agreed by the undersigned, upon the request of said Assignee, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said Assignee in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, and further to perform, upon such request, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in the said Assignee as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made. And for the said considerations the entire right, title and interest in said invention or improvements, including all priority rights, and the right to claim priority rights and the privileges and benefits thereof, including those under the International Convention, and all other Conventions, and the right to file applications for patent in said Assignee's own name for said invention or improvements in each and every country of the world are hereby assigned and granted by the undersigned to said Assignee. It is further agreed by the undersigned, upon the request of said Assignee, to execute any and all documents that shall be required of the undersigned to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said Assignee. The undersigned also further agrees, for the said considerations, upon the request of said Assignee, to promptly perform all lawful acts deemed by said Assignee to be necessary or advisable in connection with maintaining, enforcing, or transferring the resulting grants of said Letters Patent in the United States or foreign countries. It is agreed that such lawful acts include, but are not limited to, taking oaths, executing declarations, powers, assignments and other papers and giving testimony.

Page 1 of 5

Serial No.:	10/275,574	Filed:	11/7/02
The attorneys of the undersigned provided therefo	to insert in this Assignm	on for patent are hereby a ent the date and serial nu	authorized and requested by amber thereof in the places
	\times \sim \sim \sim	Cand fraudos GRANDEL, Roland	<u> </u>
		GRANDEL, Roland is $\frac{\sqrt{31}}{2}$ day of $\frac{\sqrt{31}}{2}$	
State of) ss.		,
	,2000 the State and County afore ibed and executed the fore	said, and acknowledged th	
(SEAL)		Notary Public	
	My Commis	ssion Expires:	
	<u>×</u>	LUBISCH, Wilfried	· (
	Executed thi	is 31 day of July	,2003.
State of) ss.	·	
voluntarily subscri	,2003, he State and County afores bed and executed the fores	LUBISCH, Wilfried appearsaid, and acknowledged the going Assignment for the p	at he/she freely and
expressed.			
(SEAL)		Notary Public	
	My Commiss	sion Expires:	
		`	

Page 2 of 5

Serial No.: 10/275	5,574 F	Filed:	11/7/02
		_	
	* * * *		
	J Clark	leal	
	коск,		
	Executed this \sqrt{5} day or	1 August	, 2003.
State of)) ss.	V	
County of			
On		ged that he/she freely	and voluntarily
(SEAL)	J	lotary Public	
	My Commission Expires:		``
	* * * * *		
	Y Thou	a loge) <u> </u>
	HOGER	•	
	Executed this day of	: X Jul 17, 20	<u> </u>
State of			
County of) ss.)		-
On in and for the State and County subscribed and executed the fo	, 2003, <u>HOGER, Th</u> y aforesaid, and acknowledg pregoing Assignment for the	ed that he/she freely a	and voluntarily
	* ;	٠,	
(SEAL)	N	otary Public	
	My Commission Expires:		

Page 3 of 5

Serial No.:	10/275,574	Filed:	11/7/02

	X Z	En bold 6	lille
	Executed th	MULLER, Reighold is day of $\sqrt{O7/3}$	
State of) ss.		
County of			
Public, in and for	the State and County afore ibed and executed the fore	said, and acknowledged th	
(SEAL)		Notary Public	
	My Commi	ssion Expires:	
		* * * * *	
	×	SCHULT, Sabine	net
	Executed th	is $\underline{\bigvee}$ day of $\underline{\bigvee}$	<i>5. 9. 0</i> 3 , 2003.
State of		m .	
County of) 55.		
On	. 2003	. SCHULT. Sabine annear	ed before me, a Notary Public,
in and for the State subscribed and exc	and County aforesaid, an cuted the foregoing Assig	d acknowledged that he/sh	e freely and voluntarily
(SEAL)		Notary Public	
	My Commis	ssion Expires:	

Page 4 of 5

Serial No.:	10/275,574	Filed:	11/7/02	
	V U	= lolque	4	
•		HOLZENKAMP, Uta Executed this \(\) day of \(\) \(\) \(\) \(\) 2003.		
	Executed thi	s \checkmark day of \checkmark $?$	2003.	
State of)	•		
County of) ss.			
On	, 2003, or the State and County aforest cribed and executed the foregoing	said, and acknowledged th	peared before me, a Notary at he/she freely and ourposes and uses therein	
(SEAL)	·	Notary Public		
	My Commis	sion Expires:		

Page 5 of 5