

Docket No.: 126750-3 (GP2-0324-P)

FORM PTO-1595 (Modified)

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/31/2002)

P08A/REV03

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

**Jiawen Dong; Hua Guo; Robert John Hossan;  
Christian Lietzau; Vijay Mhetar**

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: **General Electric Company**Address: **1 River Road**

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: **10/22/04;10/22/04;11/1/04;10/22/04;11/1/04**City: **Schenectady** State/Prov.: **NY**Country: **USA** ZIP: **12345**

Additional name(s) &amp; address(es)

☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

**10/648,604****August 26, 2003**

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Roberta L. Pelletier**Registration No. **46,372**Address: **Cantor Colburn LLP****55 Griffin Road South**City: **Bloomfield** State/Prov.: **CT**Country: **USA** ZIP: **06002**

## 6. Total number of applications and patents involved:

**1**7. Total fee (37 CFR 3.41):.....\$ **40.00**☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

**07-0862**

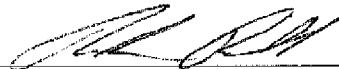
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Roberta L. Pelletier**

Name of Person Signing



Signature

**December 13, 2004**

Date

**23**

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:  
Mail Stop Assignment Recordation Services  
Director of the United States Patent and Trademark Office  
P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

REEL: 015449 FRAME: 0967

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**ASSIGNMENT**

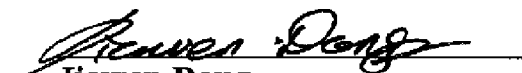
In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **Jiawen Dong of 12 Tintagel Way, Rexford, NY 12148; Hua Guo of 93 Dorchester Avenue, Selkirk, NY 12158 Robert John Hossan of 34 Yorkshire Lane, Delmar, NY 12054; Christian Lietzau of 147 Dumbarton Drive, Delmar, NY 12054 and Vijay Mhetar of 17 Eastmount Drive, Slingerlands, NY 12159** sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the improvements in **PURIFIED POLYMERIC MATERIALS AND METHODS OF PURIFYING POLYMERIC MATERIALS** invented by us, as described in the application for United States Patent executed concurrently herewith, which claims priority to **U.S. Patent Application Serial No. 10/648,604 filed on August 26, 2003** and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

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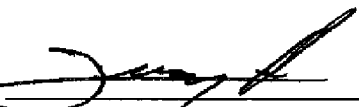
2

Signed at town of Overland Park State of Kansas, this 22 day of Oct, 2004.

Inventor:

  
Jiawen Dong

Witnessed by:

  
Signature

Date: Oct 22, 04

Quang Hu  
Printed Name of Witness

Witnessed by:

  
Signature

Date: Oct 22, 2004

Phuong Nguyen  
Printed Name of Witness

126750-3

3

Signed at town of \_\_\_\_\_, State of \_\_\_\_\_, this \_\_\_\_ day of \_\_\_\_\_, 2004.

Inventor:

\_\_\_\_\_  
**Hua Guo**

Witnessed by: \_\_\_\_\_

Signature

Date: \_\_\_\_\_

\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_

Signature

Date: \_\_\_\_\_

\_\_\_\_\_  
Printed Name of Witness

126750-3

4

Signed at town of \_\_\_\_\_, State of \_\_\_\_\_, this \_\_\_\_ day of \_\_\_\_\_, 2004.

Inventor:

\_\_\_\_\_  
**Robert John Hossan**

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

126750-3

1

**ASSIGNMENT**

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **Jiawen Dong of 12 Tintagel Way, Rexford, NY 12148; Hua Guo of 93 Dorchester Avenue, Selkirk, NY 12158 Robert John Hossan of 34 Yorkshire Lane, Delmar, NY 12054; Christian Lietzau of 147 Dumbarton Drive, Delmar, NY 12054 and Vijay Mhetar of 17 Eastmount Drive, Slingerlands, NY 12159** sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the improvements in **PURIFIED POLYMERIC MATERIALS AND METHODS OF PURIFYING POLYMERIC MATERIALS** invented by us, as described in the application for United States Patent executed concurrently herewith, which claims priority to **U.S. Patent Application Serial No. 10/648,604 filed on August 26, 2003** and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

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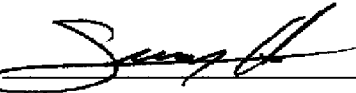
2

Signed at town of Overland Park State of Kansas, this 22nd day of Oct., 2004.

Inventor:

  
Jiawen Dong

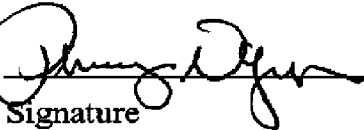
Witnessed by:

  
Signature

Date: Oct 22, 04

Quang Ho  
Printed Name of Witness

Witnessed by:

  
Signature

Date: Oct. 22, 2004

Phuong Nguyen  
Printed Name of Witness

126750-3

3

Signed at town of \_\_\_\_\_, State of \_\_\_\_\_, this \_\_\_\_ day of \_\_\_\_\_, 2004.

Inventor:

\_\_\_\_\_  
**Hua Guo**

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness



126750-3

4

Signed at town of \_\_\_\_\_, State of \_\_\_\_\_, this \_\_\_\_ day of \_\_\_\_\_, 2004.

Inventor:

\_\_\_\_\_  
**Robert John Hossan**

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

126750-3

5

Signed at town of \_\_\_\_\_, State of \_\_\_\_\_, this \_\_\_\_ day of \_\_\_\_\_, 2004.

Inventor:

\_\_\_\_\_  
**Christian Lietzau**

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

126750-3

6

Signed at town of \_\_\_\_\_, State of \_\_\_\_\_, this \_\_\_\_ day of \_\_\_\_\_, 2004.

Inventor:

\_\_\_\_\_  
**Vijay Mhetar**

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

126750-3

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126750-3

2

Signed at town of \_\_\_\_\_, State of \_\_\_\_\_, this \_\_\_\_ day of \_\_\_\_\_, 2004.

Inventor:

\_\_\_\_\_  
**Jiawen Dong**

Witnessed by: \_\_\_\_\_

Signature

Date: \_\_\_\_\_

\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_

Signature

Date: \_\_\_\_\_

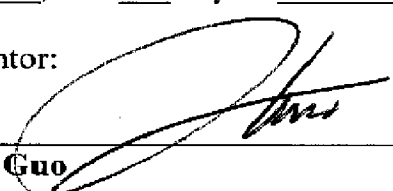
\_\_\_\_\_  
Printed Name of Witness

126750-3

3

Signed at town of Suk<sup>3</sup>VK, State of NP, this 22 day of 10, 2004.

Inventor:

  
Hua Guo

Witnessed by:



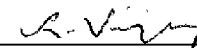
Signature

Date:

10-22-04CHRISTIAN LIETZAU

Printed Name of Witness

Witnessed by:



Signature

Date:

10-22-04VIJAY RAJAMANI

Printed Name of Witness

126750-3

4

Signed at town of \_\_\_\_\_, State of \_\_\_\_\_, this \_\_\_\_ day of \_\_\_\_\_, 2004.

Inventor:

\_\_\_\_\_  
**Robert John Hossan**

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

126750-3

5

Signed at town of Selkirk, State of New York, this 22 day of October, 2004.

Inventor:

C Lietzau

Christian Lietzau

Date: 10-22-04Witnessed by: [Signature]

Signature

Hua Guo

Printed Name of Witness

Witnessed by: [Signature]

Signature

VIJAY RAJAMANI

Printed Name of Witness

Date: 10-22-04



126750-3

6

Signed at town of \_\_\_\_\_, State of \_\_\_\_\_, this \_\_\_\_ day of \_\_\_\_\_, 2004.

Inventor:

\_\_\_\_\_  
**Vijay Mhetar**

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

126750-3

1

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, we, **Jiawen Dong of 12 Tintagel V 93 Dorchester Avenue, Selkirk, NY 12158 Robert Delmar, NY 12054; Christian Lietzau of 147 Dumfries Street, New York, NY 10014; Vijay Mhetar of 17 Eastmount Drive, Slingerlands, NY 12150** General Electric Company, a New York Corporation, having a principal office at 12345 New York 12345, USA (hereinafter referred to as "Company"), do hereby assign our entire right, title and interest in and to the improvements in **PURIFIED POLYMERIC MATERIALS AND METHODS OF PURIFYING POLYMERIC MATERIALS** invented by us, as described in the application for United States Patent executed concurrently herewith, which claims priority to **U.S. Patent Application Serial No. 10/648,604 filed on August 26, 2003** and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

**RECEIVED**  
NOV 02 2004  
CANTOR COLBURN LLP

126750-3

2

Signed at town of \_\_\_\_\_, State of \_\_\_\_\_, this \_\_\_\_ day of \_\_\_\_\_, 2004.

Inventor:

\_\_\_\_\_  
**Jiawen Dong**

Witnessed by: \_\_\_\_\_

Signature

Date: \_\_\_\_\_

\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_

Signature

Date: \_\_\_\_\_

\_\_\_\_\_  
Printed Name of Witness

126750-3

3

Signed at town of \_\_\_\_\_, State of \_\_\_\_\_, this \_\_\_\_ day of \_\_\_\_\_, 2004.

Inventor:

\_\_\_\_\_  
**Hua Guo**

Witnessed by: \_\_\_\_\_

Signature

Date: \_\_\_\_\_

\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_

Signature

Date: \_\_\_\_\_

\_\_\_\_\_  
Printed Name of Witness

126750-3

4

Signed at town of Bethlehem, State of New York, this 1<sup>st</sup> day of Nov., 2004.

Inventor:

Robert John Hossan  
Robert John Hossan

Witnessed by:

Geoffrey H Riding  
Signature

Date: 11-1-04

Geoffrey H Riding  
Printed Name of Witness

Witnessed by:

Edward N. Peters  
Signature

Date: Nov. 1, 2004

EDWARD N. PETERS  
Printed Name of Witness

126750-3

5

Signed at town of \_\_\_\_\_, State of \_\_\_\_\_, this \_\_\_\_ day of \_\_\_\_\_, 2004.

Inventor:

\_\_\_\_\_  
**Christian Lietzau**

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

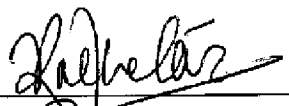
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Printed Name of Witness

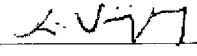
126750-3

6

Signed at town of Bethlehem State of New York, this 1<sup>st</sup> day of November 2004.

Inventor:

  
Vijay Mhetar -

Witnessed by:   
Signature

Date: 11/1/04VIJAY RAJAMANI

Printed Name of Witness

Witnessed by:   
Signature

Date: Nov. 1, 2004EDWARD N. PETERS

Printed Name of Witness