Ø 003

CORM_PTO-1595 (Modified) RECORDATION I	FORM COVER S					
(Rev. 03-01) Patent and Trademar OMB No. 0651-0027 (exp.6/31/2002) Patent and Trademar P08A/REV03 PATENTS ONLY						
Tab settings 🔶 🔶 🔍 🔍 🔍	▼	• • •	7			
To the Director of the United States Patent and Trademark O	fice: Please record	f the attached original documents or copy the	reof.			
1. Name of conveying party(ies):	2. Name and	2. Name and address of receiving party(ies):				
Edward Charles Daniel COCKING	Name: The	Name: The University of Nottingham				
	Address: U	Address: University Park, Nottingham NG7 2RD				
Additional names(s) of conveying party(ies)		·				
3. Nature of conveyance:						
Assignment Merger						
Security Agreement Change of Name	City:	State/Prov.:				
Other Corrected Assignment	_ Country: Gr	eat Britain ZIP:				
Execution Date: December 1, 2004	_ Additional name	a(s) & address(es) 🛛 Yes 🖾 No				
4. Application number(s) or patent numbers(s):						
If this document is being filed together with a new application	n the execution	date of the application is:				
Patent Application No. Filing date	B. P	atent No.(s)				
10/488,422 June 14, 2004						
Additional number	<u>5 Q Yes</u>	X No				
Additional number 5. Name and address of party to whom correspondence concerning document should be mailed:	<u>```</u>	⊠ No ber of applications and patents involved:	1			
5. Name and address of party to whom correspondence	6. Total num	ber of applications and patents involved:	1			
 5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Diane Dunn McKay</u> 	6. Total num – 7. Total fee (ber of applications and patents involved: 37 CFR 3.41):\$ 40.00	<u></u>			
 5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Diane Dunn McKay</u> 	6. Total num – 7. Total fee (– Enclose	ber of applications and patents involved:	<u></u>			
 5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Diane Dunn McKay</u> Registration No. <u>34,586</u> 	6. Total num 7. Total fee (Enclose credite	ber of applications and patents involved: 37 CFR 3.41):\$ <u>40.00</u> sed - Any excess or insufficiency should b	<u></u>			
 5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Diane Dunn McKay</u> Registration No. <u>34,586</u> Address: <u>Mathews, Collins, Shepherd & McKay, P.A.</u> 	6. Total num 7. Total fee (Enclose credita M Autho	ber of applications and patents involved: 37 CFR 3.41):\$ <u>40.00</u> sed - Any excess or insufficiency should b ed or debited to deposit account	<u></u>			
 5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Diane Dunn McKay</u> Registration No. <u>34,586</u> Address: <u>Mathews, Collins, Shepherd & McKay, P.A.</u> 	6. Total num 7. Total fee (Enclose credita M Autho	ber of applications and patents involved: 37 CFR 3.41):\$ <u>40.00</u> sed - Any excess or insufficiency should b ed or debited to deposit account rized to be charged to deposit account	<u></u>			
 5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Diane Dunn McKay</u> Registration No. <u>34,586</u> Address: <u>Mathews, Collins, Shepherd & McKay, P.A.</u> <u>100 Thanet Circle, Suite 306</u> 	6. Total num 7. Total fee (Enclos credite 8. Deposit at 13-2165	ber of applications and patents involved: 37 CFR 3.41):\$ <u>40.00</u> sed - Any excess or insufficiency should b ed or debited to deposit account rized to be charged to deposit account	e			
 5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Diane Dunn McKay</u> Registration No. <u>34,586</u> Address: <u>Mathews, Collins, Shepherd & McKay, P.A.</u> <u>100 Thanet Circle, Suite 306</u> City: <u>Princeton</u> State/Prov.: <u>NJ</u> Country: <u>USA</u> ZIP: <u>08540</u> DO NO 	6. Total num 7. Total fee (Enclos credite 8. Deposit at 13-2165	ber of applications and patents involved: 37 CFR 3.41):\$ <u>40.00</u> sed - Any excess or insufficiency should b ed or debited to deposit account rized to be charged to deposit account ccount number: plicate copy of this page if paying by deposit accourt	e			
 5. Name and address of party to whom correspondence concerning document should be mailed: Name: Diane Dunn McKay Registration No. <u>34,586</u> Address: <u>Mathews, Collins, Shepherd & McKay, P.A.</u> <u>100 Thanet Circle, Suite 306</u> City: <u>Princeton</u> State/Prov.: <u>NJ</u> Country: <u>USA</u> ZIP: <u>08540</u> <u>DO NO</u> 9. Statement and signature. To the best of my knowledge and belief, the foregoing information of the foregoing information. 	6. Total num	ber of applications and patents involved: 37 CFR 3.41):\$ <u>40.00</u> sed - Any excess or insufficiency should b ed or debited to deposit account rized to be charged to deposit account coount number: plicate copy of this page if paying by deposit accourt	e nt)			
 5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Diane Dunn McKay</u> Registration No. <u>34,586</u> Address: <u>Mathews, Collins, Shepherd & McKay, P.A.</u> <u>100 Thanet Circle, Suite 306</u> City: <u>Princeton</u> State/Prov.: <u>NJ</u> Country: <u>USA</u> ZIP: <u>08540</u> <u>DO NO</u> 9. Statement and signature. 	6. Total num	ber of applications and patents involved: 37 CFR 3.41):\$ <u>40.00</u> sed - Any excess or insufficiency should b ed or debited to deposit account rized to be charged to deposit account coount number: plicate copy of this page if paying by deposit accourt	e nt)			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Diane Dunn McKay</u> Registration No. <u>34,586</u> Address: <u>Mathews, Collins, Shepherd & McKay, P.A.</u> <u>100 Thanet Circle, Suite 306</u> City: <u>Princeton</u> State/Prov.: <u>NJ</u> Country: <u>USA</u> ZIP: <u>08540</u> <u>DO NO</u> 9. Statement and signature. To the best of my knowledge and belief, the foregoing infor of the original document. <u>Diane Dunn McKay</u>	6. Total num	ber of applications and patents involved: 37 CFR 3.41):\$ 40.00 sed - Any excess or insufficiency should b ed or debited to deposit account rized to be charged to deposit account count number: plicate copy of this page if paying by deposit account d correct and any attached copy is a true December 13, 2004	e nt)			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Diane Dunn McKay Registration No. 34,586 Address: Mathews, Collins, Shepherd & McKay, P.A. 100 Thanet Circle, Suite 306 City: Princeton State/Prov.: NJ Country: USA ZIP: 08540 00 No 9. Statement and signature. To the best of my knowledge and belief, the foregoing infor of the original document.	6. Total num 7. Total fee (Credite 6. Total fee (Credite 6. Deposit at 13-2165 (Attach du 07 USE THIS SPACE mation is true an Signature	ber of applications and patents involved: 37 CFR 3.41):\$ 40.00 sed - Any excess or insufficiency should b ed or debited to deposit account rized to be charged to deposit account count number: plicate copy of this page if paying by deposit account d correct and any attached copy is a true December 13, 2004 3	e nt)			

700137951

REEL: 015450 FRAME: 0922

2/13/2004 13:37 FAX 609 924 3036 MATH Rightfax 9/4/04 10:56	EWS COLLINS SHE		2007 Per		
		ket No.: 439			
	BOS7 FORM COVER SHE	T U.S.	DEPARTMENT OF COMME Patent and Trademark (
,		_			
Tab settings	 Dffice: Please record the	attached original dor	uments or copy thereof		
1. Name of conveying party(ies):		fress of receiving pa			
Edward Charles Daniel COCKING	N	Name: University of Nottingham Address: University Park			
	Address: <u>Ulli</u>	versity Park	<u> </u>		
Additional hames(a) of conveying party(ies)					
3. Nature of conveyance:					
🖄 Assignment 🔲 Merger					
Security Agreement Change of Name	City: Nottingh	am NG7 2RD	State/Prov.:		
□ Other	Country: Grea		ZIP:		
Execution Date: _August 11, 2004					
	Additional name(s)	S address(es)	□ Yes ⊠ No		
 Application number(a) or patent numbers(a): 					
If this document is being filed together with a new applicat	ion, the execution date	of the application (s:		
Patent Application No. Filing date	B. Paten	No.(\$)			
10/488,422 Јиле 14, 2004					
······					
Additional numbe	ne ÜYes 🖾 i	la			
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number (of applications and p	atents involved:		
Name: Diane Dunn McKay	— 7. Total fee (37 C	FR 3.41):	\$ 40.00		
Registration No. 34,586		Any excess or insu	-		
Address: Mathews, Collins, Shepherd & McKay, P.A.	credited or	debited to deposit	account		
100 Thazet Circle, Suite 306	 Authorized	to be charged to de	posit account		
	8. Deposit accou	nt number:			
Ch. Princeton Distance NY	- '				
City: Princeton State/Prov.; NJ					
Country: <u>USA</u> ZIP: <u>08540</u>	<u> </u>	copy of this page if pay	ий ох оврози эссоли).		
9. Statement and signature.	OT USE THIS SPACE		· · · • • • • • • • • • • • • • • • • •		
To the best of my knowledge and belief, the foregoing info of the original document.	rmation is true and con	rect and any attach	ed copy is a true copy		
Diane Dunn McKay		08/	18/2004		
Name of Person Signing Total number of pages including	Signature	and 3	Date		
Total number of pages includin Mail Bowining to be nederlike Mail Bow Assign	with moulead power sheet informe	iloa io:			
wein outplets and Director of the United Sta D C Day 1460 Al	nont Recordation Services ates Patent and Trademark Officiation VA 29242,4460	19			
		i 			
		_	TENT		
		I PA	TENT		

,

REEL: 015450 FRAME: 0923

12/13/2004 13:36 FAX 609 924 3036 01/12 '04 16:56 FAX 0121 456 1368

ASSIGNMENT

WHEREAS, Edward Charles Daniel Cocking as assignor has invented certain improvements in SYSTEMIC NON-NODULAR ENDOSYMBIOTIC NITROGEN FIXATION IN PLANTS for which an application for United States Letters Patent Application Serial No. 10/488,422 has been filed by him on March 1, 2004, and

WHEREAS, THE UNIVERSITY OF NOTTINGHAM, located at University Park, Nottingham, NG7 2RD, Great Britain as assignee, is desirous of acquiring all right, title and interest in and to said invention and any Letters Patent that may be granted therefor.

NOW, THEREFORE, in consideration of One Dollar(s) (\$1.00) and other good and valuable consideration, the receipt of which is hereby-acknowledged, Hwe, as assigners hereby sell, assign and set over to said assignee the entire right, title and interest for the United States and all other countries in and to said invention and the aforesaid application for a Letters Patent, all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States and all countries foreign, and the Commissioner for Patents is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignce herein, as assignce of the entire interest; and the undersigned for them and their legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignce or its representative all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

ASSIGNOR	WITNESS
Edward Charles Daniel Cocking	By: PAMELA KERR SCHOOL OF BIOLOGIA 1
	PATENT REEL: 015450 FRAME: 0924

ASSIGNMENT

WHEREAS, Edward Charles Daniel Cocking as assignor has invented certain improvements in SYSTEMIC NON-NODULAR ENDOSYMBIOTIC NITROGEN FIXATION IN PLANTS for which an application for United States Letters Patent Application Serial No. 10/488,422 has been filed by him on March 1, 2004, and

WHEREAS, UNIVERSITY OF NOTTINGHAM, located at University Park, Nottingham, NG7 2RD, Great Britain as assignee, is desirous of acquiring all right, title and interest in and to said invention and any Letters Patent that may be granted therefor.

NOW, THEREFORE, in consideration of One Dollar(s) (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I/we, as assignors hereby sell, assign and set over to said assignee the entire right, title and interest for the United States and all other countries in and to said invention and the aforesaid application for a Letters Patent, all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States and all countries foreign, and the Commissioner for Patents is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest; and the undersigned for them and their legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignce or its assigns, to communicate to said assignee or its representative all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignce.

ASSIGNOR		WITN	ESS	
Eaure Charles Daniel Corte	-Ġ		p. the	
By: Edward Charles Daniel Cocking	— —	By:	Philip John Stone	
N				
RECORDED: 12/13/2004	1		PATENT REEL: 015450 FRAME: 0925	