

06-17-2004



ASSIGNMENT

102770092

EET

6.14.04

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)
 - a) Brita GmbH (formerly Brita Wasser-Filter Systeme GmbH)
Heinrich-Hertz Strasse 4, Taunusstein, D-65232, Germany
2. Name and address of receiving party(ies):
 - a) Name: Clorox Switzerland SARL
Address: Rue du Môle 2-4, 2001
Neuchâtel, Switzerland
3. Nature of conveyance

☒	Assignment	☐	Merger
☐	Security Agreement	☐	Change of Name
☐	Other _____	☐	License Agreement

Execution Date: January 29, 2004

4. Application Number(s) or Patent Number(s): Des. 312,863

The title of the (new) application is:

FILTER CARTRIDGE

5. Please send all correspondence concerning this (these) documents to:

The Clorox Company
Legal Services
P.O. Box 24305
Oakland, California, U.S.A.
94623-1305

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to Deposit Account No.
(Order No. 03-2270)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: May 24, 2004

Name Michael J. Mazza
Registration No. 30,775

06/16/2004 MBETACHE 00000082 032270 D312863
01 FC:8021 40.00 DA

Attorney Docket No.

(Revised 01/96)

PATENT
REEL: 015452 FRAME: 0847

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2004 JUN 14 AM 10:59
OPR/FINANCE

ASSIGNMENT

WHEREAS, Brita GmbH (formerly Brita Wasser-Filter Systeme GmbH), a German Corporation, having a place of business at Heinrich-Hertz Strasse 4, Taunusstein, D-65232, Germany (hereafter referred to as "Assignor"), is the owner of all right, title and interest in the following patent:

United States Patent: Des. 312,863

Issued: 11 December 1990

Entitled: FILTER CARTRIDGE

WHEREAS, Clorox Switzerland SARL, a Swiss Limited Liability Corporation having a registered address at Rue du Môle 2-4, 2001 Neuchâtel, Switzerland, hereinafter referred to as **ASSIGNEE**, is desirous of acquiring the entire right, title and interest in and to said patent and said invention, in and to any and all improvement relating to said invention, and in and to Letters Patent thereon, when granted in the United States and all foreign countries; and

WHEREAS, **ASSIGNOR** acknowledges that, pursuant to the terms of their Agreements they have executed, the terms of which govern the obligations and duties of **ASSIGNOR**, the **ASSIGNOR** being under a continuing obligation to assign all right, title and interest in, to and under the invention which is the subject of their application for Letters Patent and any improvements thereof and all divisions, continuations and continuations-in-part thereof, to Clorox Switzerland SARL, its successors, legal representatives and assigns in accordance with said Agreements and with this instrument;

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00) and for other good and valuable consideration received by **ASSIGNOR** from **ASSIGNEE**, receipt of which is hereby acknowledged by **ASSIGNOR**:

1. **ASSIGNOR** hereby sell, assign, transfer and convey unto **ASSIGNEE** the entire right, title and interest in and to said patent and said invention and improvements; including all priority rights under the International Convention associated with the filing of said application, for each country of the Union; and in and to any and all Letters Patent on said invention and improvements that may be granted by the United States or any foreign countries, including each and every Letters Patent granted on any application which is a division, substitution, continuation or continuation-in-part of any application relating to said invention or improvements, and in and to each and every reissue or extension of said Letters Patent.

2. **ASSIGNOR** hereby warrants, covenants and represents that it has not heretofore granted any license, right or privilege in respect to the application or invention or improvements, or in any way encumbered the same, and that it has the full right to convey, free of all licenses and encumbrances, the entire interest hereby assigned.

3. **ASSIGNOR** covenants and agrees, at the request and expense of **ASSIGNEE**, to promptly execute all papers necessary or desirable to perfect ownership of said invention, improvement, applications or said Letters Patents in **ASSIGNEE**, and will execute all oaths and other papers necessary or desirable for prosecuting said application, for use in interference proceedings involving said invention or improvements, for refiling said applications, for filing of said

divisional, substitution, continuation or continuation-in-part applications covering said invention or improvements which are deemed necessary or desirable by ASSIGNEE, for reissuance of said Letters Patent, or for the filing in foreign countries of applications for Letters Patent covering said invention or improvements. ASSIGNOR further covenants and agrees that at the expense and request of ASSIGNEE they will promptly assist ASSIGNEE in interference proceedings involving said invention or improvements, and in litigation involving in said Letters Patent, and will assist in ascertaining facts and producing evidence relating to said invention or improvements.

4. The terms, covenants and provisions of this assignment shall inure to the benefit ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, its legal representatives and assigns.

5. ASSIGNOR hereby authorizes and requests The Commissioner of Patents and Trademarks to issue the United States Letters Patent, unto:

Clorox Switzerland SARL

its successors, assigns, and other legal representatives in accordance with this agreement.

IN TESTIMONY WHEREOF, I, DR. HANS JOCKEN SIEGRIST, have executed and delivered this instrument this 29th day of JANUARY, 2004.

DR. HANS JOCKEN SIEGRIST
Name: DR. HANS JOCKEN SIEGRIST
Title: IN-HOUSE COUNSEL, PROKURIST

On 29th JANUARY, 2004 before me, DOROTHEA WAGNER, Notary Public, personally

appeared DR. HANS JOCKEN SIEGRIST, (☒ personally known to me -- or -- () proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[Signature]



Nummer 28 der Urkundenrolle für das Jahr 2004

Ich beglaubige als heute vor mir vollzogen die Unterschrift des
Prokuristen der Firma Brita GmbH in 65232 Taunusstein,
eingetragen im Handelsregister des Amtsgerichts Wiesbaden
B 16042,

Herrn Dr. Hanns Jochen Siegrist, geb. am 22.5.1060,
geschäftsansässig Heinrich-Hertz-Straße 4, 65232 Taunusstein
von Person bekannt,

nachdem der Beteiligte auf mein Befragen eine Vorbefassung im
Sinne des § 3 Abs. 1 Nr. 7 BeurkG verneint hatte.

Taunusstein, den 29. Januar 2004


Notarin

Kostenrechnung

Geschäftswert: 10.000,00 €

Beglaubigungsgebühr §§ 32, 45 I KostO	5/20	13,50 €
Dokumentenpauschale §§ 136 I, II, 152 I KostO (Ablichtungen 4 Stück)		2,00 €
Zwischensumme netto		15,50 €
16 % Mehrwertsteuer § 151a KostO		2,48 €
<u>Gesamtbetrag</u>		<u>17,98 €</u>