

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

NEOKIMIA INC.

Execution Date(s): August 24, 2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: TRANZYME PHARMA INC.

Internal Address:

Street Address:

3001 12eme Avenue Nord, 3eme etage

City: Fleurimont

State:

Country: Canada Zip: J1H 5N5

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☐ Assignment

☐ Merger

☐ Security Agreement

☒ Change of Name

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other

4. Application or patent number(s):

A. Patent Application No.(s)

10/961,856

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: S. Peter Ludwig
DARBY & DARBY P.C.

Internal Address: Atty. Dkt.: 06670/100H748-US1

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City: New York

State: NY Zip: 10150-5257

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6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

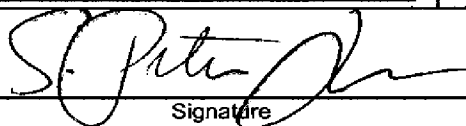
a. Credit Card Last 4 Numbers

Expiration Date

b. Deposit Account Number 04-0100

Authorized User Name S. Peter Ludwig

9. Signature:



December 9, 2004

Date

S. Peter Ludwig - 25,351

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 306-5995, on the date shown below.

Dated: _____

Signature: _____

PATENT

700138373

REEL: 015458 FRAME: 0105

CH \$40.00 040100 10961856



Industry Canada

Industrie Canada

**Certificate
of Amendment****Canada Business
Corporations Act****Certificat
de modification****Loi canadienne sur
les sociétés par actions**

TRANZYME PHARMA INC.

324456-3

Name of corporation-Dénomination de la société

Corporation number-Numéro de la société

I hereby certify that the articles of the
above-named corporation were amended:

Je certifie que les statuts de la société
susmentionnée ont été modifiés:

- a) under section 13 of the *Canada Business Corporations Act* in accordance with the attached notice;
- b) under section 27 of the *Canada Business Corporations Act* as set out in the attached articles of amendment designating a series of shares;
- c) under section 179 of the *Canada Business Corporations Act* as set out in the attached articles of amendment;
- d) under section 191 of the *Canada Business Corporations Act* as set out in the attached articles of reorganization;

- ☐ a) en vertu de l'article 13 de la *Loi canadienne sur les sociétés par actions*, conformément à l'avis ci-joint;
- ☐ b) en vertu de l'article 27 de la *Loi canadienne sur les sociétés par actions*, tel qu'il est indiqué dans les clauses modificatrices ci-jointes désignant une série d'actions;
- ☒ c) en vertu de l'article 179 de la *Loi canadienne sur les sociétés par actions*, tel qu'il est indiqué dans les clauses modificatrices ci-jointes;
- ☐ d) en vertu de l'article 191 de la *Loi canadienne sur les sociétés par actions*, tel qu'il est indiqué dans les clauses de réorganisation ci-jointes;

Director - Directeur

August 24, 2004 / le 24 août 2004

Date of Amendment - Date de modification

Canada



Industry Canada
Canada Business
Corporations Act

Industrie Canada
Loi canadienne sur les
sociétés par actions

FORM 4
ARTICLES OF AMENDMENT
(SECTIONS 27 OR 177)

FORMULAIRE 4
CLAUSES MODIFICATRICES
(ARTICLES 27 OU 177)

1 - Name of the Corporation - Dénomination sociale de la société

NEOKIMIA INC.

2 - Corporation No. - N° de la société

324456-3

3 - The Articles of the above-named Corporation are amended as follows:

Les statuts de la société mentionnée ci-dessus sont modifiés de la façon suivante :

To change its corporate name to :

TRANZYME PHARMA INC.

Signature 	Printed Name - Nom en lettres moulées Richard I. Eisenstadt	4 - Capacity of - En qualité de Chief Financial Officer	5 - Tel. No. - N° de tél. ---
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AUG 30 2004

IC3069 (2003/06)

IC3069 (2003/06) p. 2
DOCSMTL: 156447311

Canada

RECORDED: 12/14/2004

PATENT
REEL: 015458 FRAME: 0107