

Form PTO-1595
(Rev. 10/02)
OMB No. 0651-0027 (exp. 6/30/2005)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

PATENTS ONLY

Tab settings ⇌ ⇌ ⇌

To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Niklas Heidloff, Michael R. O'Brien, Carl J. Kraenzel and Gregory R. Klouda

2. Name and address of receiving party(ies)
Name: International Business Machines Corporation
New Orchard Road
Armonk, New York 10504

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Dates: (1) 10/12/04, (2) 10/12/04, (3) 10/6/04,
(4) 10/7/04.

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

Attorney Docket: 260-016 LOT9-2004-0054US1

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/967,535

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Dagg, Reg. No. 37,809

Steubing McGuinness & Manaras LLP

Internal Address: _____

Street Address: 125 Nagoq Park Drive

City: Acton State: MA Zip: 01720

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41). \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

502569

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Dagg

Name of Person Signing

David A. Dagg
Signature

December 14 2004
Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Arlington, VA 22313

CH \$40.00 502569 10967535

700138409

PATENT
REEL: 015458 FRAME: 0636

PATENT
DOCKET NUMBER: 260-016
LOT9-20040054US1

ASSIGNMENT

Whereas, we:

- | | | |
|-----|---|--|
| (1) | Niklas Heidloff | of Papenbrede 36,
33154 Salzkotten, Germany |
| (2) | Michael R. O'Brien
County of Middlesex | of 5 Humiston Circle, Westford
and State of Massachusetts |
| (3) | Carl J. Kraenzel
County of Suffolk | Of 8 Wellington #3, Boston
And State of Massachusetts |
| (4) | Gregory R. Klouda
County of Worcester | Of 164 Grant Way, Lancaster
State of Massachusetts |
- have invented certain improvements in

Automatic Subscriptions to Documents Based on User Navigation Behavior

and executed a United States patent application therefor on the following date(s):

- | | | | | | |
|-----|-----------------|-------|-----|------------------|-------|
| (1) | <u>10/12/04</u> | ; and | (2) | <u>10/12/04</u> | ; and |
| (3) | <u>10/06/04</u> | ; and | (4) | <u>10/7/2004</u> | |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

LOCATION	DATE	SIGNATURE
(1) <u>Bark, MA</u>	<u>10/12/04</u>	<u>V. Henderson</u>
(2) <u>Boston, MA</u>	<u>10/12/04</u>	<u>Michael J. Davis</u>
(3) <u>Boston, MA</u>	<u>10/06/2004</u>	<u>James F. L...</u>

PATENT

DOCKET NUMBER: 260-016
LOT9-20040054US1

ASSIGNMENT

LOCATION	DATE	SIGNATURE
(4) <u>Westford, MA</u>	<u>10/7/2004</u>	<u>Gregory R. Klade</u>