

ATTORNEY DOCKET NO. PG4514

Form PTO-1595

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeRECORDATION FORM COVER SHEET
PATENTS ONLY**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office Assignment

Branch at (703) 306-5995 on December 14, 2004 byAdmin's name here: Elaine MartensSign: Elaine Martens

Commissioner of Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

**Istvan KALDOR
Brian Edgar LOOKER
Christopher James LUNNISS
Alison Judith REDGRAVE**Additional names of conveying party(ies) attached?
☐ yes ☒ no

2. Name and address of receiving party(ies)

**GLAXO GROUP LIMITED
Glaxo Wellcome House
Berkeley Avenue
Greenford, Middlesex UB60NN
England**Additional name(s) and addresses attached?
☐ yes ☒ no

3. Description of the interest conveyed:

☒ Assignment, please record and return
☐ Security Agreement
☐ Other☐ Merger
☐ Change of NameExecution date of Assignment: January 29, 2004; January 16, 2004; January 16, 2004 and January 16, 20044. Application number(s) or patent number(s). Additional Sheets attached? ☐ Yes ☒ NoA. Patent Application No.(s)
10/485,595 filed 02/02/2004

B. Patent No.(s)

If this document is being filed together with a new application, the execution date of the application is

5. Name and address of party to whom
correspondence concerning documents should
be mailed:**David J. Levy
GlaxoSmithKline
Corporate Intellectual Property-MAI-B475
Five Moore Drive
P.O. Box 13398
Research Triangle Park, NC 27709-3398**6. Total number of applications and patents involved 17. Total Fee (37 C.F.R. 3.41) \$40.008. Please charge this fee to deposit account No. 07-1392.The Commissioner is hereby authorized to charge any additional fees
under 37 CFR 1.16 or 1.17 which may be required by this paper, or
credit any overpayment, to our Deposit Account No. 07-1392.

DO NOT USE THIS SPACE

9. Statement and Signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy
of the original document.*Charles E. DADSWELL (REG. 35,851)Charles E. DadswellDecember 14, 2004

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 7

CH \$40.00 071392 10486696

Assignment

WHEREAS, I/we Istvan KALDOR, a citizen of Hungary and residing at Durham, North Carolina, respectively has/have invented or discovered certain improvements in **SURFACTANT COMPOUNDS AND USES THEREOF** hereinafter referred to as said invention and improvements for which priority applications 0118994.3 filed on 03 August 2001 and 0206892.2 filed on 23 March 2002 in the United Kingdom and for which a PCT international application is now being filed designating the United States of America and naming assignor as inventor, and in the United States only applicant/inventor. I/We hereby authorize and request that the filing date and application number of said PCT application, when known be inserted in parentheses below.

(PCT/GB02/03586 filed 02 August 2002)

WHEREAS, **GLAXO GROUP LIMITED**, a company incorporated in England, whose registered office is at Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex, UB6 0NN, England, is desirous of acquiring the whole right, title and interest in and to said invention and improvements, and in and to any applications for said invention and improvements and any Letters Patent to be obtained therefor, in all countries, including the United States, its territories and possessions;


NOW, THEREFORE, to all whom it may concern, be it known that I/we, Istvan KALDOR for good and valuable consideration unto me/us moving, the receipt whereof is hereby acknowledged, have sold, assigned and transferred, and by these presents do sell, assign and transfer my/our whole right, title and interest in and to said invention and improvements to said **GLAXO GROUP LIMITED**, throughout the United States of America, its territories and possessions, and in and to said application and any extensions, reissues, continuations, continuations-in-part, and any divisions thereof, and in and to any and all Letters Patent of the United States of America;

AND, my/our whole right, title and interest in and to said invention and improvements to **GLAXO GROUP LIMITED**, in all other countries throughout the world, and in and to any applications in said other countries, and continuations-in-part, patents of addition, revalidation patents, patents of importation, registrations, and any renewals, extensions and divisions thereof, and in and to any and all Letters Patent of said all other countries which may be granted on said invention and improvements including any priority rights under the International Convention.

AND, I/we do hereby authorize and request the issue of any Letters Patent in the respective areas referred to, to said **GLAXO GROUP LIMITED**, as assignees of my/our whole right, title and interest in and to the same for the sole use and behoof of the said assignees, their successors and assigns as their interests appear herein;

AND, I/we warrant that I/we have not knowingly conveyed to others any right in said invention, improvements, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing said invention and improvements and that I/we have good right to assign the same to **GLAXO GROUP LIMITED**;

AND, I/we the undersigned **Istvan KALDOR** for the consideration aforesaid, do hereby agree that I/we or my/our executors or legal representatives, will provide information and make, execute and deliver any and all other instruments in writing, and any and all further acts, application papers, affidavits, assignments and other documents which may be necessary or desirable to more effectually secure to and vest in said **GLAXO GROUP LIMITED**, their successors and assigns, the whole right, title and interest in and to the said invention and improvements, applications, Letters Patent, rights, title and interest hereby sold, assigned and conveyed. or intended so to be. *This assignment should be deemed effective as of 03 August 2001.*


Inventor: Istvan KALDOR
Date Jan 29/2004

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ASSIGNMENT

WHEREAS I/WE, **Brian Edgar LOOKER, Christopher James LUNNISS and Alison Judith REDGRAVE** all of **Stevenage, Hertfordshire, GB** (hereinafter called "the inventor(s)") have invented or discovered "**SURFACTANT COMPOUNDS AND USES THEREOF**" (hereinafter called "the invention") for which patent applications Nos. **0118994.3** filed on **03 August 2001** and **0206892.2** filed on **23 March 2002** in the United Kingdom and for which an international patent application is now being filed designating the United States of America. I/we hereby authorize and request that the filing date and PCT International application, when known, be inserted here in parentheses;

(PCT/GB02/03586 filed 02 August 2002)

WHEREAS the invention, being made in the course of my employment, belongs to my/our employer, namely **GLAXOSMITHKLINE SERVICES UNLIMITED** a company incorporated in England whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, and

WHEREAS **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED**, a company incorporated in England whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, is desirous of acquiring from **GLAXOSMITHKLINE SERVICES UNLIMITED** the whole right, title and interest in and to the invention and the application, and

GLAXOSMITHKLINE SERVICES UNLIMITED and **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** have authorised and requested my/our making the application, and

WHEREAS **GLAXO GROUP LIMITED** a company organised and existing under and by virtue of the laws of England and having its principal place of business at Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex, UB6 0NN, England, is desirous of acquiring from **GLAXOSMITHKLINE RESEARCH AND**

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DEVELOPMENT LIMITED the whole right, title and interest in and to the invention and the application in respect of the United States of America;

NOW, THEREFORE, to all whom it may concern be it known that I/we, the inventor(s), hereby confirm the ownership by **GLAXOSMITHKLINE SERVICES UNLIMITED** of the invention and the application by operation of law and, if under the law of the United States of America I/we the inventor(s) have any ownership right, title and interest in the invention and the application (which I/we do not believe to be the case and claim no ownership right, title or interest in the invention and the application based on the law of the United Kingdom), I/we the inventor(s) nevertheless hereby assign and transfer such ownership right, title and interest in and to the invention and the application to **GLAXOSMITHKLINE SERVICES UNLIMITED**. **GLAXOSMITHKLINE SERVICES UNLIMITED** in turn hereby assigns and transfers to **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** its whole right, title and interest in and to the invention and the application. **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** in turn hereby assigns and transfers to **GLAXO GROUP LIMITED** its whole right, title and interest in and throughout the United States of America in and to the invention and the application and in and to any priority rights in respect of the invention and the application and in and to any divisional application, continuation or continuation in part application thereof, and in and to any extension or re-issue thereof, and I/we the inventor(s) and **GLAXOSMITHKLINE SERVICES UNLIMITED** and **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** hereby authorise and request any patent arising therefrom in the United States of America be issued to **GLAXO GROUP LIMITED**.

AND GLAXOSMITHKLINE SERVICES UNLIMITED and **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** hereby, and I/we the inventor(s) for myself/ourselves and my/our respective executors and legal representatives hereby, agree to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are

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necessary or desirable to more effectually secure to and vest in **GLAXO GROUP LIMITED**, its successors and assigns, the whole right, title and interest in and to the invention and the application hereby assigned and transferred in respect of the United States of America.

IN WITNESS whereof and with effect from **03 August 2001** the inventor(s) and **Peter John GIDDINGS** as Attorney of both **GLAXOSMITHKLINE SERVICES UNLIMITED** and **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** by virtue of Powers of Attorney granted by **GLAXOSMITHKLINE SERVICES UNLIMITED** and **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** respectively have hereunto set their respective hands.

SIGNED by Brian Edgar LOOKER:

Date: Brian E Looker
-16 January 2004

in the presence of:

J E Robinson

SIGNED by Christopher James LUNNISS:

Date: 16 Jan 2004

Christopher James Luniss

in the presence of:

J E Robinson

SIGNED by Alison Judith REDGRAVE:

Date: 16 January 2004

Alison Redgrave

in the presence of:

J E Robinson

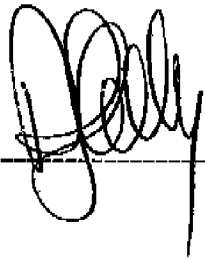
SIGNED by Peter John GIDDINGS as the Attorney of each of **GLAXOSMITHKLINE SERVICES UNLIMITED** and **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED:**

4:46PM

GLAXO WELLCOME

NO. 5908 P. 7

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A handwritten signature in black ink, consisting of several loops and a long vertical stroke, is written over a horizontal dashed line.

20 JAN 2004

Date: