

06-21-2004



ER SHEET

U.S. DEPARTMENT OF COMMERCE

ILY

Patent and Trademark Office

Tab settings → → → ▼

102771871

17302 U.S. PT.
10/866443

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Tengda Du
Kevin Dapeng Zhang
Xin Luo

6-11-04

2. Name and address of receiving party(ies):

Name: FINISAR CORPORATION

Address: 1308 Moffett Park Drive

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

City: Sunnyvale

State/Prov.: CA

Country: USA

ZIP: 95089

Execution Date: June 10, 2004 and June 11, 2004

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 06/10/04 & 06/11/04

Patent Application No.

Filing date

B. Patent No.(s)

06/17/2004 DTESEM1 00000067 10866443

04 FC:8021

40.00 DP

Additional numbers

☐ Yes ☒ No

10866443

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ERIC L. MASCHOFF

Registration No. 36,596

Address: Customer No. 022913

City: State/Prov.:

Country: ZIP:

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

23-3178

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

ERIC M. KAMERATH

Name of Person Signing

Signature

June 11, 2004

Date

7

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450PATENT
REEL: 015464 FRAME: 0420

WHEN RECORDED RETURN TO:

PATENT APPLICATION

Docket No: 15436.249.12.3

Express Mail Label No. EV 381 917 796 US

Workman Nydegger
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111

ASSIGNMENT

We,

- (1) Name: Tengda Du
 Address: 2560 Ann Street
 Fremont, CA 94536
- (2) Name: Kevin Dapeng Zhang
 Address: 2000 Walnut Avenue, Apt. O-301
 Fremont, CA 94538
- (3) Name: Xin Luo
 Address: 1544 Samedra Street
 Sunnyvale, CA 94087

respectively, have invented a method and apparatus entitled OPTICAL INTERLEAVER AND FILTER CELL DESIGN WITH ENHANCED CLEAR APERTURE hereinafter called the "invention."

Preferred embodiments of said invention are disclosed in a United States patent application executed concurrently herewith by us and now identified as File No. 15436.249.12.3 of the law firm of Workman Nydegger, 1000 Eagle Gate Tower, 60 East South Temple, Salt Lake City, Utah 84111, and filed in the United States Patent and Trademark Office as Serial No. _____ on _____. (We hereby authorize the attorneys of Workman Nydegger to insert said serial number and filing date when known.)

The Assignee, Finisar Corporation, a corporation of the State of Delaware, having a principal place of business at 1308 Moffett Park Drive, Sunnyvale, California 95089, desires to secure the entire right, title and interest in said invention.

In consideration of One Dollar (\$1.00) and other good and valuable consideration paid to us by the Assignee, the receipt and sufficiency of which we hereby acknowledge, WE HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in said invention in the above-identified United States patent application and in all divisions, continuations and continuations-in-part of said application, or reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

We hereby authorize and request the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives.

We hereby agree, without further consideration and without expense to us, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of us to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of our ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

This assignment and agreement shall be binding upon our heirs and legal representatives.

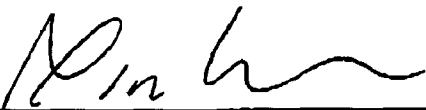
DATED this 10 day of June, 2004.

Tengda Du
Tengda Du

DATED this 10th day of June, 2004.


Kevin Dapeng Zhang

DATED this 11th day of June, 2004.



Xin Luo

KC0000002490V001