

8-22-2003

12-10-2004

ASSIGNMENT RECORDATION FORM



To: Commissioner for Patents:

102825699

Date: August 22, 2003
Attorney Docket No. 5576-150

Please record the attached original documents or copy, as indicated.

1. Name of conveying party(ies):

A. Toshihiro Tsumori
B. Masatoshi Ishii
C. Naofumi Shinya
D. Yu Hamaguchi

Additional name(s) of conveying party(ies) attached? Yes ☒ No

2. Name and address of receiving party(ies):

Shin-Etsu Chemical Co., Ltd.
6-1 Otemachi 2-chome
Chiyoda-ku, Tokyo
Japan

17302 U.S. PTO
10/646500

3. Nature of conveyance:

☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other

Execution Date: A. August 19, 2003 B. August 19, 2003
C. August 19, 2003 D. August 19, 2003

Additional name(s) & address(es) attached? Yes ☒ No

4. Application Serial No. To Be Assigned Filed On. Concurrently Herewith

If this document is being filed together with a new application, the execution date of the application is: _____

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

F. Michael Sajovec
Myers Bigel Sibley & Sajovec
P. O. Box 37428
Raleigh NC 27627

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00
☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 50-0220

40E

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

F. Michael Sajovec Reg. No. 31,793

August 22, 2003

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 2

CERTIFICATE OF EXPRESS MAILING

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Date of Deposit: August 22, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22314-1450

Clara R. Beard

08/22/2003 KZEWDIE 00000028 10646500

40.00 DP

ASSIGNMENT

WHEREAS: Toshihiro TSUMORI, Masatoshi ISHII, Naofumi SHINYA and Yu HAMAGUCHI of c/o Magnetic Materials Research & Development Center, SHIN-ETSU CHEMICAL CO., LTD., 1-5, Kitago 2-chome, Takefu, Fukui-ken, Japan (same for all of the inventors) (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled: "Plated Substrate For Hard Disk Medium And Manufacturing Method Thereof"

for which application for United States Letters Patent identified as _____
_____ has been executed on the 19th day of August 2003, and,

WHEREAS: SHIN-ETSU CHEMICAL CO., LTD., a corporation duly organized under and pursuant to the laws of JAPAN and having its principal place of business at 6-1, Otemachi 2-chome, Chiyoda-ku, Tokyo, JAPAN (hereinafter referred to as "ASSIGNEE"), has equitable rights in and to this invention and desires to confirm such rights and to acquire all right, title and interest in and to this invention, including any and all United States Letters Patent or similar legal protection to be obtained therefor.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, and transfers to said ASSIGNEE, its successors and assigns, all right, title and interest in and to said invention, including any and all United States Letters Patent which may be granted therefor and any and all extensions, continuations, divisions, renewals, substitutes or reissues thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property.

ASSIGNOR hereby covenants that no assignment, sale, agreement of encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNOR further covenants and agrees, upon request, to execute any instrument which said ASSIGNEE desires to carry this assignment in effect, and perfect the title transferred hereby, or to file or prosecute any of the above-mentioned United States applications.

And the Commissioner of Patents of the United States is hereby authorized to issue Letters Patent on said above-named application and on any continuation, substitute or division thereof to said ASSIGNEE.

IN TESTIMONY WHEREOF, I/We have hereunto set hand and seal this 19th day of August, 2003

Witnesses:

Masahiro Makikawa

Takashi Toide

ASSIGNOR:

Toshihiro Tsumori

Toshihiro TSUMORI

Masatoshi Ishii

Masatoshi ISHII

Naofumi Shinya

Naofumi SHINYA

Yu HAMAGUCHI

Yu HAMAGUCHI