

06-23-2004

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HT04-013

102775489

To the Honorable Commissioner of Patents and Trademarks, please return the attached original documents or copy thereof.

1. Name of conveying party(ies):

CHENG T. HORNG, RU-YING TONG, LIUBO HONG,
MIN LIAdditional name(s) or conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance



Assignment



Merger



Security Agreement



Change of Name



other _____

Execution Date: 6/1/04

2. Name and address of receiving party(ies):

Name: Headway Technologies, Inc.

Internal Address:

Street Address:

678 S. Hillview Drive
City: Milpitas State: Ca
Zip: 95035Additional name & address attached? ☒ Yes ☐ No17548 U.S. PTO
10/868715

061504

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 6/1/04

A. Patent Application No(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:Name: GEORGE O. SAILE

Internal Address: _____

Street Address: 28 DAVIS AVENUECity: POUGHKEEPSIE State: NY ZIP: 126036. Total no. of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00

Enclosed



Authorized to be charged to deposit acct.

8. Deposit account number: 19-0033

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9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy
of the original documentSTEPHEN B. ACKERMAN

Name of Person Signing

Signature

6/15/04

Date

Additional Assignee:

**Applied Spintronics, Inc.
Suite 100, 830 Hillview Court
Milpitas, CA 95035**

HT04-013

For ☒ U.S. and/or ☒ Foreign Rights
For ☒ Application or ☐ U.S. Patent
By ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

CHENG T. HORNG
Type or print name of ASSIGNOR
7174 CALCATERRA DR
Address
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U.S.A.
Nationality

RU-YING TONG
Type or print name of ASSIGNOR
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MIN LI
Type or print name of ASSIGNOR
5298 Salvatierra CT.
Address
Dublin, CA 94568
P.R. China
Nationality

hereby sells, assigns and transfers to

ASSIGNEE:

Headway Technologies, Inc.
678 S. Hillview Drive
Milpitas, Ca 95035
Usa
Nationality

Applied Spintronics, Inc.
Suite 100, 830 Hillview Court
Milpitas, Ca 95035

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest for the United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

A Novel Capping Structure For Enhancing Dr/R Of The Mjt Device

and which is found in

- ☒ (a) U.S. patent application executed on even date herewith
- ☐ (b) U.S. patent application executed on _____
- ☐ (c) U.S. application serial no. _____ filed on _____
- ☐ (d) U.S. patent no. _____ issued _____
(also check (e) if foreign application(s) is also being assigned)
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and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

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IN WITNESS WHEREOF, I / We have signed this

6/1/04

(Date of signing)

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Cheng T. Horng
Signature of ASSIGNOR(S)
Rui - Hui
Hong
Min Li

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized
to sign on behalf of ASSIGNOR

Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

___Notarization of Legalization Page Added.