Docket No.: 3974.002 U.S. DEPARTMENT OF COMMERCE 1 COVER SHEET FORM PTO-1395 (Modified) 06-23-2004 (Rev. 着 1) Patent and Trademark Office OMB No. 0651-0027 (exp.5/31/2002) ONLY P08/REV03 Tab settings → → To the Director of the United States Facility 21837 Please record the attached original documents or copy thereof. Name and address of receiving party(ies): 1. Name of conveying party(ies): Akiko TANAKA Name: Tampa Bay Research Institute William Guv BRADLEY John JESSIP Internal Address: ☐ Yes ☒ No Additional names(s) of conveying party(ies) 3. Nature of conveyance: Street Address: 10900 Roosevelt Blvd. Assignment Merger ☐ Security Agreement ☐ Change of Name City: St. Petersburg State: FL ZIP: 33716 ☐ Other Execution Date: June 16, 2004 Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) A. Patent Application No.(s) 09/964,240 Additional numbers attached? ☐ Yes ☑ No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Christopher J. Kay 7. Total fee (37 CFR 3.41):....\$ 40.00 Internal Address: Pendorf & Cutliff ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account Authorized to be charged to deposit account 8. Deposit account number: Street Address: 5111 Memorial Hwy 16-0877 State: FL ZIP: 33634 City: Tampa (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE 9. Statement and signature. 00 To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. June 16, 2004 Reg. No. 44,820 Christopher J. Kay Date Name of Person Signing Total number of pages including cover sheet, attachments, and document: Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 27313-1450 NT

Assignment

In consideration of the payment by ASSIGNEE to ASSIGNORS of valuable consideration, receipt whereof is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS

Akiko **TANAKA**

Tampa Bay Research Institute 10900 Roosevelt Blvd. St. Petersburg, FL 33716-2308

William Guy BRADLEY
Tampa Bay Research Institute
10900 Roosevelt Blvd.
St. Petersburg, FL 33716-2308

John **JESSIP**

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hereby sell, assign and transfer to

ASSIGNEE

Tampa Bay Research Institute 10900 Roosevelt Blvd. St. Petersburg, FL 33716-2308

and the successors, assigns and legal representatives of the ASSIGNEE, the entire right, title and interest for the United States and its territorial possessions, and countries foreign thereto, in and to any and all improvements which are disclosed in the invention entitled "Pine Cone Extracts and Uses Thereof", and which is found in U.S. Patent Application Serial Number 09/964,240 filed September 26, 2001, or any continuation, divisional, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof, including the right to claim priority under 35 U.S.C. §119, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to the ASSIGNEE, its successors and assigns; and we will execute without further consideration all papers deemed necessary by the ASSIGNEE in connection with the United States application when called upon to do so by the Assignee; PATENT

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ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been made or will be made or entered into which would conflict with this Assignment;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date: 6/16/04

Date:

Akiko **TANAKA**

Villiam GOV BRADLEY

Date.

6-16.04

John TESSTE

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261.)

PATENT
RECORDED: 06/21/2004 REEL: 015477 FRAME: 0184