

Form PTO-1595 (Rev. 09/04)  
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**  
Marco A. Rito-Palomares (11/26/2004) and Sergio R. Serna-Saldivar (11/26/2004)

Execution Date(s): in parentheses after inventor name  
Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of Conveyance:**  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other

**2. Name and address of receiving party(ies)**  
Name: INSTITUTO TECNOLOGICO Y DE ESTUDIOS SUPERIORES DE MONTERREY  
Internal Address: \_\_\_\_\_  
Street Address: Eugenio Garza Sada Sur 2501  
City: Monterrey  
State: Nuevo Leon  
Country: Mexico Zip: \_\_\_\_\_  
Additional name(s) & address(es) attached?  Yes  No


**4. Application or patent number(s):**  
A. Patent Application No.(s)  
10/952,242  
60/507,149  
Additional numbers attached?  Yes  No

This document is being filed together with a new application.  
B. Patent No.(s)

**5. Name and address to whom correspondence concerning document should be mailed:**  
Name: Adda C. Gogoris  
DARBY & DARBY P.C.  
Internal Address: Atty. Dkt.: 04629/100N048-US1  
Street Address: P.O. Box 5257  
City: New York  
State: NY Zip: 10150-5257  
Phone Number: (212) 527-7727  
Fax Number: (212) 753-6237  
Email Address: agogoris@darbylaw.com

**6. Total number of applications and patents involved:** 1  
**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 80.00**  
 Authorized to be charged by credit card  
 Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information**  
a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_  
b. Deposit Account Number 04-0100  
Authorized User Name Marie Collazo

**9. Signature:**  
  
Signature \_\_\_\_\_ Date December 21, 2004  
Marie Collazo - 44,085  
Name of Person Signing  
Total number of pages including cover sheet, attachments, and documents: 3

CH \$80.00 040100 10952242

**Attorney Docket No.: 04629/100N048-US1**

**ASSIGNMENT**

I, Sergio R. Serna-Saldivar, a citizen of Mexico, residing at Pedregal la Marea 6717; Col. Pedregal La Silla; CP 64899 Monterrey N. L.; MEXICO; and

I, Marco A. Rito-Palomares, a citizen of Mexico, residing at Lomas de la Ribera 5408; Lomas de Cumbres 2do Sector; CP 64110 Monterrey N.L.; MEXICO

and each of us, if more than one person is identified above (hereinafter "ASSIGNOR") in consideration of the sum of Ten Dollars (\$10.00), or the equivalent thereof, and other good and valuable consideration, the sufficiency of which and receipt of which are hereby acknowledged, paid to ASSIGNOR by

**INSTITUTO TECNOLOGICO Y DE ESTUDIOS SUPERIORES DE MONTERREY**

a University organized under the laws of Mexico, located at Eugenio Garza Sada Sur 2501, Monterrey, MEXICO (hereinafter "ASSIGNEE"), do hereby sell and assign to said ASSIGNEE, its successors and assigns, the below indicated right, title, and interest, in and for the United States of America only in and to my Invention entitled:

**PRODUCTION OF INVERT SYRUP FROM SUGARCANE JUICE USING IMMOBILIZED  
INVERTASE**

invented by me and described in Patent Application No. 10/952,242, filed on September 28, 2004 and U.S. Provisional Patent Application No. 60/507,149, filed September 29, 2003, in the United States; and all patents, divisions, reissues, continuations and any extensions thereof and rights of priority therein, said interest being my entire ownership interest in the same, to be held and enjoyed by said ASSIGNEE, its successors, assigns, or other legal representatives, to the full end of the term thereof, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not be made;

And for the consideration aforesaid, I hereby covenant and agree to and with said ASSIGNEE, its successors and assigns, that whenever ASSIGNEE, its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an


amendment to, or a division of, or any other proceeding or action in connection with an application concerning said Invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing therefrom is lawful and desirable, I will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure in said ASSIGNEE, its successors or assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at its or their expense; and I hereby appoint every present or future officer of said ASSIGNEE as my agent to sign all such papers and to do all such necessary acts on my behalf, to the fullest extent permitted by law;

And I hereby authorize and request the Commission of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from said Invention and application(s) concerning same to said ASSIGNEE.

This assignment shall have an effective date corresponding to the last date of execution.

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I have signed this document as my own free act and that all of the foregoing is true and correct.

Dated: Nov. 26, 2004

  
 Sergio R. Serna-Saldivar,  
 Inventor

Dated: Nov. 26, 2004

  
 Marco A. Rizo Palomares,  
 Inventor