

RECORDATION FORM COVER SHEET
PATENTS ONLY

Attorney Docket: 1228P/RR1506
US Department of Commerce
Patent & Trademark Office

12-27-2004

To the Assistant Commissioner of Patents: Please

or copy thereof.



102825875

1. Name of conveying party(ies):

Hua-Ching Tong
Xizeng Shi
Subrata Dey
Matthew Richard Gibbons

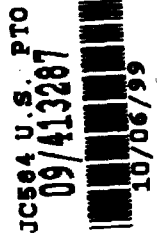
Additional name(s) & addresses of conveying
party(ies) attached? ___ Yes ☒ No

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2. Name and address of receiving party(ies):

Name: **Read-Rite Corporation**
Street Address: **345 Los Coches Street**
City: **Milpitas** State: **CA** ZIP: **95035**

Additional name(s) & address(es) of receiving
parties attached? ___ Yes ☒ No



3. Nature of conveyance:

☒ Assignment

Execution Date(s): September 30, 1999 September 30, 1999
September 30, 1999 September 30, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: September 30, 1999;
September 30, 1999; September 30, 1999; and September 30, 1999.

A. Patent Application No.(s)

Additional numbers attached? ___ Yes ☒ No

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B. Patent No.(s)

Additional numbers attached? ___ Yes ☒ No

5. Name and address of party to whom
correspondence concerning document
should be mailed:

Name: **Joseph A. Sawyer, Jr.**
Internal
Address: **Sawyer & Associates**
Street
Address: **P.O. Box 51418**
Palo Alto, California 94303

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6. Total Number of applications and patents
involved: **One**

7. Total fee (37 CFR 3.41).....\$ **40.00**

___ Enclosed

☒ Authorized to be charged to
deposit account

8. Deposit Account Number: 02-2120 (Sawyer & Associates)
(Attach copy of this page)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of
the original document.

Date

10/4/99

Joseph A. Sawyer, Jr., Reg. No. 30,801

Total number of pages including cover sheet, attachments, and documents: 4

PATENT
REEL: 015488 FRAME: 0168

ASSIGNMENT

WHEREAS, the undersigned, Hua-Ching Tong; Xizeng Shi; Subrata Dey; and Matthew Richard Gibbons (herein after called the Assignor), have invented certain new and useful improvements in

THERMALLY STABLE ADVANCE DUAL GIANT MAGNETORESISTANCE RECORDING HEAD

for which a United States patent application has been concurrently executed on the date of this assignment.

WHEREAS, READ-RITE Corporation., organized and existing under the laws of the State of Delaware, having a place of business at 345 Los Coches Street, Milpitas, California 95035 (hereinafter termed Assignee), is desirous of acquiring the entire right, title and interest in and to said application and said invention and improvements thereon, and in and to Letters Patents thereon when granted in the United States and foreign countries.

NOW, THEREFORE, for good and valuable consideration received by said Assignors from said Assignee, the receipt of which is hereby acknowledged by said Assignors:

1. Said Assignors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest in and to said application and said invention and in and to any and all improvements on said invention and in and to any and all improvements on said invention heretofore or hereafter made or acquired by said Assignors; and in and to any and all Letters Patent on said invention and/or said improvements that may be granted in the United States or any foreign country, including each and every Letters Patent granted on any application which is a division, continuation, substitution, renewal, or continuation-in-part of any said application, and in and to each and every reissue or extension of said Letters Patent.
2. Said Assignors hereby covenant and agree to cooperate with said Assignee where said Assignee may enjoy to the fullest extent the right, title and interest herein conveyed. Such cooperation shall include (a) prompt execution of all papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee to perfect in it the right, title and interest herein conveyed, (b) prompt execution of all petitions, oaths, specifications or other papers (prepared at the expense of Assignee) which are deemed necessary or desirable by assignee for prosecuting said application, for filing and prosecuting divisional, continuation, substitution, renewal, continuation-in-part, or additional applications in the United States and/or foreign countries covering said invention and/or said improvements, for filing and prosecuting applications for reissuance of letters patent included herein, or for interference proceedings involving said invention and/or said improvements and (c) prompt assistance and cooperation in the prosecution of interference proceedings involving said invention and/or said improvements and in the adjudication of said Letters Patent, particularly by the disclosure of facts and the production of evidence relating to said invention and/or said improvements, provided the expenses which may be incurred by said Assignors in lending such assistance and cooperation shall be paid by the Assignee.
3. The terms, covenants and conditions of this assignment shall inure to the benefit of said Assignee, its successors, assigns and/or other legal representatives and shall be binding upon said Assignors, their heirs, legal representatives and assigns.
4. Said Assignors hereby warrant and represent that he has not entered into any assignment, contract or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignor has executed and delivered this instrument on the date set forth below.

9/30/99
Date

Hua-Ching Tong
Hua-Ching Tong

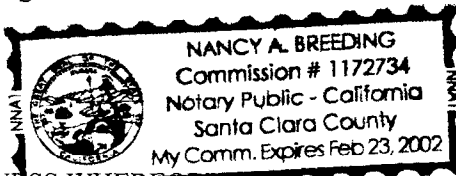
STATE OF CALIFORNIA)
)ss.
COUNTY OF SANTA CLARA)

On 9/30, 1999 before me, Nancy A. BREEDING, Notary Public, personally appeared, Hua-Ching Tong, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person ~~(s)~~ whose name ~~(s)~~ is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity ~~(ies)~~, and that by his signature ~~(s)~~ on the instrument the person ~~(s)~~, or the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.

Nancy A. Breeding
Signature

CONFIDENTIAL



IN WITNESS WHEREOF, the said Assignor has executed and delivered this instrument on the date set forth below.

9-30-99
Date

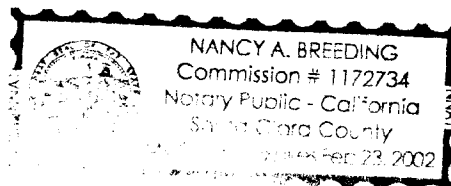
Xizeng Shi
Xizeng Shi

STATE OF CALIFORNIA)
)ss.
COUNTY OF SANTA CLARA)

On 9/29, 1999 before me, Nancy A. BREEDING, Notary Public, personally appeared Xizeng Shi, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person ~~(s)~~ whose name ~~(s)~~ is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity ~~(ies)~~, and that by his signature ~~(s)~~ on the instrument the person ~~(s)~~, or the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.

Nancy A. Breeding
Signature



IN WITNESS WHEREOF, the said Assignor has executed and delivered this instrument on the date set forth below.

9/30/99
Date

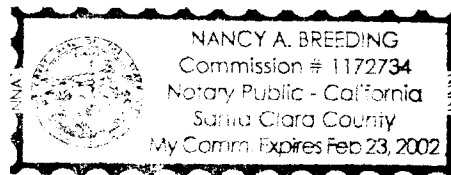
Subrata Dey
Subrata Dey

STATE OF CALIFORNIA)
)ss.
COUNTY OF SANTA CLARA)

On 9/30, 1999 before me, NANCY A. BREEDING, Notary Public, personally appeared Subrata Dey, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person ~~(s)~~ whose name ~~(s)~~ is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity ~~(ies)~~, and that by his signature ~~(s)~~ on the instrument the person(s), or the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.

Nancy A. Breeding
Signature



IN WITNESS WHEREOF, the said Assignor has executed and delivered this instrument on the date set forth below.

9/30/1999
Date

Matthew R. Gibbons
Matthew Richard Gibbons

STATE OF CALIFORNIA)
)ss.
COUNTY OF SANTA CLARA)

On 9/29, 1999 before me, NANCY A. BREEDING, Notary Public, personally appeared Matthew Richard Gibbons, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person ~~(s)~~ whose name ~~(s)~~ is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity ~~(ies)~~, and that by his signature ~~(s)~~ on the instrument the person ~~(s)~~, or the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.

Nancy A. Breeding
Signature

