

Form PTO-1595

U.S. Department of Commerce

**RECORDATION FORM COVER SHEET**

Docket No. 372366-00101

**PATENTS ONLY**

To the Hon. Commissioner of Patents &amp; Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Saratoga DMS, LLC

Additional name of conveying party attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Northern Light Technology Holdings, LLCAddress: 1 Broadway Place14<sup>th</sup> FloorCity: CambridgeState: MassachusettsZip: 02142-1187

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: \_\_\_\_\_Execution Date: June 12, 2003 & August 11, 2003Additional name(s) and address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent numbers:

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s) 5,924,090Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address party to whom correspondence concerning document should be mailed:

Name: Leah SherryFirm: DECHERT LLPAddress: P.O. Box 10004City: Palo Alto State: CA ZIP: 94303-09616. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$40.00☒ To be charged to Deposit Account.☒ Any discrepancy or overpayment is authorized to be charged to deposit account

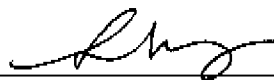
## 8. Deposit Account number:

50-2778**DO NOT USE THIS SPACE**

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Leah Sherry, Reg. No. 43,918

Name of Person Signing



Signature

December 27, 2004

Date

Total number of pages including cover sheet, attachments, and document: 3

CH \$40.00 502778 5924090

ASSIGNMENT OF PATENTS

WHEREAS SARATOGA DMS, LLC, a Delaware limited liability company with an address at c/o Saratoga Partners IV, L.P., 535 Madison Avenue, New York, NY 10022, U.S.A. ("Assignor") has acquired the patents and patent applications set forth in Attachment A (collectively, "the Patents and Patent Applications") and the inventions covered by the Patents and Patent Applications (the "Inventions"); and

WHEREAS, NORTHERN LIGHT TECHNOLOGY HOLDINGS, LLC, a Delaware limited liability company with an address at 1 Broadway Place, 14<sup>th</sup> Floor, Cambridge, MA, U.S.A. ("Assignee") is desirous of acquiring the entire, right, title, and interest of Assignor in, to and under the Patents and Patent Applications and Inventions.

NOW THEREFORE, be it known that for certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, Assignor does sell, assign and transfer to Assignee, its successors, legal representatives and assigns, all of Assignor's right, title and interest in the Patents and Patent Applications and Inventions for the territories of the United States of America and foreign countries including, but not limited to, all continuation, divisional, continuation-in-part and reissue applications, all patents and patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, all applications for extension filed or to be filed for the Inventions, and all letters patent, invention registrations, utility models, extensions or reissues and other patent rights, obtained for the Inventions in the United States or any other country, absolutely and forever without limitations and to the full end of the term for which the Patent is granted, the same to be held by Assignee, its successors and assigns, as fully and entirely as the same would have been held by the Assignor had this sale, assignment and transfer to Assignee not been made; and

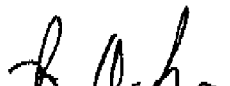
Assignor does hereby also sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, all claims for damages by reason of past infringement of the Patents with the right to sue for and collect the same for its own use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives; and

Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to record the Patents and Patent Applications as the property of Assignee, its successors, legal representatives and assigns, and to issue all patents for the inventions to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

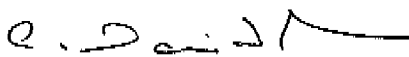
[Signatures commence on following page]

IN WITNESS WHEREOF, the parties hereto have executed this Assignment of Patents effective as of June 12, 2003.

SARATOGA DMS, LLC

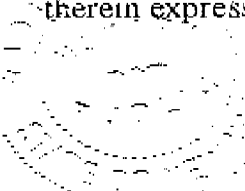
By:   
Name: Richard A. Petrocelli  
Title: Treasurer

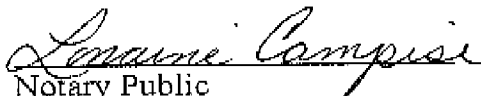
NORTHERN LIGHT TECHNOLOGY HOLDINGS, LLC

By:   
Name: E David Seuss  
Title: President & CEO

State of NEW YORK )  
County of NEW YORK ) SS.

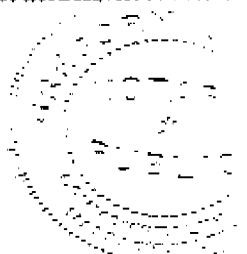
On this 12<sup>th</sup> day of JUNE, 2003, before me, a Notary Public in and for said County and State, personally appeared RICHARD A. PETROCELLI, known to me to be the person whose name is subscribed to in the foregoing instrument, and acknowledged to me that he/she, being authorized to do so, executed the foregoing instrument for the purposes and considerations therein expressed.

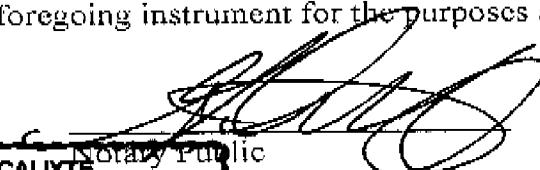
  
LORRAINE CAMPISI  
Notary Public, State of New York  
No. 01CA6029616  
Qualified in Queens County  
Commission Expires 08/23/2005

  
Notary Public

State of Massachusetts )  
County of Norfolk ) SS.

On this 11 day of August, 2003, before me, a Notary Public in and for said County and State, personally appeared E. David Seuss, known to me to be the person whose name is subscribed to in the foregoing instrument, and acknowledged to me that he/she, being authorized to do so, executed the foregoing instrument for the purposes and considerations therein expressed.



  
GREGORY CALIXTE  
"NOTARY PUBLIC" - MASSACHUSETTS  
NORFOLK COUNTY  
"My Commission Expires"  
March 19, 2010

PATENT  
REEL: 015494 FRAME: 0728

## ATTACHMENT A

Patent No. or Application No	Title	Status	Jurisdiction
5,924,000	Method and apparatus for researching a database of records	Issued	US
736478	Method and apparatus for researching a database of records	Issued	Australia
2,288,745	Method and apparatus for researching a database of records	Pending	Canada
98920069	Method and apparatus for researching a database of records	Published	European Patent Office
10-547408	Method and apparatus for researching a database of records	Pending	Japan
US98/08785	Method and apparatus for researching a database of records	Nationalized	PCT

9