

Form PTO-1595 (Rev. 09/04)  
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)/Execution Date(s):

David W. MORRIS (10/20/2004), Marc S. MALANDRO (10/18/2004), Albert LAI (10/20/2004), Christin TSE (10/22/2004), and Ali FATTAEY (10/17/2004)

Execution Date(s): in parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

### 2. Name and address of receiving party(ies)

Name: Sagres Discovery, Inc.

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

4560 Horton St.

City: Emeryville

State: California

Country: USA Zip: 94608

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/833,833

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Shantanu Basu  
MORRISON & FOERSTER LLP

Internal Address: Atty. Dkt.: 529452002900

Street Address: 755 Page Mill Road

City: Palo Alto

State: CA Zip: 94304

Phone Number: (650) 813-5995

Fax Number: (650) 494-0792

Email Address: SBasu@mofo.com

### 6. Total number of applications and patents involved:

1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_

Expiration Date \_\_\_\_\_

b. Deposit Account Number 03-1952

Authorized User Name Shantanu Basu

### 9. Signature:



Signature

December 23, 2004

Date

Shantanu Basu - 43,318

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

11

Attorney Docket No.: 529452002900

**ASSIGNMENT  
JOINT**

THIS ASSIGNMENT, by David W. MORRIS, Marc S. MALANDRO, Albert LAI, Christin TSE, Ali FATTAEY, (hereinafter referred to as the assignors), residing at 2841 Emerald Bay Drive, Davis, California 95616, 1064 South Lake Drive, Gibsonia, Pennsylvania 15044, 510 Arthur Street, Apt. #130, Davis, California 95616, 4239 Arroyo Avenue, Davis, California 95616, and 2950 Holyrood Drive, Oakland, California 94611, respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in NOVEL THERAPEUTIC TARGETS IN CANCER, set forth in an application for Letters Patent of the United States, bearing Serial No. 10/833,833 and filed on April 27, 2004; and

WHEREAS, Sagres Discovery, Inc., a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 4560 Horton St., Emeryville, California 94608 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

Attorney Docket No.: 529452002900

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

10/22/04  
Date David W. MORRIS

Date Marc S. MALANDRO

Date Albert LAI

Date Christin TSE

Date Ali FATTAEY

Attorney Docket No.: 529452002900

**ASSIGNMENT  
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WHEREAS, said assignors have invented certain new and useful improvements in NOVEL THERAPEUTIC TARGETS IN CANCER, set forth in an application for Letters Patent of the United States, bearing Serial No. 10/833,833 and filed on April 27, 2004; and

WHEREAS, Sagres Discovery, Inc., a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 4560 Horton St., Emeryville, California 94608 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

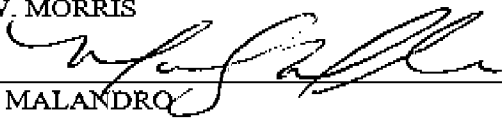
NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

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AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

Attorney Docket No.: 529452002900

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

Date	David W. MORRIS
<u>10-18-04</u>	
Date	Marc S. MALANDRO
Date	Albert LAI
Date	Christin TSE
Date	Ali FATTAEY

Attorney Docket No.: 529452002900

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AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

Attorney Docket No.: 529452002900

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

Date David W. MORRIS

Date Marc S. MALANDRO

10-20-2004  
Date Albert LAI

Date Christin TSE

Date Ali FATTAEY

Attorney Docket No.: 529452002900

# **ASSIGNMENT JOINT**

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St. William Dr.  
Libertyville,  
IL

CT  
11/5/04

WHEREAS, said assignors have invented certain new and useful improvements in NOVEL THERAPEUTIC TARGETS IN CANCER, set forth in an application for Letters Patent of the United States, bearing Serial No. 10/833,833 and filed on April 27, 2004; and

WHEREAS, Sagres Discovery, Inc., a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 4560 Horton St., Emeryville, California 94608 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

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Attorney Docket No.: 529452002900

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Date David W. MORRIS

Date Marc S. MALANDRO

Date Albert LAI

10/22/04  
Date  Christin TSE

Date Ali FATTAEY

Attorney Docket No.: 529452002900

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Attorney Docket No.: 529452002900

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

Date \_\_\_\_\_ David W. MORRIS \_\_\_\_\_

Date \_\_\_\_\_ Marc S. MALANDRO \_\_\_\_\_

Date \_\_\_\_\_ Albert LAI \_\_\_\_\_

Date \_\_\_\_\_ Christin TSE \_\_\_\_\_

10-17-2004  
Date \_\_\_\_\_  \_\_\_\_\_  
Ali FATTAEI