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06-29-2004

U.S. Department of Commerce Patent and Trademark Office Attorney Docket No. 08350.3512-00000 Attorney Customer Number: 22,852

	the Director of the U.S. Patent and Trease record the attached original docu				dation Services			
1.	Name of conveying party(ies): James Brian NORMANN			2. N				
		, , , , ,		Name:	Caterpillar S.A.R.L			
Addition	nal name(s) of convey	ving party(ies) attac	ched? ☐ Yes ⊠ No	Internal Ad	ddress: c/o Cater	pillar Overseas	s S.A.	
3.	3. Nature of conveyance:			Street Add	lress: 76, Route	de Frontenex	i, 1211	
	Assignment	☐ Merger		City: G	Geneva		S. PTO	
	Security Agreement	☐ Change	of Name	Country:	SWITZERLAND	Zip Code:	372. 372.	
	Other:			Additional	name(s) & Address(e	es) attached?	7548	
Execution Date: June 18, 2004				]Yes ⊠ N	•			
4.	Application number( the application: Jun	(s) or patent numbere ne 22, 2004	er(s): If this document is be		ether with a new appl	lication, the ex	ecution date of	
A.	Patent Application Number(s):							
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		Addition	al numbers attached?	☐ Yes	⊠ No			
<ol> <li>Name and address of party to whom correspondence concerning document should be mailed:</li> </ol>			Total number of applications and registrations involved: 1					
Name:	Roland G. McAndre	ews		7. T	otal fee (37 CFR 3.4	1): \$40	-	
				⊠	Enclosed (Please account)	charge deficie	ency to deposit	
		***			Authorized to be	charged to de	posit account	
	& DUNN	ER, L.L.P.	I, FARABOW, GARRETT					
Street A	Address: 1300 l St	treet, N.W.						
City:	Washington	, D.C.						
State:		Zip:	20005-3315	8. D	eposit Account No.:	<u>06-0916</u>		
9.	Statement and signa	ature.		<u> </u>				
To the b	est of my knowledge nt.	and belief, the fore	egoing information is true a	and correct a	nd any attached copy	y is a true copy	y of the original	
		<	(VIII)	8	Jur	ne 22, 2004		
	Roland G. McAndrev	ws	Signa	ature		Date	<del></del>	
Total number of pages including cover sheet, attachments and documents: 3								

PATENT REEL: 015499 FRAME: 0797

SOLE INVENTION (Worldwide Rights) Attorney Docket No. 08350.3512-00000 Client Ref. No. 03-512

## **ASSIGNMENT**

WHEREAS I, the below named inventor, [hereinafter referred to as Assignor], have made an invention entitled:

## **WORK MACHINE OPERATING SYSTEM AND METHOD**

for which I executed an application for United States Letters Patent concurrently herewith; and

WHEREAS, Caterpillar S.A.R.L., duly organized and existing under the laws of Switzerland, whose post office address is c/o Caterpillar Overseas S.A., 76, Route de Frontenex, 1211 Geneva, Switzerland (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I as Assigner, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I HEREBY covenant that I have the full right to convey the interest assigned by this Assignment, and I have not executed and will not execute any agreement in conflict with this Assignment;

AND, I HEREBY further covenant and agree that I will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I have hereunto set my hands.

FULL NAME OF SOLE OR FIRST ASSIGNOR     James Brian NORMANN	ASSIGNOR'S SIGNATURE	613/04
ADDRESS 3000 Mills Lake Wynd, Holly Springs, NC 2754	CITIZENSHIP U.S.A.	

Page 1 of 2

PATENT REEL: 015499 FRAME: 0798

SOLE INVENTION (Worldwide Rights) Attorney Docket No. 08350.3512-00000 Client Ref. No. 03-512

1. WITNESS NAME ROY V BROOKHART WITNESS SIGNATURE LV BROOKHART	18 JUNE 2004
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2. WITHESS NAME DOYLE T. Arboneaux	WITNESS SIGNATURE	18 June 2004
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Page 2 of 2

**RECORDED: 06/22/2004** 

PATENT REEL: 015499 FRAME: 0799