

06-30-2004



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To the Honorable Commissioner of Patents

102780776

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Carl-Zeiss Semiconductor Manufacturing
Technologies AG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other

Execution Date: December 9, 2003

2. Name and address of receiving party(ies):

Name: Carl Zeiss SMT AG

Internal Address:

Street Address: Carl-Zeiss Strasse 22

City: Oberkochen State: ZIP: D-73447

GERMANY
Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

10/119,182

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: D. Brent Kenady

Internal Address: Wells St. John P.S.

Street Address: 601 West First Ave., Suite 1300

City: Spokane State: WA ZIP: 99201-3828

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

D. Brent Kenady

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

06/29/2004 6TON11 00000055 10119182

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40.00 DP

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO-1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 015502 FRAME: 0579

Commercial Register of the District Court of Weizlar

Retrieval of 19.9.2002 16:05

Company number: HRB 12

Number of entry	a) Company name b) Registered office c) Registered office enterprise	Initial or share capital	a) General rules of representation b) Authorized representatives and special power of representation	Special authorization	a) Company agreement/articles b) Other legal relations	a) Date of entry and confirmation b) Notes
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1	a) Carl Zeiss Semicongductor Manufacturing Technologies Aktien Gesellschaft b) Leina-Weizlar c) The object of the enterprise is the development, production, adaptation, processing and sale of optical, opto-electronic, precision engineering and electronic products, instruments and other equipment for use in the semiconductor industry and the development, production and sale of opto-electronic and other copuscular beam-based systems and of optics, instruments and analysers for laser and other short wave emission sources including the provision of services associated with each of the above.	49,985,090.00 EUR	a) The company is represented by two board members or by a board member jointly with an authorized officer. By decision of the supervisory board, board members can be authorized to carry out legal transactions with the company as representatives of a third party. b) Board member: Daniel Schock, Int. Bus. Man., Aalen, *31.03.1974, having representative authority jointly with another board member or an authorized officer, with authority to conclude legal transactions with himself as representative of a third party on behalf of the company. Board member: Dr. Hermann Geringer, Physics Grad., Aalen-Ebnat, *24.08.1953 having representative authority jointly with another board member or an authorized officer, with authority to conclude legal transactions with himself as representative of a third party on behalf of the company.	Collective authorization jointly with a board member or another authorized officer: Hans-Bernd Berthauer, Economics Grad., Solms Josef Fritz, optician, Solms Gerold Bambergel, eye specialist, Leina	a) Public limited company Articles of 21.02.1972, last amended on 05.02.2002	a) 25.07.2002 Heide b) Date of first entry: 18.08.1972 This page has been rewritten for continuation on EDP and so has replaced the previous register page. Articles, Volume 7, page 2 Special volume
2				Special authorization cancelled: Hans-Bernd Berthauer, Economics Grad., Solms Special authorization cancelled: Josef Fritz, optician, Solms Special authorization cancelled: Gerold Bambergel, eye specialist, Leina Collective authorization jointly with a board member or another authorized officer: Dr. Tibbo Ketterer, Essingen, *19.11.1965 Rolf Dambacher, Abtsgmünd, *16.02.1950 Uwe Hering, Aalen, *25.08.1949 Bernd Fursenberg, Berlin, *19.10.1959		a) 29.08.2002 Petstehy b) Page 30 et seq., Special volume
3	a) Carl Zeiss SMT AG b) Weizlar				a) The shareholders' meeting of 27.8.2002 decided to change the company name and to amend Section 1 of the articles accordingly. b) A control and profit transfer agreement was concluded on 5.6.2002 with Carl Zeiss, Oberkochen as the controlling company. The agreement was approved by the shareholders' meeting of 27.8.2002. Refer to the specified agreement and the approval decisions for the further content.	a) 05.09.2002 Dr. Lamber-Noll b) Page 35 et seq., Volume VIII Special Volume

Number of entry	a) Company name b) Registered office c) Object of the enterprise	Initial or share capital	Board General partners Directors Liquidators	Special authorization	Legal constitution	a) Date of entry and signature b) Notes
1	2	3	4	5	6	7
1	<p>a) Carl Zeiss SMT AG</p> <p>b) Oberkochen</p> <p>c) Development, production, adaptation, processing and sale of optical, opto-electronic, precision engineering and electronic products, instruments and other equipment for use in the semiconductor industry and the development, production and sale of opto-electronic and other copuscular beam-based systems and of optics, instruments and analysers for laser and other short wave emission sources including the provision of services associated with each of the above.</p>	<p>Initial capital</p> <p>49,985,090,- EUR</p>	<p>Board</p> <p>Daniel Schoch, born 31.03.1974, Aalen,</p> <p>Dr Hermann Gerlinger, born 24.08.1953, Aalen-Ebnat</p>	<p>Authorized officers each having representative authority jointly with a board member or another authorized officer:</p> <p>Dr Thilo Ketterer, born 19.11.1965, Essingen,</p> <p>Rolf Dambacher, born 16.02.1950, Abtsgmünd,</p> <p>Uwe Hering, born 25.08.1949, Aalen,</p> <p>Berndt Fürstenberg, born 19.10.1959, Berlin,</p>	<p>Public limited company.</p> <p>Articles of 21.02.1922, last amended on 27 August 2002.</p> <p>The company is represented by two board members or by a board member jointly with an authorized officer.</p> <p>The following are appointed as board members: Daniel Schoch and Dr Hermann Gerlinger; they are authorized to represent the company without restriction in concluding legal transactions with themselves as representatives of a third party, Section 181 German Civil Code (Second Alternative).</p> <p>A control and profit transfer agreement was concluded on 05.06.2002 with Carl Zeiss-Oberkochen as the controlling company. The agreement was approved by the shareholders' meeting of 27.08.2002. Refer to the specified agreement and the approval decisions for the further content.</p> <p>By decision of the shareholders' meeting of 5 February 2002, the registered office of the company was transferred from Weizlar to Oberkochen and Sections I(2) (Registered office) and I4 (Location of the shareholders' meeting) of the articles were amended.</p>	<p>a. 28.10.2002</p> <p>b. Control and profit transfer agreement and approval decisions, page 35 et seq., Volume VIII, SV</p> <p>Decision, p. 67/88, Volume VIII, SV</p> <p>Articles, p. 89/98, Volume VIII, SV</p> <p>Location of premises: Carl-Zeiss-Strasse 22</p> <p>Previously: AG Weizlar HRB 12</p>

Witness my hand and seal
Aalen, December 19, 2003
Otto Davis

