FORM PTO-1595 1-31-92	RECORDATION FORM COVER SH PATENTS ONLY		ET U.S. Department of Commerce Patent and Trademark Office Attorney Docket No. 08888.0999- Attorney Customer Number: 22,8			
To the Honorable Commission	er of Patents and Trademarks: ginal documents or copy thereof.		ATTN. BOX A	SSIGNMENT	'S	
Name of conveying party(les):		Name and address of receiving party(ies):				
Aventis Pharma S.A.		Name:	Gencell S.A.			
Additional name(s) of conveying	party(ies) attached? ☐ Yes ☒ No	Internal A	ddress:			
3. Nature of conveyance:		Street Address: 72-82 rue Léon Geffroy Vitry-sur-Seine				
	Merger	State:	<del></del> -			
Security Agreement	Change of Name	Country:	France	Zip Code:	94400	
Other:		Additiona	l name(s) & Add	ress(es) attacl	hed?	
Execution Date: March 26, 2	002	1	☐ Yes	⊠ No		
4. Application number(s) of	or patent number(s): If this document is be	ing filed to	ether with a nev	 v application, t	the execution date of	
the application:	, , , , , , , , , , , , , , , , , , , ,		<b>,</b>	,.		
A. Patent Application Num	ber(s):	B. Patent Number(s):				
			6,420,170			
	Additional numbers attached?	l □ Yes	⊠ No			
Name and address of p concerning document s	arty to whom correspondence hould be mailed:	i	Total number of a involved: 1 (one)	applications a	nd registrations	
Name: Carol P. Einaudi, Esq.		7.	Total fee (37 CFI	R 3.41): \$40		
			Enclosed (P	lease charge (	deficiency to deposit	
			Authorized	to be charged	to deposit account	
Internal Address: FINNEGAN, & DUNNER,	HENDERSON, FARABOW, GARRETT L.L.P.			_	·	
Street Address: 1300   Stree	t, N.W.					
City: Washington, D.	C.					
State: Z	ip: 20005-3315	8.	Deposit Account	No.: <u>06-0916</u>		
9. Statement and signature	ė.					
To the best of my knowledge and document.	d belief, the foregoing information is true a	and correct	and any attached	d copy is a true	e copy of the original	
Carol P. Einaudi, Reg. J	No. 32 220 Card /	E.nau.	۸.	lanuee	v 3, 2005	
Carol P. Einaudi, Reg. No., 32, 220  Signature  Date						
Total i	number of pages including cover sheet, a	ttachments	and documents:	9		



Tef: 703 426-4938 Ceff: 917 378-9435 Tax: 703 995-0949 9707 Rustburg Place Fairfax, US 22032 Email: AlphaOmega@IrenchIranslating.com

### CERTIFICATION OF TRANSLATION

The undersigned, Dimitra Hengen, whose address is 9707 Rustburg Place, Fairfax, VA 22032, declares and states as follows:

I am well acquainted with the English and French languages; I have in the past translated numerous English documents of legal and/or technical content into French and vice versa; and I am a certified court translator and interpreter and a member of the American Translators Association;

I have been asked to make an English translation of the document identified as:

Extract from Contract for Partial Transfer of Assets dated March 26, 2002.

I hereby declare that the attached translation of the above-mentioned document is, to the best of my knowledge and ability, a true and accurate translation insofar as possible given the limited legibility of the original French document.

And I declare further that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, and falsification of these statements and the like is punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United States Code.

I therefore attach my Certification of Translation to my English translation of this document.

Date

Oct 15, 8002

Dimitra Hengen

(0) Alpha Omega Translations
9707 Ruthury Flore
Tairfer, UN 12001
24: 70: 425-4508

#### EXTRACT FROM

## CONTRACT FOR PARTIAL TRANSFER OF ASSETS

#### **DATED MARCH 26, 2002**

### BETWEEN THE UNDERSIGNED:

The Company AVENTIS PHARMA S.A., limited company run by a Management Board and a Supervisory Board, having a capital of 620,541,846 Euros, whose Head Office is situated at 20, rue Raymond Aron, 92160 Antony, registered at the Companies Registration Office in Nanterre under the number B 304 463 284, represented by Mr. Alain Chevallier, member of the Management Board, and Managing Director, who is duly authorized to establish the present contract as agreed by the Management Board on March 22, 2002.

(hereafter referred to as the "Transferring Company"),

ON THE ONE HAND,

#### AND:

The Company GENCELL SA, limited company having a capital of 37,500 Euros, whose Head Office is situated at 72-82 rue Léon Geffroy, 94400 Vitry-sur-Seine, registered at the Companies Registration Office in Créteil under the number B 410 065 817, formerly called RHONE POULENC RORER ACTIPHA SA

(hereafter referred to as the "Receiving Company")

Represented by Mr. François Meyer, President of the Board of Directors, who is duly authorized to establish the present contract as agreed by the Board of Directors on March 22, 2002.

ON THE OTHER HAND,

(hereafter referred to collectively as the "Parties" or individually as a "Party"),

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## THE FOLLOWING HAS ALREADY BEEN ESTABLISHED:

## STATEMENT OF FACTS:

The managers of the Companies AVENTIS PHARMA S.A. and GENCELL SA have informed their respective Management Board and Board of Directors of the project for a partial transfer of assets to be made by the Transferring Company to the benefit of the company GENCELL SA. This partial transfer of assets involves the entirety of the independent gene therapy division currently existing within the Transferring Company.

In order to finalize this project for partial transfer of assets (hereafter referred to as "Transfer of Assets"), both Parties have drawn up the present contract (hereafter referred to as "the Contract"), in which the terms and conditions of the Transfer of Assets are laid down, and in which the fixed worth of the assets transferred to the Company GENCELL SA by the Transferring Company is specified. In accordance with article L236-22 of French Commercial Law, this operation is governed by the legal provisions pertaining to demergers.

[...]

## B - OBJECTIVES AND GROUNDS FOR TRANSFER OF ASSETS

The objectives and grounds that have led the managers of the Transferring Company to consider this partial transfer of assets can be analyzed as follows:

Within the pharmaceutics group AVENTIS there currently exists a gene therapy division, commonly known under the name "GENCELL".

It is the group AVENTIS PHARMA's will to transfer this activity to a subsidiary company, and in doing so, to carry out a partial transfer of assets involving the entire branch of activity represented by the division GENCELL.

All the assets involved in the running of GENCELL as well as the specific liabilities of GENCELL's activity will be transferred to the Company GENCELL SA.

The present Contract is established to ensure the transfer of this branch of activity.

[...]

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## IN LIGHT OF THIS, THE FOLLOWING AGREEMENT HAS BEEN MADE

#### ARTICLE I

## PARTIAL TRANSFER OF ASSETS - ASSETS TRANSFERRED

The Transferring Company, in accordance with the suspensive conditions specified below, hereby agrees to transfer to the Receiving Company, who accepts, the entirety of the assets and rights, of whatever kind, belonging to and necessary for the running of its independent gene therapy division, as these stand on the day on which the partial transfer of assets is finalized, that is, on May 1<sup>51</sup>, 2002, notwithstanding the implementation of the suspensive conditions (see below, "Effective Date"). The Parties also agree that the Receiving Company will take over all the work contracts and salaries of those persons working for AVENTIS PHARMA S.A. employed in the gene therapy division being transferred, and of which a list in provided in Appendix 6.

### I. 1 – ASSETS TRANSFERRED

The entirety of the independent gene therapy division, run by the Transferring Company (hereafter referred to as "the Gene Therapy Division") as defined below.

## 1.1.1 - INTANGIBLE ASSETS

1° Patents related to the gene therapy division of which AVENTIS PHARMA S.A. is the owner, either solely or jointly with certain public bodies such as INSERM (Institut National de la Santé et de la Recherche Médicale), the CNRS (Centre National de la Recherche Scientifique) or the Institute Gustave Roussy. These will be transferred at their net book value as of April 30, 2002, that is to say:

6,954,472.49 Euros Gross value 6,954,472.49 Euros Provision for depreciation

Net value

The list of patents transferred by the company AVENTIS PHARMA S.A. to the Receiving Company is provided in Appendix 1 of the present contract, it being specified that the gross values and provisions for depreciation mentioned above correspond exclusively to the Amrad/Ludwig contract, which is the only patent evaluated in the accounts of the Transferring Company, the other patents having only been retained for reference only.

2° The trade name "GENCELL", retained for reference.

The list of countries in which the said trade name is registered is provided in Appendix 2 of the present contract.

[...]

## ARTICLE VII

## APPENDIXES TO THE PROJECT FOR TRANSFER OF ASSETS

The present project for transfer of assets includes the following Appendixes:

Appendix 1: List of patents pertaining to the branch of activity of which AVENTIS PHARMA SA is the owner.

Appendix 2: List of countries in which the trade name "GENCELL" is registered.

[...]

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## **EXTRACT FROM APPENDIX 1**

# LIST OF PATENTS OF WHICH AVENTIS PHARMA IS THE OWNER IN THE BRANCH OF ACTIVITY IN QUESTION

COUNTRY	U.S. SERIAL NUMBER and PATENT NUMBER	FILING DATE and ISSUE DATE	TITLE	FIRST NAMED INVENTOR
U.S.A.	USSN 08/981,354 USP 6,420,170	June 20, 1996 July 16, 2002	Recombinant adenoviruses, Use thereof for preparing AAVs, Complementary cell line and Pharmaceutical compositions containing said adenoviruses	M. Perricaudet

**Certified True Copy** 

François MEYER

President and Managing Director

)ranslations 9 Floor 11 2302 :45

## APPENDIX 2

## LIST OF COUNTRIES IN WHICH THE TRADE NAME GENCELL IS REGISTERED

1	1 1				<u>.</u> '	
OWNER			Registration no.	Filing Date	Flie no.	
RHONE-POULENC RORER S.A.	1	Oct-06-95	13358	Sep-04-98	95/13358	
RHONE-POULENC RORER S.A.	42	Oct-06-95	13360	Sep-04-98	95/13360	
RHONE-POULENC RORER S.A.	5	Oct-06-95	13359	Sep-04-98	95/133 <b>59</b>	
AVENTIS PHARMA S.A.	1, 5, 42	Jan-21-95	39502518.4	Jan-21-95	39502518	
RHONE-POULENC RORER S.A.	42	Мау-22-95	1978122	Mar-19-96	1594049	
RHONE-POULENC RORER S.A.	5	May-22-95	1978121	Sep-28-98	1690880	
RHONE-POULENC RORER S.A.	1	May-22-95	1978120	Sep-28-98	1690881	
AVENTIS PHARMA S.A.	1, 5, 42	Apr-27-95	659968	May-15-97	659968	
AVENTIS PHARMA S.A.	1, 5, 42	Арт-28-95	648351	Арт-28-95	648351	
AVENTIS PHARMA S.A.	1, 5, 42	Apr-28-95	648351	Арт-28-95	648351	
RHONE-POULENC RORER S.A.	1	May-23-95	818541920	Sep-16-97	818541920	
RHONE-POULENC RORER S.A.	5	May-23-95	818541911	Sep-23-97	818541911	
RHONE-POULENC RORER S.A.	39	May-23-95	8185419 <b>03</b>	Nov-04-97	818541903	
AVENTIS PHARMA S.A.		Jan-20-95	773553	Jul-03-98	TMA497061	
AVENTIS PHARMA S.A.	112	May-23-95	95-5109	May-15-97	36149	
AVENTIS PHARMA S.A.	10	May-23-95	95-20337	Jul-15-96	343042	
AVENTIS PHARMA S.A.	1, 5, 42	May-01-95	3308/95	Mer-08-96	1396/1996	
AVENTIS PHARMA S.A.	1, 5	Apr-28-95	648351	Apr-28-95	648351	
AVENTIS PHARMA S.A.	01,05,42	Jul-12-95	74-724838	Jul-12-99	2297199	
AVENTIS PHARMA S.A.	1, 5, 42	Apr-27-95	2602/95	Sep-30-96	201814	
AVENTIS PHARMA S.A.	1, 5, 42	Nov-23-94	94/545961	Nov-23-94	94545961	
AVENTIS PHARMA S.A.	1, 5, 42	May-02-95	124149	DEC/17/1997	124149	
AVENTIS PHARMA S.A.	1, 5, 42	Apr-28-95	648351	Apr-28-95	648351	
AVENTIS PHARMA S.A.	1	Apr-27-95	95/3030	Jul-21-97	173226	
AVENTIS PHARMA S.A.	s	Арт-27-95	95/3031	Jul-25-97	173227	
AVENTIS PHARMA S.A.	42	Apr-27-95	95/3032	Jul-01-96	201577	
AVENTIS PHARMA S.A.	1, 5, 42	Apr-26-95	574/95	Sep-26-96	951/1996	
	1	May-12-95	98556	Sep-01-96	98556	
AVENTIS PHARMA S.A.	5	May-12- 9	5 98557	Nov-05-96	98557	
	42	May-12-9:	98558	Sep-01-96	98558	
AVENTIS PHARMA S.A.	1, 5, 42	Apr-28-95	648351	Apr-28-95	648351	
	5	Jan-26-95	jui1-28	Jun-20-97	3325291	
	1	Jan-26-95	juil-27	Мву-09-97	3302838	
i	42	Jan-26-95	յան-29	AUG/07/1998	4175421	
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euroō	RHONE-POULENC RORER S.A.	<b>\1</b>	May-16-95	231946	Nov-28-95	510928	İ
MEXICO	RHONE-POULENC RORER S.A.	5	May-16-95	231 <b>950</b>	Nov-28-95	510929	
MEXICO	RHONE-POULENC RORER S.A.	42	May-16-95	231951	Jun-13-95	494533	1
MEXICO	AVENTIS PHARMA S.A.	1, 5, 42	Арт-27-95	952706	Oct-03-96	177097	}
NORWAY	, · · · — · · · ·	1, 2, 12	Apr-27-95	248560	  Nov-11-96	248560	l
NEW ZEALAND	AVENTIS PHARMA S.A.	15	Apr-27-95	248561	Mar-12-97	248561	
NEW SEALAND	AVENTIS PHARMA S.A.	_	Apr-27-95	248562	Nov-11-96	248562	1
NÉW ZEALAND	AVENTIS PHARMA S.A.	42			Oct-22-99	04-1995-103373	
PHILIPPINES	RHONE-POULENC RORER S.A.	5	Ju)-03-95	101173	1	66678	
PHILIPPINES	RHONE-POULENC RORER S.A.	1	)ա1-03-95	101172	DEC/08/1998	[	
PHILIPPINES	RHONE-POULENC RORER S.A.	42	Jul-03-95	101174	DEC/08/1998	66679	1
POLAND	AVENTIS PHARMA S.A.	1, 5, 42	Apr-28-95	648351	Apr-28-95	648351	1
PORTUGAL.	AVENTIS PHARMA S.A.	1, 5, 42	Apr-28-95	648351	Apr 28-95	648351	
INTERNATIONAL	AVENTIS PHARMA S.A.	1, 5, 42	Apr-28-95	648351	Apr-28-95	648351	}
PROCEDURE CZECH REPUBLIC	AVENTIS PHARMA S.A.	1, 5, 42	Apr-28-95	648351	Арт-28-95	648351	ļ
	AVENTIS PHARMA S.A.	1.5,42	Jan-18-95	2008168	Jan-18-95	2008168	ł
UNITED KINGDOM	AVENTIS PHARMA S.A.	1, 5, 42	Apr-28-95	648351	Арт-28-95	648351	1
RUSSIA (FEDERATION OF)		,	May-05-95	4031/95	May-05-95	14031/95	1
SINGAPORE	RHONE-POULENC RORER S.A.	1	1 -	4032/95	Мву-05-95	T95/04032H	
SINGAPORE	RHONE-POULENC RORER S.A.	)3	May-05-95		-	4033/95	Ì
SINGAPORE	RHONE-POULENC RORER S.A.	42	May-05-95	4033/95	May-05-95		-
SLOVAKIA	AVENTIS PHARMA S.A.	1, 5, 42	Apr-28-95	648351	Apr-28-95	648351	l
SWEDEN	RHONE-POULENC RORER S.A.	5	Jun-06-95	95-5143	AUG/23/1996	316305	1
SWEDEN	RHONE-POULENC RORER S.A.	1, 42	Jun-06-95	95-6426	Mar-29-96	3107 <del>9</del> 9	
SWITZERLAND	AVENTIS PHARMA S.A.	1, 5, 42	А <del>рт</del> -28-95	648351	Apr-28-95	648351	- }
TAÏWAN	RHONE-POULENC RORER S.A.	ì	May-18-95	84023972	AUG/01/1996	723089	}
TAIWAN	RHONE-POULENC RORER S.A.	5	May-18-95	84023980	Jun-16-96	719082	- 1
TAIWAN	RHONE-POULENC RORER S.A.	42	May-18-95	84023979	Jun-1-96	83135	1
TURKEY	RHONE-POULENC RORER S.A.	1	May-17-95	17953	May-17-95	160749	1
COURT.	1	l	l l	ı	1	I	1

[...]

Certified true copy

Alain Chevallier

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**RECORDED: 01/03/2005** 

**PATENT** REEL: 015509 FRAME: 0992 / \*\*