

07-01-2004

Docket No. 148400-1



FORM PTO 1595  
1-31-92

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

102782169

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jie (NMN) Liu  
Anil Raj Duggal  
Christian Maria Anton Heller  
Larry Neil Lewis

6-22-04

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: \_\_\_\_\_

Street Address: One Research Circle

City: Niskayuna State: NY ZIP: 12309

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Execution Date: Jun 22, 2004 Jun 22, 2004  
Jun 22, 2004 Jun 22, 2204

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

Jun 22, 2004 Jun 22, 2004 Jun 22, 2004 Jun 22, 2204

A. Patent Application No.(s)

10874099

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company  
Internal Address: GRC Patent Docket Rm. 4A59  
Bldg. K-1 -Ross  
P.O. Box 8  
Street Address: One Research Circle  
City: Schenectady State: NY ZIP: 12301

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41.....)\$40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

07-0868

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Andrew J. Caruso  
Name of Person Signing

*Andrew J. Caruso*  
Signature

June 22, 2004

Total number of pages including cover sheet, attachments and document  6

OMB No. 0651-0011 (exp 4/94)

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Mail documents to be recorded with required cover sheet information to:

**Commissioner of Patents and Trademarks**  
**Box Assignments**  
**Washington, D.C. 20231**

07/01/2004 ECOOPER 00000038 070868 10874099

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19587 U.S. PTO  
10/874099



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**ASSIGNMENT**

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

|                              |    |               |
|------------------------------|----|---------------|
| Jie (NMN) Liu                | of | Niskayuna, NY |
| Anil Raj Duggal              | of | Niskayuna, NY |
| Christian Maria Anton Heller | of | Albany, NY    |
| Larry Neil Lewis             | of | Scotia, NY    |
|                              | of |               |
|                              | of |               |

hereby sell and assign to **General Electric Company, a New York Corporation**, having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns the entire respective right(s), title(s) and interest(s) in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled:

**METAL COMPOUND-METAL MULTILAYER ELECTRODES FOR ORGANIC ELECTRONIC DEVICES**

executed concurrently herewith,

filed on [insert date] having Serial Number \_\_\_\_\_

and any and all applications for patent and patents therefor in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I/we will communicate to said Company or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

INVENTOR 1

6/22/2004  
(Date)

[Signature]  
Jie (NMN) Ltd

State of New York)

SS:

County of: Schenectady)

This day of 22<sup>nd</sup> of June, 2004 before me personally came the above-named Jie (NMN) Liu to me personally known as the individual who executed the foregoing assignment, who acknowledged tome that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

[Signature]  
Notary Public

MONICA A. GENTILE  
Notary Public-State of New York  
Qualified in Schenectady County  
No. 01GE6037577  
My Commission Expires February 22, 2006

INVENTOR 2

6/22/04  
(Date)

Anil Raj Duggal  
Anil Raj Duggal

State of New York)

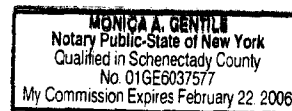
SS:

County of: Schenectady)

This day of 22<sup>nd</sup> of June, 2004 before me personally came the above-named Anil Raj Duggal to me personally known as the individual, who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Monica A. Gentile  
Notary Public



INVENTOR 3

6/22/2004

(Date)

Christian Maria Anton Heller

Christian Maria Anton Heller

State of New York)

SS:

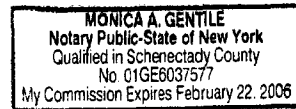
County of: Schenectady)

This day of 22<sup>nd</sup> of June, 2004 before me personally came the above-named Christian Maria Anton Heller to me personally known as the individual who executed the foregoing assignment, who acknowledged tome that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Monica A. Gentile

Notary Public



INVENTOR 4

June 22, 2004  
(Date)

Larry Neil Lewis  
Larry Neil Lewis

State of New York)

SS:

County of: Schenectady)

This day of 22<sup>nd</sup> of June, 2004 before me personally came the above-named Larry Neil Lewis to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Monica A. Gentile  
Notary Public

