



07-08-2004



102786048

DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks

attached original documents or copy thereof:

1. Name of conveying party(ies):

Komatsu Ltd.

7.2.04

2. Name and address of receiving party(ies):

Name: Komatsu Electronic Metals Co., Ltd.

Internal Address:

Street Address:

10-7, Shinbashi 1-chome
Minato-ku, Tokyo 105-0004 JapanAdditional Name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

☐ Other:

Execution Date: June 09, 2004

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers:

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/492,761

B. Patent No.(s)

Additional number(s) attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: R. Eugene Varndell, Jr.

Internal Address:

Street Address:

VARNDELL & VARNDELL, PLLC
106-A South Columbus Street

City: Alexandria State: VA ZIP: 22314

6. Total number of applications and patents involved:

1

7. Total fee (37 C.F.R. § 3.41) enclosed: \$ 40.00

- ☒ Enclosed
☐ Authorized to be charge to deposit account

Deposit Account Number:

22-0256

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature. *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original true document.*

R. Eugene Varndell, Jr.

Name of Person Signing

Signature

7/1/04

Date

Total number of pages comprising cover sheet: 2

07/07/2004 REGISTRATION 00000187 09492761

40.00 UP

01 FC:8021

ASSIGNMENT

Whereas, the Assignor, KOMATSU LTD., residing at 3-6, Akasaka 2-chome, Minato-ku, Tokyo 107-8414 Japan, owns a partial right, title and interest in the invention defined in U.S. patent application serial number 09/492,761, entitled SEMICONDUCTOR WAFER, and filed on January 27, 2000; the assignment being recorded in the U.S. Patent & Trademark Office on January 27, 2000, reel 01058, frame 0034.

Whereas, the Assignee, KOMATSU ELECTRONIC METALS CO., LTD., residing at 10-7, Shinbashi 1-chome, Minato-ku, Tokyo 105-0004 Japan, is desirous of acquiring the Assignor's right, title and interest in said invention and any Letters Patent granted therefor.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the sum of one dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, Assignor hereby sells, assigns, and transfers to Assignee all the Assignor's right, title and interest to said invention in U.S. patent application serial number 09/492,761 or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

Assignor hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into that would conflict with this assignment and sale.

Assignor agrees to provide Assignee or its representatives with any facts known to Assignor concerning said invention, testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said Assignee, make all rightful oaths and, generally do everything possible to aid said Assignee, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions.

IN WITNESS WHEREOF, Assignor has hereunto set hand and seal as follows.

June 9, 2004
Date

Atsutomo Komine
KOMATSU LTD.
Name: Atsutomo KOMINE
Title: Manager of Intellectual
Property Department