

716104

07-08-2004

Docket No. 0575/61545

FORM RTO-1595 (Substitute) R

U.S. DEPARTMENT OF COMMERCE

(Rev. 8-96)

To the Honorable Commissioner of Patents and T

Patent and Trademark Office

Documents or copy thereof.



102785943

1. Name of conveying party(ies):

Reba Goodman;
Hana Lin; and
Martin Blank

Name: The Trustees of Columbia University in

Internal Address: the City of New York

Street Address: Broadway and West 116th Street

Additional name(s) of conveying party(ies) attached?

Yes No

City/State/Zip: New York, NY 10027

3. Nature of Conveyance:

Assignment Merger
 Security Assignment Change of Name
 Other

Additional name(s) & address(es) attached? Yes No

Execution Date(s): 3/8/01; 3/12/01; 3/12/01

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is (are):

A. Patent Application No.(s) 09/796, 902

B. Patent No.(s)

Filed January 25, 2001

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White

Internal Address:

Street Address: Cooper & Dunham LLP
1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved:

7. Total fee (37 CFR §3.41):\$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

03-3125

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter J. Phillips

Name of Person Signing

[Signature]
Reg. No. 29,891

July 1, 2004

Date

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:
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Peter J. Phillips 7/1/04
Reg. No. 28,678 Date

OMB No. 0651-0011 (exp. 4/94)

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07/07/2004 6TON11 00000101 033125 09796902

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Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned,

Reba Goodman residing at 115 Jones Road, Englewood, New Jersey 07631, U.S.A.;
Hana Lin residing at 1319 Palisade Avenue, Fort Lee, New Jersey 07024, U.S.A.; and
Martin Blank residing at 157 Columbus Drive, Tenafly, New Jersey 07678, U.S.A.

Hereby sell, assign and transfer to The Trustees of Columbia University in
the City of New York a corporation of the State of
New York having a place of business at West 116th Street and Broadway,
in the County of New York and State of New York, U.S.A. 10027,
its successors, assigns and legal representatives, the entire right, title and interest
for all countries, in and to any and all inventions which are disclosed and claimed,
and any and all inventions which are disclosed but not claimed, in the application for
United States Patent, which has been executed by the undersigned on March 8, March 12,
and is entitled and March 12,
respectively.

A METHOD FOR REGULATING GENES WITH ELECTROMAGNETIC RESPONSE ELEMENTS

(U.S. Serial No. 09/769,902, filed January 25, 2001)

and in and to said application and all divisional, continuing, substitute, renewal,
reissue, and all other applications for U.S. Letters Patent or other related property
rights in any and all foreign countries which have been or shall be filed on any of
said inventions disclosed in said application; and in and to all original and reissued
patents or related foreign documents which have been or shall be issued on said
inventions;

Authorize and request the Commissioner of Patents of the United States to issue
to said Assignee, the corporation above named, its successors, assigns and legal
representatives, in accordance with this assignment, any and all United States
Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 3/8/01 20

Witness: Forest Erlich

Forest Erlich

104-40 Queens Blvd

Forest Hills N.Y. 11375

Date: 3/12/01 20

Witness: Aileen Smalls

Aileen Smalls

596 Edgemoor Ave

NYC NY 10032

Reba Goodman [L.S.]
Reba Goodman

Hana Lin [L.S.]
Hana Lin

Date: March 12 2001 Martin Blank [L.S.]
 Witness: Aileen Smalls
Aileen Smalls
5916 Edgelycombe Ave
NYC NY 10032

Date: _____ 20 _____ [L.S.]
 Witness: _____

Date: _____ 20 _____ [L.S.]
 Witness: _____

