

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

FRESENIUS HEMOCARE
DEUTSCHLAND GMBH

Execution Date(s): December 29, 2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

INTERCEPT BIOMEDICAL (BVI)
LIMITED

Name: _____

Internal Address: _____

Street Address: _____

C/O HWR SERVICES LIMITED, P.O. BOX
71, ROAD TOWN

City: TORTOLA

State: _____

BRITISH VIRGIN

Country: ISLANDS Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

4,969,873

5,085,656

5,336,194

Additional numbers attached? ☒ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: **STEPHEN B. GOLDMAN
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP**

Internal Address: Atty. Dkt.: INTBIO 5.2-001

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07080

Phone Number: 908 654 5000

Fax Number: 908 654 7866

Email Address: sgoldman@ldlkm.com

6. Total number of applications and patents involved:

8

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

- a. Credit Card Last 4 Numbers _____
Expiration Date _____
b. Deposit Account Number 12-1095
Authorized User Name LERNER DAVID

9. Signature:

Signature

January 5, 2005

Date

Total number of pages including cover sheet, attachments, and documents:

8

STEPHEN B. GOLDMAN Reg. No. 28,512

CH \$360.00 121095 4969873

700143361

PATENT
REEL: 015541 FRAME: 0447

Form PTO-1595		RECORDATION FORM COVER SHEET (continued)	
Additional Conveying Party(ies)/Execution Date(s) (1. Continued): 			
Additional Assignees (2. Continued):			
Assignee Name: _____			
Internal Address: _____			
Street Address: _____			
City: _____ State: _____ Country: _____ Zip: _____			
Assignee Name: _____			
Internal Address: _____			
Street Address: _____			
City: _____ State: _____ Country: _____ Zip: _____			
Assignee Name: _____			
Internal Address: _____			
Street Address: _____			
City: _____ State: _____ Country: _____ Zip: _____			
Additional Applications and/or Patents (4. Continued):			
Additional Patent Application Numbers 4A. Continued:		Additional Patent Numbers 4B. Continued:	
		5,836,915	
		5,722,957	
		5,814,019	
		5,766,150	
		6,730,060	
Additional numbers attached?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

ASSIGNMENT

WHEREAS, FRESNIUS HEMOCARE DEUTSCHLAND GMBH, a business formed under the laws of Germany, having a place of business at Else-Kroener-Str. 1, 61352 Bad Homburg, Germany, as ASSIGNOR, is the owner of all of the rights, titles, and interests in certain patents and patent applications, including the inventions disclosed therein, as listed in Schedule A attached hereto.

WHEREAS, INTERCEPT BIOMEDICAL (BVI) LIMITED, a business formed under the laws of the British Virgin Islands, having a business address at c/o HWR Services Limited, P.O. Box 71, Road Town, Tortola, British Virgin Islands, as ASSIGNEE, is desirous of acquiring all rights, titles, and interest in, and to said inventions, said patents, said patent applications, as listed in Schedule A, and any patents that may be or are granted thereon in any country throughout the world.

NOW THEREFORE, in consideration of One United States Dollar (US \$1.00) and other good and valuable consideration, the receipt of which is hereby freely acknowledged, FRESNIUS HEMOCARE DEUTSCHLAND GMBH, as ASSIGNOR, hereby assigns, transfers and sets over to said ASSIGNEE, BIOMEDICAL (BVI) LIMITED, all of the rights, titles, and interests in and to said aforementioned inventions, patents, and patent applications and any and all patents granted thereon in any country throughout the world, including all originals, divisionals, continuations, reexaminations, substitutes, or reissue applications and patents applied for or granted thereon in any country throughout the world, together with all claims for damages by reason of any past, present or future infringements of said inventions, said patents, said patent applications and patents granted thereon, with the right to sue for and collect the same for the ASSIGNEE'S own use and behalf; and those in authority in all countries throughout the world are hereby authorized and requested to issue all patents on said inventions and the aforesaid patent applications to said ASSIGNEE herein, as assignee of the entire interest therein, and to record this Assignment as evidence of the transfer and ownership of the aforesaid inventions, patents and patent applications in the name of the ASSIGNEE; and ASSIGNOR does hereby agree and covenant without further remuneration, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid ASSIGNEE, its successors, assigns and nominees to obtain patent protection for said inventions and said patent applications throughout the world, the expenses incident to said inventions and said patent applications to be borne and paid by said ASSIGNEE; ASSIGNOR does hereby further agree and covenant without further remuneration, to take any measures requested, to sign all lawful papers, make all rightful oaths, to execute any and all assignments and to do generally everything necessary to aid ASSIGNEE, its successors, assigns and nominees, in effectuating and recordation of this assignment and the transfer and ownership of the aforesaid inventions, patents and patent applications in the name of the ASSIGNEE, in any and all countries throughout the world as deemed necessary by ASSIGNEE with costs and expenses incident to said effectuations to be borne and paid by said ASSIGNEE.


29 DEC 04

This assignment shall be governed by German law. In case of conflict between this Assignment and the Asset Transfer Agreement, the Asset Transfer Agreement shall prevail.

IN WITNESS HEREOF, the undersigned hereby represent that they are the duly authorized representatives of the parties, that they have the authority to sign on the parties' behalf, and that they do sign below of their own free will.


29 DEC 04

Fresenius Hemocare Deutschland GmbH

[Signature]

Name: Ulrike Floss

Title: Prokurist

ON BEHALF OF FRESENIUS HEMOCARE DEUTSCHLAND GMBH:

On this _____ day of _____, 2005, before me personally came the above-named _____ and _____ to me personally known as the individuals who executed the foregoing instrument, who acknowledged to me that the same was executed by _____ and _____ of their own free will for the purposes therein set forth.

Notar

[Signature]

Name: Klaus Makros

Title: Managing Director

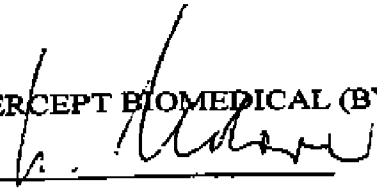
ON BEHALF OF FRESENIUS HEMOCARE DEUTSCHLAND GMBH:

On this _____ day of _____, 2005, before me personally came the above-named _____ and _____ to me personally known as the individuals who executed the foregoing instrument, who acknowledged to me that the same was executed by _____ and _____ of their own free will for the purposes therein set forth.

Notar

[Signature]
29 DEC 04

INTERCEPT BIOMEDICAL (BVI) LIMITED


Name: DR. CEDRON

Title: CHAIRMAN

ON BEHALF OF INTERCEPT BIOMEDICAL (BVI) LIMITED:

On this _____ day of _____, 2004, before me personally came the above-named _____ and _____ to me personally known as the individuals who executed the foregoing instrument, who acknowledged to me that the same was executed by _____ and _____ of their own free will for the purposes therein set forth.

Notar

1.1.1 VIP30 Intellectual Property

Patents and Patent-Applications

#	Patent Ref.-Name	Country	Status	Filing Date	Expiration Date	Publ.No	Patent No
1	Ring-like Septum	AT	granted	23/ Jun/ 1988	23/ Jun/ 2008	391416	391416
2	Ring-like Septum	DE+	granted	15/ Jun/ 1989	15/ Jun/ 2009	58904179.7-08	58904179
3	Ring-like Septum	FR+	granted	15/ Jun/ 1989	15/ Jun/ 2009	0347743	0347743
4	Ring-like Septum	GB+	granted	15/ Jun/ 1989	15/ Jun/ 2009	0347743	0347743
5	Ring-like Septum	IT+	granted	15/ Jun/ 1989	15/ Jun/ 2009	0347743	0347743
6	Ring-like Septum	JP	granted	23/ Jun/ 1989	23/ Jun/ 2009	2-46867	2951970
7	Ring-like Septum	US	granted	22/ Jun/ 1989	22/ Jun/ 2009	4969873	4969873
8	Dosage Device	DE+	granted	7/ May/ 1990	7/ May/ 2010	59009462.9-06	59009462
9	Dosage Device	FR+	granted	7/ May/ 1990	7/ May/ 2010	0401515	0401515
10	Dosage Device	GB+	granted	7/ May/ 1990	7/ May/ 2010	0401515	0401515
11	Dosage Device	JP	granted	9/ May/ 1990	9/ May/ 2010	31.877	3188689
12	Dosage Device	US	granted	9/ May/ 1990	9/ May/ 2010	5085656	5085656
13	Ring-slot filter	AT+	granted	24/ Jul/ 1993	24/ Jul/ 2013	0582163	0582163
14	Ring-slot filter	DE	granted	24/ Jul/ 1993	24/ Jul/ 2013	59307315.0-08	59307315.0
15	Ring-slot filter	FR+	granted	24/ Jul/ 1993	24/ Jul/ 2013	0582163	0582163
16	Ring-slot filter	GB+	granted	24/ Jul/ 1993	24/ Jul/ 2013	0582163	0582163
17	Ring-slot filter	IT+	granted	24/ Jul/ 1993	24/ Jul/ 2013	0582163	0582163
18	Ring-slot filter	JP	granted	21/ Jul/ 1993	21/ Jul/ 2013	6-86828	3269886
19	Ring-slot filter	US	granted	27/ Jul/ 1993	27/ Jul/ 2013	5336194	5336194
20	Over-pressure Valve	DE	granted	13/ Oct/ 1994	13/ Oct/ 2014	4436540	4436540
21	Double Connection	DE	granted	16/ Sep/ 1994	16/ Sep/ 2014	4432991	4432991
22	Double Connection	DE+	granted	17/ Sep/ 1995	17/ Sep/ 2015	0701831	59504201.5
23	Double Connection	ES+	granted	17/ Sep/ 1995	17/ Sep/ 2015	0701831	0701831
24	Double Connection	GB+	granted	17/ Sep/ 1995	17/ Sep/ 2015	0701831	0701831
25	Double Connection	IT+	granted	17/ Sep/ 1995	17/ Sep/ 2015	0701831	0701831
26	Double Connection	JP	examination	18/ Sep/ 1995	18/ Sep/ 2015	8-224301	
27	Double Connection	US	granted	18/ Sep/ 1995	18/ Sep/ 2015	5836915	5836915
28	Catheter Attachment	DE	granted	12/ Oct/ 1994	12/ Oct/ 2014	4436350	4436350
29	Catheter Attachment	DE+	granted	30/ Sep/ 1995	30/ Sep/ 2015	0706805	59504209.0

534893-1

30	Catheter Attachment	ES+	granted	30/ Sep/ 1995	30/ Sep/ 2015	0706805	0706805
31	Catheter Attachment	FR+	granted	30/ Sep/ 1995	30/ Sep/ 2015	0706805	0706805
32	Catheter Attachment	GB+	granted	30/ Sep/ 1995	30/ Sep/ 2015	0706805	0706805
33	Catheter Attachment	IT+	granted	30/ Sep/ 1995	30/ Sep/ 2015	0706805	0706805
34	Catheter Attachment	JP	examination	12/ Oct/ 1995	12/ Oct/ 2015	317973/96	
35	Catheter Attachment	US	closed	6/ Oct/ 1995			
36	Hexafluorbutane II	DE	granted	17/ Mar/ 1995	17/ Mar/ 2015	19509632	19509632
37	Hexafluorbutane II	DE+	granted	13/ Mar/ 1996	13/ Mar/ 2016	0732112	0732112
38	Hexafluorbutane II	ES+	granted	13/ Mar/ 1996	13/ Mar/ 2016	0732112	0732112
39	Hexafluorbutane II	FR+	granted	13/ Mar/ 1996	13/ Mar/ 2016	0732112	0732112
40	Hexafluorbutane II	GB+	granted	13/ Mar/ 1996	13/ Mar/ 2016	0732112	0732112
41	Hexafluorbutane II	IT+	granted	13/ Mar/ 1996	13/ Mar/ 2016	0732112	0732112
42	Hexafluorbutane II	JP	examination	18/ Mar/ 1996	18/ Mar/ 2016	8-266620	0732112
43	Hexafluorbutane II	US	granted	15/ Mar/ 1996	15/ Mar/ 2016	5722957	5722957
44	Barrierfilm II	DE	granted	17/ Mar/ 1995	17/ Mar/ 2015	19509634	19509634
45	Barrierfilm II	DE+	granted	13/ Mar/ 1996	13/ Mar/ 2016	0732113	0732113
46	Barrierfilm II	ES+	granted	13/ Mar/ 1996	13/ Mar/ 2016	0732113	0732113
47	Barrierfilm II	FR+	granted	13/ Mar/ 1996	13/ Mar/ 2016	0732113	0732113
48	Barrierfilm II	GB+	granted	13/ Mar/ 1996	13/ Mar/ 2016	0732113	0732113
49	Barrierfilm II	IT+	granted	13/ Mar/ 1996	13/ Mar/ 2016	0732113	0732113
50	Barrierfilm II	JP	examination	18/ Mar/ 1996	18/ Mar/ 2016	8-257140	0732113
51	Barrierfilm II	US	granted	15/ Mar/ 1996	15/ Mar/ 2016	5814019	5814019
52	Pressure Gas Cushion	DE	granted	7/ May/ 1996	7/ May/ 2016	0742025	59607914.1-08
53	Pressure Gas Cushion	FR	granted	7/ May/ 1996	7/ May/ 2016	0742025	0742025
54	Pressure Gas Cushion	GB	granted	7/ May/ 1996	7/ May/ 2016	0742025	0742025
55	Pressure Gas Cushion	JP	examination	10/ May/ 1996	10/ May/ 2016	9-10305	
56	Pressure Gas Cushion	US	granted	10/ May/ 1996	10/ May/ 2016	5766150	5766150
57	Filling Aid	DE	granted	29/ May/ 1998	29/ May/ 2018	19824016	19824016
58	Filling Aid	DE+	granted	27/ May/ 1999	27/ May/ 2019	0960626	59907341.1
59	Filling Aid	ES+	granted	27/ May/ 1999	27/ May/ 2019	0960626	2204031
60	Filling Aid	FR+	granted	27/ May/ 1999	27/ May/ 2019	0960626	0960626
61	Filling Aid	GB+	granted	27/ May/ 1999	27/ May/ 2019	0960626	0960626
62	Filling Aid	IT+	granted	27/ May/ 1999	27/ May/ 2019	0960626	0960626
63	Filling Aid	JP	laid open	27/ May/ 1999	27/ May/ 2019	11-347119	
64	Filling Aid	US	granted	28/ May/ 1999	28/ May/ 2019		6730060

534893-1