

07-14-2004

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102790948

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Carl-Zeiss-Stiftung

Execution Date(s) June 30, 2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Carl Zeiss SMT AG

Internal Address: _____

Street Address: D-89518

City: Heidenheim

State: _____

Country: GERMANY

Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

6,552,862B2

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: D. Brent Kenady

Internal Address: Wells St. John P.S.

Street Address: 601 West 1st Avenue, Suite 130

City: Spokane

State: Washington Zip: 99201-3828

Phone Number: (509) 624-4276

Fax Number: (509) 838-3424

Email Address: bkenady@wellsstjohn.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed (Check no. 143379)
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
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b. Deposit Account Number _____

Authorized User Name _____

9. Signature:

D. Brent Kenady

Signature

7-8-04

Date

D. Brent Kenady

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

07/13/2004 ECOOPER 00000117 6552662

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PATENT
REEL: 015541 FRAME: 0918

CONFIRMATORY ASSIGNMENT

The Effective Date of this Assignment is ~~October, 1, 2001~~.

WHEREAS, CARL-ZEISS-STIFTUNG, a foundation organized and existing under the laws of Germany and trading as Carl Zeiss, having its principal office at 89518 Heidenheim (Brenz), Germany (hereinafter "ASSIGNOR"), by virtue of an assignment from the inventors, was the owner of the entire right, title, and interest in and to certain new and useful inventions and improvements which are the subject of 8 PATENTS AND PATENTS APPLICATIONS for United States Patent entitled

Title: "Iris Diaphragm" filed 03.04.2001, and assigned U.S. Serial Number 09/825,665;

Title: "Precision positioning apparatus for positioning a component" filed 25.05.2001 and assigned U.S. Serial Number 09/866,089;

Title: "Mounting device for an optical element" filed 31.10.2001 and assigned U.S. Serial Number 09/999,892;

Title: "An optical element housing or mounting connector" filed 19.04.2001 and assigned U.S. Serial Number 09/839,958

Title: "An optical element mount" filed 14.08.2001 and assigned U.S. Serial Number 09/930,778;

Title: "Device for mounting an optical element" filed 15.06.2001 and assigned U.S. Serial Number 09/882,564;

~~Title: "System for flusing at least one internal space of an objective" filed 07.12.2001 and assigned U.S. Serial Number 10/020,561;~~

Title: "Method of connecting a multiplicity of optical elements" filed 21.06.2001 and assigned U.S. Serial Number 09/888,214

WHEREAS, Carl Zeiss SMT AG, a corporation organized and existing under the laws of Germany and having its principal office at Carl-Zeiss-Strasse 22, 73447 Oberkochen, Germany, formerly CARL ZEISS SEMICONDUCTOR MANUFACTURING TECHNOLOGIES AG (hereinafter "ASSIGNEE"), was desirous of acquiring the entire right, title and interest in and to the PATENTS AND PATENT APPLICATIONS, including any and all Letters Patent of the United States that may be obtained therefore, and any and all foreign patents claiming priority to said PATENTS AND PATENT APPLICATIONS;

NOW, THEREFORE, be it known that, pursuant to the terms of a contract entered into between ASSIGNOR and ASSIGNEE and notarized January 15, 2002, for good and valuable consideration recited therein and paid by ASSIGNEE to ASSIGNOR, the receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned and transferred, and by these presents does hereby confirm that, as of the Effective date, ASSIGNOR did sell, assign and transfer unto ASSIGNEE, its legal representatives, successors and assigns, its entire right, title and interest in and to the PATENTS AND PATENT APPLICATIONS, the same interest to be held by ASSIGNEE, its legal representatives, successors and assigns, to the full end of the term for which Letters Patent may be granted or may be reissued or extended, as fully and entirely as the same would have been held by ASSIGNOR had his assignment and sale not been made.

AND, ASSIGNOR hereby confirms its agreement that the change in ownership shall be recorded in the official records of the United States Patent and Trademark Office.

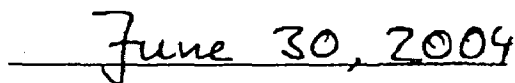
The undersigned is authorized to act on behalf of ASSIGNOR.

CARL-ZEISS-STIFTUNG, trading as Carl Zeiss



By: i.V. Schultz

Title: authorized clerk

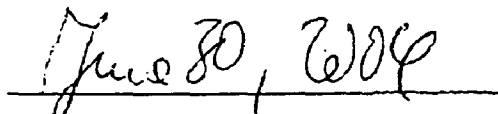


Date




By: i. V. Dr. Müller-Rißmann

Title: authorized clerk



Date

Witness Name: Fleischer

Witness Signature: 

Witness Name: Motzke

Witness Signature: 