

Form PTO-1595 (Rev. 09/04)  
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)/Execution Date(s):

Yuval S. Gilbert  
Hunter R. Medney  
Salvatore G. Mazzotta  
Cindy Wu  
William Drake

Execution Date(s) 9/18/04; 9/19/04; 9/20/04

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: International Business Machines Corporation

Internal Address: \_\_\_\_\_

Street Address: New Orchard Road

City: Armonk

State: New York

Country: USA

Zip: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)

10/945,829

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Guerin & Rodriguez, LLP

Internal Address: \_\_\_\_\_

Street Address: 5 Mount Royal Avenue

Mount Royal Office Park

City: Marlborough

State: MA

Zip: 01752

Phone Number: 508-303-2003

Fax Number: 508-303-0005

Email Address: \_\_\_\_\_

### 6. Total number of applications and patents involved:

1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_

Expiration Date \_\_\_\_\_

b. Deposit Account Number 502295

Authorized User Name Guerin & Rodriguez, LLP

### 9. Signature:

Michael Rodriguez  
Signature

1/5/05  
Date

Michael A. Rodriguez

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

11

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

Express Mail Label No. ER325849975US

PATENT

DOCKET NUMBER: IBM-010 (LOT9-2003-0102US1)**ASSIGNMENT**

Whereas, we:

- |     |  |  |
|-----|--|--|
| (1) | Yuval S. Gilbert<br>County of Norfolk        | of Brookline,<br>and State of Massachusetts, |
| (2) | Hunter R. Medney<br>County of Santa Clara    | of Santa Clara<br>and State of California,   |
| (3) | Salvatore G. Mazzotta<br>County of Middlesex | of Bedford,<br>and State of Massachusetts,   |
| (4) | Cindy Wu<br>County of Norfolk                | of Canton,<br>and State of Massachusetts,    |
| (5) | William Drake                                | of Stoney Creek,<br>and Province of Ontario, |

has invented certain improvements in

**CASE MANAGEMENT SYSTEM AND  
METHOD FOR COLLABORATIVE PROJECT TEAMING**

and executed a United States patent application therefor on the following date(s):

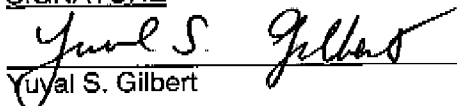
- |     |                        |     |           |
|-----|------------------------|-----|-----------|
| (1) | <u>Sept 18, 2004</u> ; | (2) | _____;    |
| (3) | _____;                 | (4) | _____;    |
| (5) | _____;                 |     | _____ and |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

Express Mail Label No. ER325849975US

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

|     | <u>LOCATION</u>                                      | <u>DATE</u>    | <u>SIGNATURE</u>  |
|-----|--|----------------|---|
| (1) | <u>1 Rogers Street</u><br><u>Cambridge, MA 02142</u> | <u>9/18/04</u> | <u></u><br>Yuval S. Gilbert |
| (2) | _____  | _____          | _____<br>Hunter R. Medney   |
| (3) | _____  | _____          | _____<br>Salvatore G. Mazzotta  |
| (4) | _____  | _____          | _____<br>Cindy Wu   |
| (5) | _____  | _____          | _____<br>William Drake  |

---

Express Mail Label No. ER325849975US

PATENT

DOCKET NUMBER: IBM-010 (LOT9-2003-0102US1)

## ASSIGNMENT

Whereas, we:

- |     |  |  |
|-----|--|--|
| (1) | Yuval S. Gilbert<br>County of Norfolk        | of Brookline,<br>and State of Massachusetts, |
| (2) | Hunter R. Medney<br>County of Santa Clara    | of Santa Clara<br>and State of California,   |
| (3) | Salvatore G. Mazzotta<br>County of Middlesex | of Bedford,<br>and State of Massachusetts,   |
| (4) | Cindy Wu<br>County of Norfolk                | of Canton,<br>and State of Massachusetts,    |
| (5) | William Drake                                | of Stoney Creek,<br>and Province of Ontario, |

has invented certain improvements in

### CASE MANAGEMENT SYSTEM AND METHOD FOR COLLABORATIVE PROJECT TEAMING

and executed a United States patent application therefor on the following date(s):

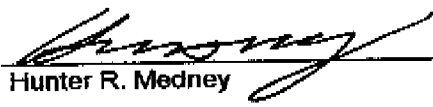
- |     |        |     |                         |
|-----|--------|-----|-------------------------|
| (1) | _____; | (2) | <u>9/20/2004</u> _____; |
| (3) | _____; | (4) | _____;                  |
| (5) | _____; |     | _____; and              |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

Express Mail Label No. ER325849975US

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

|     | <u>LOCATION</u>                  | <u>DATE</u>      | <u>SIGNATURE</u>   |
|-----|----------------------------------|------------------|--|
| (1) | _____                            | _____            | Yuval S. Gilbert   |
| (2) | <u>San Francisco, CA, U.S.A.</u> | <u>9/20/2004</u> | <br>Hunter R. Medney |
| (3) | _____                            | _____            | Salvatore G. Mazzotta  |
| (4) | _____                            | _____            | Cindy Wu   |
| (5) | _____                            | _____            | William Drake  |

Express Mail Label No. ER325849975US

PATENT

DOCKET NUMBER: IBM-010 (LOT9-2003-0102US1)**ASSIGNMENT**

Whereas, we:

- |     |  |  |
|-----|--|--|
| (1) | Yuval S. Gilbert<br>County of Norfolk                          | of Brookline,<br>and State of Massachusetts, |
| (2) | Hunter R. Medney<br>County of Santa Clara                      | of Santa Clara<br>and State of California,   |
| (3) | Salvatore G. Mazzotta <i>09/20/2004</i><br>County of Middlesex | of Bedford,<br>and State of Massachusetts,   |
| (4) | Cindy Wu<br>County of Norfolk                                  | of Canton,<br>and State of Massachusetts,    |
| (5) | William Drake  | of Stoney Creek,<br>and Province of Ontario, |

has invented certain improvements in

**CASE MANAGEMENT SYSTEM AND  
METHOD FOR COLLABORATIVE PROJECT TEAMING**

and executed a United States patent application therefor on the following date(s):

- |     |        |     |            |
|-----|--------|-----|------------|
| (1) | _____; | (2) | _____;     |
| (3) | _____; | (4) | _____; and |
| (5) | _____; |     |            |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

Express Mail Label No. ER325849975US

PATENT

DOCKET NUMBER: IBM-010 (LOT9-2003-0102US1)**ASSIGNMENT**

Whereas, we:

- |             |  |  |
|-------------|--|--|
| (1)         | Yuval S. Gilbert<br>County of Norfolk        | of Brookline,<br>and State of Massachusetts, |
| (2)         | Hunter R. Medney<br>County of Santa Clara    | of Santa Clara<br>and State of California,   |
| (3)         | Salvatore G. Mazzotta<br>County of Middlesex | of Bedford,<br>and State of Massachusetts,   |
| 2/19/04 (4) | Cindy Wu<br>County of Norfolk                | of Canton,<br>and State of Massachusetts,    |
| (5)         | William Drake                                | of Stoney Creek,<br>and Province of Ontario, |

has invented certain improvements in

**CASE MANAGEMENT SYSTEM AND  
METHOD FOR COLLABORATIVE PROJECT TEAMING**

and executed a United States patent application therefor on the following date(s):


- |     |        |     |        |
|-----|--------|-----|--------|
| (1) | _____; | (2) | _____; |
| (3) | _____; | (4) | _____; |
| (5) | _____; |     | and    |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

Express Mail Label No. ER325849975US

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

|     | LOCATION   | DATE    | SIGNATURE  |
|-----|--|---------|--|
| (1) |  |         | Yuval S. Gilbert   |
| (2) |  |         | Hunter R. Medney   |
| (3) |  |         | Salvatore G. Mazzotta  |
| (4) | 4 Technology Park<br>WTF4/1228/A<br>Westford, MA 01086 | 9/19/04 | <br>Cindy Wu |
| (5) |  |         | William Drake  |



Express Mail Label No. ER325849975US

PATENT  
DOCKET NUMBER: IBM-010 (LOT9-2003-0102US1)

ASSIGNMENT

Whereas, we:

- |     |  |  |
|-----|--|--|
| (1) | Yuval S. Gilbert<br>County of Norfolk        | of Brookline,<br>and State of Massachusetts, |
| (2) | Hunter R. Medney<br>County of Santa Clara    | of Santa Clara<br>and State of California,   |
| (3) | Salvatore G. Mazzotta<br>County of Middlesex | of Bedford,<br>and State of Massachusetts,   |
| (4) | Cindy Wu<br>County of Norfolk                | of Canton,<br>and State of Massachusetts,    |
| (5) | William Drake                                | of Stoney Creek,<br>and Province of Ontario, |

has invented certain improvements in

CASE MANAGEMENT SYSTEM AND  
METHOD FOR COLLABORATIVE PROJECT TEAMING

and executed a United States patent application therefor on the following date(s):

- |     |                           |     |            |
|-----|---------------------------|-----|------------|
| (1) | _____;                    | (2) | _____;     |
| (3) | _____;                    | (4) | _____; and |
| (5) | <u>September 20, 2004</u> |     |            |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

Express Mail Label No. ER325849975US

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

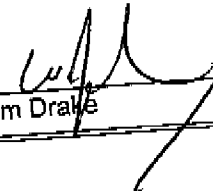
Signed and sealed:

|     | <u>LOCATION</u>  | <u>DATE</u> | <u>SIGNATURE</u>      |
|-----|--|-------------|-----------------------|
| (1) | _____  | _____       | Yuval S. Gilbert      |
| (2) | _____  | _____       | Hunter R. Medney      |
| (3) | IBM Lotus software<br>1 Rogers Street<br>Cambridge, MA 02142 | 09/20/2004  | Salvatore G. Mazzotta |
| (4) | _____  | _____       | Cindy Wu              |
| (5) | _____  | _____       | William Drake         |

Express Mail Label No. ER325849975US

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

|     | <u>LOCATION</u>                         | <u>DATE</u>        | <u>SIGNATURE</u>  |
|-----|---|--------------------|---|
| (1) | _____                                   | _____              | Yuval S. Gilbert  |
| (2) | _____                                   | _____              | Hunter R. Medney  |
| (3) | _____                                   | _____              | Salvatore G. Mazzotta   |
| (4) | _____                                   | _____              | Cindy Wu  |
| (5) | 60 Lakefront Blvd, Buffalo,<br>NY 14202 | September 20, 2004 | <br>William Drake |