



RECORDATION FORM COVER SHEET  
PATENTS ONLY

Patent Application No.: 10/423,477

Attorney Docket No.: 10020.001010

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1. Name of conveying party(ies) (**Continued from page 1**):

Ashish Prakash

James Voll

Paul Eastham

Kartik Ayyar

Szu-wen Kuo

3. Nature of conveyance (**Continued from page 1**): Assignment

**Execution Date(s)**: 11/17/2003, 11/10/2003, 06/25/2004, 11/06/2003 & 03/02/2004

**ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred herein as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to **Network Appliance, Inc.**, a **Delaware** corporation, having a place of business at **495 East Java Drive, Sunnyvale, CA. 94089**, ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

1. The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the provisional application filed under 35 U.S.C. §111(b) or non-provisional application filed under 35 U.S.C. §111(a) and entitled **APPARATUS AND METHOD FOR DATA CONSISTENCY IN A PROXY CACHE** ("APPLICATION"), which:

- ☐ is to be filed herewith  
☒ was filed on **April 25, 2003**,  
now bearing U.S. serial number **10/423,477**; and

2. The entire worldwide right, title, and interest in and to:  
(a) the APPLICATION; (b) all applications claiming priority from the APPLICATION;  
(c) all divisional, continuation, substitute, renewal, reissue, and other related applications thereto which have been or may be filed in the United States or elsewhere in the world;  
(d) all patents (including reissues and re-examinations) which may be granted on the applications set forth in (a), (b), (c) and (d) above; and (e) all right of priority in the APPLICATION, together with all rights to recover damages for infringement of provisional rights.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all assignments, oaths, powers of attorney, applications, and other papers (and/or documents) necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has not made and will not hereafter make any assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

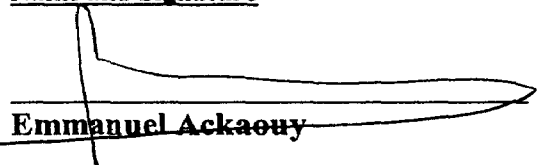
INVENTOR grants the attorney(s) of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Title of Document: **ASSIGNMENT**

Re:  
Title: APPARATUS AND METHOD FOR DATA CONSISTENCY IN A PROXY  
CACHE  
Filed: (if applicable) April 25, 2003  
Atty. Docket No.: 10020.001010 (P01-1522)  
Serial No.: (if applicable) 10/423,477

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This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
 Emmanuel Ackaouy	x <u>0262</u> .2003	x <u>10-06</u> .2003

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
_____	_____.2003	_____.2003

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
_____	_____.2003	_____.2003

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<u>Emmanuel Ackaouy</u>	<u>2003</u>	<u>2003</u>
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<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
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<u>Brian Quirion</u>	<u>7/7 2004</u>	<u>October 7, 2003</u>
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<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
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<u>David Grunwald</u>	<u>2003</u>	<u>2003</u>
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<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
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<u>Ashish Prakash</u>	<u>2003</u>	<u>2003</u>
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<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
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<u>James Voll</u>	<u>2003</u>	<u>2003</u>
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_____	_____,2003	_____,2003
Emmanuel Ackaouy		

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
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_____	_____,2003	_____,2003
Brian Quirion		

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
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 _____	11/6/_____,2003	10-06_____,2003
David Grunwald		

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
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_____	_____,2003	_____,2003
Ashish Prakash		

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_____	_____,2003	_____,2003
James Voll		

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_____	_____,2003	_____,2003
<b>Emmanuel Ackaouy</b>		

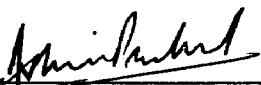
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_____	_____,2003	_____,2003
<b>Brian Quirion</b>		

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_____	_____,2003	_____,2003
<b>David Grunwald</b>		

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
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_____ 	11/17,2003	10-06,2003
<b>Ashish Prakash</b>		

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
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<b>James Voll</b>		

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_____ <b>Emmanuel Ackaouy</b>	_____,2003	_____,2003
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_____ <b>Brian Quirion</b>	_____,2003	_____,2003
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_____ <b>David Grunwald</b>	_____,2003	_____,2003
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<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
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_____ <b>Ashish Prakash</b>	_____,2003	_____,2003
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<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
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 <b>James Voll</b>	<u>11/10/2003</u>	<u>10-03</u> ,2003
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<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
 Paul Eastham	June 25, 2004	October 10, 2003

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
 Kartik Ayyar	_____, 2003	_____, 2003

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
 Szu-wen Kuo	_____, 2004	_____, 2003

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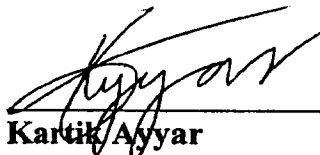
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_____ <b>Paul Eastham</b>	_____, 2003	_____, 2003
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 <b>Kartik Ayyar</b>	11/6/_____, 2003	10-03_____, 2003
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_____ <b>Szu-wen Kuo</b>	_____, 2003	_____, 2003
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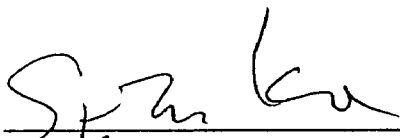
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_____ Paul Eastham	_____, 2004	_____, 2003
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_____ Kartik Ayyar	_____, 2003	_____, 2003
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 Szu-wen Kuo	3/2, 2004	10-6, 2003
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